



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2017  
OF THE CONDITION AND AFFAIRS OF THE

American Equity Investment Life Insurance Company of New York

NAIC Group Code

2658

2658

NAIC Company Code

11135

Employer's ID Number

22-3762465

(Current)

(Prior)

Organized under the Laws of

New York

, State of Domicile or Port of Entry

NY

Country of Domicile

United States of America

Incorporated/Organized

03/01/2001

Commenced Business

07/01/2001

Statutory Home Office

1979 Marcus Avenue, Ste 210

,

Lake Success , NY, US 11042

(Street and Number)

(City or Town, State, Country and Zip Code)

Main Administrative Office

6000 Westown Pkwy

(Street and Number)

West Des Moines , IA, US 50266-5921

,

866-233-6660

(City or Town, State, Country and Zip Code)

(Area Code) (Telephone Number)

Mail Address

PO Box 71157

,

Des Moines , IA, US 50325

(Street and Number or P.O. Box)

(City or Town, State, Country and Zip Code)

Primary Location of Books and Records

6000 Westown Pkwy

(Street and Number)

West Des Moines , IA, US 50266-5921

,

515-221-0002

(City or Town, State, Country and Zip Code)

(Area Code) (Telephone Number)

Internet Website Address

www.american-equity.com

Statutory Statement Contact

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(FAX Number)

OFFICERS

President

Ronald James Grensteiner

Chief Financial Officer & Treasurer

Ted Morris Johnson

Executive Vice President, General Counsel & Secretary

Renee Denise Montz

Vice President, Chief Actuary & Illustration Actuary

John Robert Miller

OTHER

John Michael Matovina

DIRECTORS OR TRUSTEES

Alexander Meeker Clark

Ronald James Grensteiner

Robert Lee Howe

John Michael Matovina

David Stephen Mulcahy

Terry Allan Reimer

Thomas Sterling Swain

State of

Iowa

SS:

County of

Polk

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Ronald James Grensteiner

Renee Denise Montz

Ted Morris Johnson

President

Executive Vice President, General Counsel & Secretary

Chief Financial Officer & Treasurer

Subscribed and sworn to before me this

20th

 day of 

February

 2018

Crystal D Wilson

June 5, 2020

a. Is this an original filing? ..... Yes [ X ] No [ ]

b. If no,

1. State the amendment number.....

2. Date filed .....

3. Number of pages attached.....

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	199,426,716		199,426,716	205,314,268
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ ..... encumbrances) .....				
5. Cash (\$ .....543,217 , Schedule E - Part 1), cash equivalents (\$ .....2,975,000 , Schedule E - Part 2) and short-term investments (\$ ..... , Schedule DA) .....	3,518,217		3,518,217	3,032,231
6. Contract loans (including \$ ..... premium notes) .....				
7. Derivatives (Schedule DB) .....				
8. Other invested assets (Schedule BA) .....	3,185,917		3,185,917	2,106,184
9. Receivables for securities .....	28,400		28,400	
10. Securities lending reinvested collateral assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	206,159,251		206,159,251	210,452,684
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	2,287,914		2,287,914	2,395,953
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....				
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....				
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon ....				
18.2 Net deferred tax asset .....	2,165,556	2,093,760	71,796	428,740
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....				
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	9,693	2,478	7,215	7,455
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	210,622,414	2,096,238	208,526,176	213,284,832
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27)	210,622,414	2,096,238	208,526,176	213,284,832
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)				
2501. PREPAID ASSETS .....	2,478	2,478		
2502. STATE INCOME TAX RECOVERABLE .....	7,215		7,215	7,455
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	9,693	2,478	7,215	7,455

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ ..... 169,645,309 (Exh. 5, Line 9999999) less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	169,645,309	177,603,059
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ ..... Modco Reserve) .....	1,215,532	1,454,134
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11) .....		
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11) .....		
5. Policyholders' dividends \$ ..... and coupons \$ ..... due and unpaid (Exhibit 4, Line 10) .....		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ ..... Modco) .....		
6.2 Dividends not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14) .....		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ ..... ceded .....		
9.4 Interest maintenance reserve (IMR, Line 6) .....	229,637	78,791
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... accident and health \$ ..... and deposit-type contract funds \$ ..... .....		
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6) .....	37,850	11,596
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5) .....		5,000
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....	1,267,549	936,316
15.2 Net deferred tax liability .....		
16. Unearned investment income .....		
17. Amounts withheld or retained by company as agent or trustee .....	23,063	8,001
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	98,124	42,973
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ ..... .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7) .....	1,384,574	894,734
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	1,486	185
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....		
24.09 Payable for securities .....		
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....		
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	173,903,124	181,034,790
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	173,903,124	181,034,790
29. Common capital stock .....	2,000,000	2,000,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1) .....	27,948,754	27,948,754
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	4,674,298	2,301,288
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	32,623,052	30,250,042
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55) .....	34,623,052	32,250,042
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	208,526,176	213,284,832
DETAILS OF WRITE-INS		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....		
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above) .....		
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....		

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11) .....	217,665	254,519
2. Considerations for supplementary contracts with life contingencies .....	790,500	56,438
3. Net investment income (Exhibit of Net Investment Income, Line 17) .....	10,226,077	10,030,331
4. Amortization of Interest Maintenance Reserve (IMR, Line 5) .....	26,583	24,559
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) .....		
7. Reserve adjustments on reinsurance ceded .....		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....		
8.2 Charges and fees for deposit-type contracts .....		
8.3 Aggregate write-ins for miscellaneous income .....		
9. Total (Lines 1 to 8.3) .....	11,260,825	10,365,847
10. Death benefits .....		
11. Matured endowments (excluding guaranteed annual pure endowments) .....		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) .....	3,246,068	2,402,237
13. Disability benefits and benefits under accident and health contracts .....		
14. Coupons, guaranteed annual pure endowments and similar benefits .....		
15. Surrender benefits and withdrawals for life contracts .....	11,330,204	10,992,130
16. Group conversions .....		
17. Interest and adjustments on contract or deposit-type contract funds .....	58,035	70,759
18. Payments on supplementary contracts with life contingencies .....	431,676	358,773
19. Increase in aggregate reserves for life and accident and health contracts .....	(7,957,750)	(7,022,227)
20. Totals (Lines 10 to 19) .....	7,108,234	6,801,673
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1) .....	146	2,160
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1) .....		
23. General insurance expenses (Exhibit 2, Line 10, Cols. 1, 2, 3 and 4) .....	435,189	434,254
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3) .....	26,197	41,126
25. Increase in loading on deferred and uncollected premiums .....		
26. Net transfers to or (from) Separate Accounts net of reinsurance .....		
27. Aggregate write-ins for deductions .....		
28. Totals (Lines 20 to 27) .....	7,569,766	7,279,213
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	3,691,058	3,086,634
30. Dividends to policyholders .....		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	3,691,058	3,086,634
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	926,749	1,011,426
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	2,764,309	2,075,208
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....245,261 (excluding taxes of \$ .....95,539 transferred to the IMR) .....	455,485	(29,076)
35. Net income (Line 33 plus Line 34) .....	3,219,794	2,046,132
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2) .....	32,250,042	30,177,520
37. Net income (Line 35) .....	3,219,794	2,046,132
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....		
39. Change in net unrealized foreign exchange capital gain (loss) .....		
40. Change in net deferred income tax .....	(1,799,539)	(94,715)
41. Change in nonadmitted assets .....	1,442,595	426,849
42. Change in liability for reinsurance in unauthorized and certified companies .....		
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....		
44. Change in asset valuation reserve .....	(489,840)	(305,745)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1) .....		
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....		
47. Other changes in surplus in Separate Accounts Statement .....		
48. Change in surplus notes .....		
49. Cumulative effect of changes in accounting principles .....		
50. Capital changes:		
50.1 Paid in .....		
50.2 Transferred from surplus (Stock Dividend) .....		
50.3 Transferred to surplus .....		
51. Surplus adjustment:		
51.1 Paid in .....		
51.2 Transferred to capital (Stock Dividend) .....		
51.3 Transferred from capital .....		
51.4 Change in surplus as a result of reinsurance .....		
52. Dividends to stockholders .....		
53. Aggregate write-ins for gains and losses in surplus .....		
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	2,373,010	2,072,521
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) .....	34,623,052	32,250,042
DETAILS OF WRITE-INS		
08.301. ....		
08.302. ....		
08.303. ....		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above) .....		
2701. ....		
2702. ....		
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above) .....		
5301. ....		
5302. ....		
5303. ....		
5398. Summary of remaining write-ins for Line 53 from overflow page .....		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above) .....		

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance .....	1,008,164	310,957
2. Net investment income .....	10,711,753	10,916,830
3. Miscellaneous income .....		
4. Total (Lines 1 through 3) .....	11,719,917	11,227,787
5. Benefit and loss related payments .....	15,007,948	13,756,276
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....		
7. Commissions, expenses paid and aggregate write-ins for deductions .....	439,247	865,381
8. Dividends paid to policyholders .....		
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	936,316	876,879
10. Total (Lines 5 through 9) .....	16,383,511	15,498,535
11. Net cash from operations (Line 4 minus Line 10) .....	(4,663,594)	(4,270,748)
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	21,323,159	14,679,316
12.2 Stocks .....		
12.3 Mortgage loans .....		
12.4 Real estate .....		
12.5 Other invested assets .....		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....		
12.7 Miscellaneous proceeds .....		
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	21,323,159	14,679,316
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	14,831,184	8,813,206
13.2 Stocks .....		
13.3 Mortgage loans .....		
13.4 Real estate .....		
13.5 Other invested assets .....	1,088,871	
13.6 Miscellaneous applications .....		
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	15,920,055	8,813,206
14. Net increase (decrease) in contract loans and premium notes .....		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	5,403,104	5,866,110
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....		
16.2 Capital and paid in surplus, less treasury stock .....		
16.3 Borrowed funds .....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(296,637)	(378,533)
16.5 Dividends to stockholders .....		
16.6 Other cash provided (applied) .....	43,113	300,604
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	(253,524)	(77,930)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	485,986	1,517,432
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	3,032,231	1,514,799
19.2 End of year (Line 18 plus Line 19.1) .....	3,518,217	3,032,231

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	Ordinary			6	Group		Accident and Health			12
			3	4	5		7	8	9	10	11	
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (a)	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
1. Premiums and annuity considerations for life and accident and health contracts .....	217,665			217,665								
2. Considerations for supplementary contracts with life contingencies .....	790,500				790,500							
3. Net investment income .....	10,226,077			10,149,715	76,363							
4. Amortization of Interest Maintenance Reserve (IMR) .....	26,583			26,384	199							
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....												
6. Commissions and expense allowances on reinsurance ceded .....												
7. Reserve adjustments on reinsurance ceded .....												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts .....												
8.2 Charges and fees for deposit-type contracts .....												
8.3 Aggregate write-ins for miscellaneous income .....												
9. Totals (Lines 1 to 8.3) .....	11,260,825			10,393,764	867,061							
10. Death benefits .....												
11. Matured endowments (excluding guaranteed annual pure endowments) .....												
12. Annuity benefits .....	3,246,068			3,246,068								
13. Disability benefits and benefits under accident and health contracts .....												
14. Coupons, guaranteed annual pure endowments and similar benefits .....												
15. Surrender benefits and withdrawals for life contracts .....	11,330,204			11,330,204								
16. Group conversions .....												
17. Interest and adjustments on contract or deposit-type contract funds .....	58,035			(32,251)	90,286							
18. Payments on supplementary contracts with life contingencies .....	431,676			275,969	155,707							
19. Increase in aggregate reserves for life and accident and health contracts .....	(7,957,750)			(8,568,952)	611,202							
20. Totals (Lines 10 to 19) .....	7,108,233			6,251,038	857,195							
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) .....	146			146								
22. Commissions and expense allowances on reinsurance assumed .....												
23. General insurance expenses .....	435,189			431,939	3,250							
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	26,197			26,002	196							
25. Increase in loading on deferred and uncollected premiums .....												
26. Net transfers to or (from) Separate Accounts net of reinsurance .....												
27. Aggregate write-ins for deductions .....												
28. Totals (Lines 20 to 27) .....	7,569,766			6,709,125	860,640							
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	3,691,059			3,684,638	6,421							
30. Dividends to policyholders .....												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	3,691,059			3,684,638	6,421							
32. Federal income taxes incurred (excluding tax on capital gains) .....	926,749			925,137	1,612							
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	2,764,310			2,759,501	4,809							
DETAILS OF WRITE-INS												
08.301. ....												
08.302. ....												
08.303. ....												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above) .....												
2701. ....												
2702. ....												
2703. ....												
2798. Summary of remaining write-ins for Line 27 from overflow page .....												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above) .....												

(a) Includes the following amounts for FEGLI/SGLI: Line 1 , Line 10 , Line 16 , Line 23 , Line 24

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR

	1	2	Ordinary			6	Group	
			3	4	5		7	8
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities
Involving Life or Disability Contingencies (Reserves)								
(Net of Reinsurance Ceded)								
1. Reserve December 31, prior year .....	177,603,059			177,108,109	494,950			
2. Tabular net premiums or considerations .....	1,008,165			217,665	790,500			
3. Present value of disability claims incurred .....					XXX			
4. Tabular interest .....	7,795,248			7,753,098	42,150			
5. Tabular less actual reserve released .....	(1,753,214)			(1,687,473)	(65,741)			
6. Increase in reserve on account of change in valuation basis .....								
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve .....		XXX		XXX	XXX	XXX	XXX	XXX
7. Other increases (net) .....								
8. Totals (Lines 1 to 7) .....	184,653,258			183,391,399	1,261,859			
9. Tabular cost .....					XXX			
10. Reserves released by death .....				XXX	XXX			XXX
11. Reserves released by other terminations (net) .....	4,606,863			4,606,863				
12. Annuity, supplementary contract and disability payments involving life contingencies .....	10,401,086			10,245,379	155,707			
13. Net transfers to or (from) Separate Accounts .....								
14. Total Deductions (Lines 9 to 13) .....	15,007,949			14,852,242	155,707			
15. Reserve December 31, current year .....	169,645,309			168,539,157	1,106,152			

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds .....	(a) .....20,641	.....20,641
1.1	Bonds exempt from U.S. tax .....	(a) .....	
1.2	Other bonds (unaffiliated) .....	(a) .....10,651,348	.....10,529,486
1.3	Bonds of affiliates .....	(a) .....	
2.1	Preferred stocks (unaffiliated) .....	(b) .....	
2.11	Preferred stocks of affiliates .....	(b) .....	
2.2	Common stocks (unaffiliated) .....		
2.21	Common stocks of affiliates .....		
3.	Mortgage loans .....	(c) .....	
4.	Real estate .....	(d) .....	
5	Contract loans .....		
6	Cash, cash equivalents and short-term investments .....	(e) .....13,325	.....12,720
7	Derivative instruments .....	(f) .....	
8.	Other invested assets .....	.....115,809	.....130,237
9.	Aggregate write-ins for investment income .....	.....(7)	.....(7)
10.	Total gross investment income .....	10,801,116	10,693,077
11.	Investment expenses .....		(g) .....467,000
12.	Investment taxes, licenses and fees, excluding federal income taxes .....		(g) .....
13.	Interest expense .....		(h) .....
14.	Depreciation on real estate and other invested assets .....		(i) .....
15.	Aggregate write-ins for deductions from investment income .....		
16.	Total deductions (Lines 11 through 15) .....		.....467,000
17.	Net investment income (Line 10 minus Line 16)		10,226,077
DETAILS OF WRITE-INS			
0901.	Miscellaneous investment income .....	.....(7)	.....(7)
0902.	.....		
0903.	.....		
0998.	Summary of remaining write-ins for Line 9 from overflow page .....		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	.....(7)	.....(7)
1501.	.....		
1502.	.....		
1503.	.....		
1598.	Summary of remaining write-ins for Line 15 from overflow page .....		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

(a) Includes \$ .....307,020 accrual of discount less \$ .....676,311 amortization of premium and less \$ .....73,220 paid for accrued interest on purchases.

(b) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued dividends on purchases.

(c) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.

(d) Includes \$ ..... for company's occupancy of its own buildings; and excludes \$ ..... interest on encumbrances.

(e) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ .....908 paid for accrued interest on purchases.

(f) Includes \$ ..... accrual of discount less \$ ..... amortization of premium.

(g) Includes \$ ..... investment expenses and \$ ..... investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.

(h) Includes \$ ..... interest on surplus notes and \$ ..... interest on capital notes.

(i) Includes \$ ..... depreciation on real estate and \$ ..... depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds .....					
1.1	Bonds exempt from U.S. tax .....					
1.2	Other bonds (unaffiliated) .....	.....1,010,940	.....(37,225)	.....973,715		
1.3	Bonds of affiliates .....					
2.1	Preferred stocks (unaffiliated) .....					
2.11	Preferred stocks of affiliates .....					
2.2	Common stocks (unaffiliated) .....					
2.21	Common stocks of affiliates .....					
3.	Mortgage loans .....					
4.	Real estate .....					
5.	Contract loans .....					
6.	Cash, cash equivalents and short-term investments .....					
7.	Derivative instruments .....					
8.	Other invested assets .....					
9.	Aggregate write-ins for capital gains (losses) .....					
10.	Total capital gains (losses) .....	1,010,940	(37,225)	973,715		
DETAILS OF WRITE-INS						
0901.	.....					
0902.	.....					
0903.	.....					
0998.	Summary of remaining write-ins for Line 9 from overflow page .....					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					



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EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
<b>FIRST YEAR (other than single)</b>											
1. Uncollected .....											
2. Deferred and accrued .....											
3. Deferred , accrued and uncollected:											
3.1 Direct .....											
3.2 Reinsurance assumed .....											
3.3 Reinsurance ceded .....											
3.4 Net (Line 1 + Line 2) .....											
4. Advance .....											
5. Line 3.4 - Line 4 .....											
6. Collected during year:											
6.1 Direct .....	2,000			2,000							
6.2 Reinsurance assumed .....											
6.3 Reinsurance ceded .....											
6.4 Net .....	2,000			2,000							
7. Line 5 + Line 6.4 .....	2,000			2,000							
8. Prior year (uncollected + deferred and accrued - advance) .....											
9. First year premiums and considerations:											
9.1 Direct .....	2,000			2,000							
9.2 Reinsurance assumed .....											
9.3 Reinsurance ceded .....											
9.4 Net (Line 7 - Line 8) .....	2,000			2,000							
<b>SINGLE</b>											
10. Single premiums and considerations:											
10.1 Direct .....											
10.2 Reinsurance assumed .....											
10.3 Reinsurance ceded .....											
10.4 Net .....											
<b>RENEWAL</b>											
11. Uncollected .....											
12. Deferred and accrued .....											
13. Deferred, accrued and uncollected:											
13.1 Direct .....											
13.2 Reinsurance assumed .....											
13.3 Reinsurance ceded .....											
13.4 Net (Line 11 + Line 12) .....											
14. Advance .....											
15. Line 13.4 - Line 14 .....											
16. Collected during year:											
16.1 Direct .....	215,665			215,665							
16.2 Reinsurance assumed .....											
16.3 Reinsurance ceded .....											
16.4 Net .....	215,665			215,665							
17. Line 15 + Line 16.4 .....	215,665			215,665							
18. Prior year (uncollected + deferred and accrued - advance) .....											
19. Renewal premiums and considerations:											
19.1 Direct .....	215,665			215,665							
19.2 Reinsurance assumed .....											
19.3 Reinsurance ceded .....											
19.4 Net (Line 17 - Line 18) .....	215,665			215,665							
<b>TOTAL</b>											
20. Total premiums and annuity considerations:											
20.1 Direct .....	217,665			217,665							
20.2 Reinsurance assumed .....											
20.3 Reinsurance ceded .....											
20.4 Net (Lines 9.4 + 10.4 + 19.4) .....	217,665			217,665							

EXHIBIT - 1 PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS  
AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
<b>DIVIDENDS AND COUPONS APPLIED (included in Part 1)</b>											
21. To pay renewal premiums .....											
22. All other .....											
<b>REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED</b>											
23. First year (other than single):											
23.1 Reinsurance ceded .....											
23.2 Reinsurance assumed .....											
23.3 Net ceded less assumed .....											
24. Single:											
24.1 Reinsurance ceded .....											
24.2 Reinsurance assumed .....											
24.3 Net ceded less assumed .....											
25. Renewal:											
25.1 Reinsurance ceded .....											
25.2 Reinsurance assumed .....											
25.3 Net ceded less assumed .....											
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6) .....											
26.2 Reinsurance assumed (Page 6, Line 22) .....											
26.3 Net ceded less assumed .....											
<b>COMMISSIONS INCURRED (direct business only)</b>											
27. First year (other than single) .....	35			35							
28. Single .....											
29. Renewal .....	111			111							
30. Deposit-type contract funds .....											
31. Totals (to agree with Page 6, Line 21)	146			146							

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

EXHIBIT 2 - GENERAL EXPENSES

	Insurance				5	6
	1	Accident and Health		4		
		Life	2 Cost Containment			
				All Other Lines of Business	Investment	Total
1. Rent .....	31,635					31,635
2. Salaries and wages .....	48,000					48,000
3.11 Contributions for benefit plans for employees .....	14,819					14,819
3.12 Contributions for benefit plans for agents .....						
3.21 Payments to employees under non-funded benefit plans .....						
3.22 Payments to agents under non-funded benefit plans .....						
3.31 Other employee welfare .....						
3.32 Other agent welfare .....						
4.1 Legal fees and expenses .....						
4.2 Medical examination fees .....						
4.3 Inspection report fees .....						
4.4 Fees of public accountants and consulting actuaries .....	94,919					94,919
4.5 Expense of investigation and settlement of policy claims .....						
5.1 Traveling expenses .....	755					755
5.2 Advertising .....						
5.3 Postage, express, telegraph and telephone .....	9,276					9,276
5.4 Printing and stationery .....	2,182					2,182
5.5 Cost or depreciation of furniture and equipment .....						
5.6 Rental of equipment .....						
5.7 Cost or depreciation of EDP equipment and software .....	5,011					5,011
6.1 Books and periodicals .....						
6.2 Bureau and association fees .....						
6.3 Insurance, except on real estate .....	1,160					1,160
6.4 Miscellaneous losses .....						
6.5 Collection and bank service charges .....	30					30
6.6 Sundry general expenses .....	373					373
6.7 Group service and administration fees .....	227,029					227,029
6.8 Reimbursements by uninsured plans .....						
7.1 Agency expense allowance .....						
7.2 Agents' balances charged off (less \$ recovered) .....						
7.3 Agency conferences other than local meetings .....						
9.1 Real estate expenses .....						
9.2 Investment expenses not included elsewhere .....					467,000	467,000
9.3 Aggregate write-ins for expenses .....						
10. General expenses incurred .....	435,189				467,000	(a) 902,189
11. General expenses unpaid December 31, prior year .....	10,804				792	11,596
12. General expenses unpaid December 31, current year .....	37,850					37,850
13. Amounts receivable relating to uninsured plans, prior year .....						
14. Amounts receivable relating to uninsured plans, current year .....						
15. General expenses paid during year (Lines 10+11-12-13+14) .....	408,143				467,792	875,935
DETAILS OF WRITE-INS						
09.301. ....						
09.302. ....						
09.303. ....						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page						
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)						

(a) Includes management fees of \$ 458,843 to affiliates and \$ to non-affiliates.

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

	Insurance			4 Investment	5 Total
	1 Life	2 Accident and Health	3 All Other Lines of Business		
1. Real estate taxes .....					
2. State insurance department licenses and fees .....	24,063				24,063
3. State taxes on premiums .....					
4. Other state taxes, including \$ 145 for employee benefits .....	145				145
5. U.S. Social Security taxes .....	3,465				3,465
6. All other taxes .....	(1,476)				(1,476)
7. Taxes, licenses and fees incurred .....	26,197				26,197
8. Taxes, licenses and fees unpaid December 31, prior year .....	5,000				5,000
9. Taxes, licenses and fees unpaid December 31, current year .....					
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9) .....	31,197				31,197

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1 Life	2 Accident and Health
1. Applied to pay renewal premiums .....		
2. Applied to shorten the endowment or premium-paying period .....		
3. Applied to provide paid-up additions .....		
4. Applied to provide paid-up annuities .....		
5. Total Lines 1 through 4 .....		
6. Paid in cash .....		
7. Left on deposit .....		
8. Aggregate write-ins for dividend or refund options .....		
9. Total Lines 5 through 8 .....		
10. Amount due and unpaid .....		
11. Provision for dividends or refunds payable in the following calendar year .....		
12. Terminal dividends .....		
13. Provision for deferred dividend contracts .....		
14. Amount provisionally held for deferred dividend contracts (Include on Line 13) .....		
15. Total Lines 10 through 14 .....		
16. Total from prior year .....		
17. Total dividends or refunds (Lines 9 + 15 - 16) .....		
DETAILS OF WRITE-INS		
0801. ....		
0802. ....		
0803. ....		
0898. Summary of remaining write-ins for Line 8 from overflow page .....		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....		

NONE

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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## EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

[illegible]

EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?

Yes [ ] No [ X ]

1.2

If not, state which kind is issued.  
NON-PARTICIPATING

2.1

Does the reporting entity at present issue both participating and non-participating contracts?

Yes [ ] No [ X ]

2.2

If not, state which kind is issued.  
NON-PARTICIPATING

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?  
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

Yes [ X ] No [ ]

4.

Has the reporting entity any assessment or stipulated premium contracts in force?  
If so, state:  
4.1 Amount of insurance?  
4.2 Amount of reserve?  
4.3 Basis of reserve:  
  
4.4 Basis of regular assessments:  
  
4.5 Basis of special assessments:  
  
4.6 Assessments collected during the year

\$

\$

\$

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?

Yes [ ] No [ X ]

6.1

If so, state the amount of reserve on such contracts on the basis actually held:

\$

6.2

That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:  
Attach statement of methods employed in their valuation.

\$

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?

Yes [ ] No [ X ]

7.1

If yes, state the total dollar amount of assets covered by these contracts or agreements

\$

7.2

Specify the basis (fair value, amortized cost, etc.) for determining the amount:

7.3

State the amount of reserves established for this business:

\$

7.4

Identify where the reserves are reported in the blank:

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?

Yes [ ] No [ X ]

8.1

If yes, state the total dollar amount of account value covered by these contracts or agreements:

\$

8.2

State the amount of reserves established for this business:

\$

8.3

Identify where the reserves are reported in the blank:

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?

Yes [ ] No [ X ]

9.1

If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:

\$

9.2

State the amount of reserves established for this business:

\$

9.3

Identify where the reserves are reported in the blank:

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance .....	1,454,135		1,292,248	161,887		
2. Deposits received during the year .....	84,944		84,944			
3. Investment earnings credited to the account .....	56,099		49,610	6,489		
4. Other net change in reserves .....	1,936		(81,861)	83,797		
5. Fees and other charges assessed .....						
6. Surrender charges .....						
7. Net surrender or withdrawal payments .....	381,581		299,153	82,428		
8. Other net transfers to or (from) Separate Accounts .....						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8) .....	1,215,533		1,045,788	169,745		
10. Reinsurance balance at the beginning of the year .....						
11. Net change in reinsurance assumed .....						
12. Net change in reinsurance ceded .....						
13. Reinsurance balance at the end of the year (Lines 10+11-12) .....						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	1,215,533		1,045,788	169,745		

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EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year											
		1	2	Ordinary		6	Group		Accident and Health		
				3	4		7	8	9	10	11
		Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1.	Due and unpaid:										
	1.1 Direct .....										
	1.2 Reinsurance assumed .....										
	1.3 Reinsurance ceded .....										
	1.4 Net .....										
2.	In course of settlement:										
	2.1 Resisted .....										
	2.11 Direct .....										
	2.12 Reinsurance assumed .....										
	2.13 Reinsurance ceded .....										
	2.14 Net .....			(b)	(b)		(b)				
	2.2 Other .....										
	2.21 Direct .....										
	2.22 Reinsurance assumed .....										
	2.23 Reinsurance ceded .....										
	2.24 Net .....			(b)	(b)	(b)	(b)		(b)	(b)	(b)
3.	Incurred but unreported:										
	3.1 Direct .....										
	3.2 Reinsurance assumed .....										
	3.3 Reinsurance ceded .....										
	3.4 Net .....			(b)	(b)	(b)	(b)		(b)	(b)	(b)
4.	TOTALS .....										
	4.1 Direct .....										
	4.2 Reinsurance assumed .....										
	4.3 Reinsurance ceded .....										
	4.4 Net .....		(a)	(a)			(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ ..... in Column 2, \$ ..... in Column 3 and \$ ..... in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ ..... Individual Annuities \$ ....., Credit Life (Group and Individual) \$ ....., and Group Life \$ ....., are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ ..... Credit (Group and Individual) Accident and Health \$ ....., and Other Accident and Health \$ ..... are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1  Total	2  Industrial Life (a)	PART 2 - Incurred During the Year			6  Credit Life (Group and Individual)	Group		Accident and Health		
			3  Life Insurance (b)	Ordinary 4  Individual Annuities	5  Supplementary Contracts		7  Life Insurance (c)	8  Annuities	9  Group	10  Credit (Group and Individual)	11  Other
1. Settlements During the Year:											
1.1 Direct .....	3,401,775			3,246,068	155,707						
1.2 Reinsurance assumed .....											
1.3 Reinsurance ceded .....											
1.4 Net .....	(d) 3,401,775			3,246,068	155,707						
2. Liability December 31, current year from Part 1:											
2.1 Direct .....											
2.2 Reinsurance assumed .....											
2.3 Reinsurance ceded .....											
2.4 Net .....											
3. Amounts recoverable from reinsurers December 31, current year .....											
4. Liability December 31, prior year:											
4.1 Direct .....											
4.2 Reinsurance assumed .....											
4.3 Reinsurance ceded .....											
4.4 Net .....											
5. Amounts recoverable from reinsurers December 31, prior year .....											
6. Incurred Benefits											
6.1 Direct .....	3,401,775			3,246,068	155,707						
6.2 Reinsurance assumed .....											
6.3 Reinsurance ceded .....											
6.4 Net .....	3,401,775			3,246,068	155,707						

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(d) Includes \$ ..... premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens .....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income .....			
4.3 Properties held for sale .....			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....			
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....			
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..			
15.3 Accrued retrospective premiums and contracts subject to redetermination .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....			
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....	2,093,760	3,536,355	1,442,595
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....			
21. Furniture and equipment, including health care delivery assets .....			
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....	2,478	2,478	
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	2,096,238	3,538,833	1,442,595
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. Total (Lines 26 and 27) .....	2,096,238	3,538,833	1,442,595
DETAILS OF WRITE-INS			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. PREPAID ASSETS .....	2,478	2,478	
2502. ....			
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	2,478	2,478	

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NOTES TO FINANCIAL STATEMENTS

1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN:

A. Accounting Practices

The financial statements of American Equity Investment Life Insurance Company of New York (“the Company”) are presented on the basis of accounting practices prescribed or permitted by the New York State Insurance Department (the “Insurance Department”).

The Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the Insurance Department. There are no differences between accounting practices prescribed or permitted by the Insurance Department and NAIC SAP that materially impact the Company.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Department is shown below:

NET INCOME	SSAP	F/S Page	F/S Line #	December 31, 2017	December 31, 2016
Net income, New York basis				\$ 3,219,794	\$ 2,046,132
State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
Net income, NAIC SAP				<u>\$ 3,219,794</u>	<u>\$ 2,046,132</u>
SURPLUS					
Statutory surplus, New York basis				\$ 34,623,052	\$ 32,250,042
State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
Statutory surplus, NAIC SAP				<u>\$ 34,623,052</u>	<u>\$ 32,250,042</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make certain estimates that affect the reported assets and liabilities, including reporting or disclosure of contingent assets and liabilities as of the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Future events, including changes in interest rates and asset valuations, could cause actual results to differ in the near term from the estimates used in the financial statements.

The most significant estimates include those used in determining policy reserves, impairment losses on investments and federal income taxes. Although some variability is inherent in these estimates, the recorded amounts reflect management’s best estimates based on facts and circumstances as of the date of the financial statements. Management believes the amounts provided are appropriate.

C. Accounting Policy

The company uses the following accounting policies:

1. The Company considers all highly liquid debt instruments purchased with a maturity of twelve months or less to be short-term investments and are stated at amortized cost.
2. Investments in bonds rated NAIC 1-5 (including loan-backed securities), are reported at cost adjusted for amortization of premiums or discounts. Bonds with NAIC 6 ratings are reported at the lower of amortized cost or fair value. Amortization is computed using methods which result in a level yield over the life of the security. The Company reviews its prepayment assumptions on mortgage and other asset-backed securities at regular intervals and adjusts amortization rates retrospectively when such assumptions are changed due to

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experience and/or expected future patterns. Other admitted assets are valued principally at cost as required or permitted by New York insurance laws.

Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve ("IMR"). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve ("AVR") is established by the Company to provide for anticipated losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other-than-temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. The Company has no investments in common stock.
4. The Company has no investments in preferred stock.
5. The Company has no investments in mortgage loans.
6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate.

Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. The Company has no investments in subsidiaries, controlled or affiliated companies.
8. The Company has no ownership interests in joint ventures, partnerships, and limited liability companies. The Company carries these interests based on the underlying audited US GAAP equity of the investee.
9. The Company has no investments in derivatives.
10. The premium deficiency calculation does not apply to the Company.
11. The Company does not have liabilities for losses and loss/claim adjustment expense for accident and health contracts.

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12. No material changes were made to the capitalization policy during 2017.

13. The Company did not have any pharmaceutical rebate receivables as of December 31, 2017.

D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

**2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS:**

The Company had no material changes in accounting principles or correction of errors to report for the year ended December 31, 2017.

**3 - BUSINESS COMBINATIONS AND GOODWILL:**

A. Statutory Purchase Method

During 2017, the Company did not have any business combinations taking the form of a statutory purchase.

B. Statutory Mergers

During 2017, the Company did not have any business combinations taking the form of a statutory merger.

C. Assumption Reinsurance

As of December 31, 2017, the Company did not have goodwill resulting from assumption reinsurance.

D. Impairment Losses

As of December 31, 2017, the Company did not have any impaired assets related to business combinations or goodwill.

**4 - DISCONTINUED OPERATIONS:**

The Company did not have any discontinued operations during 2017.

**5 - INVESTMENTS:**

A. Mortgage Loans, including Mezzanine Real Estate Loans

The Company did not hold any mortgage loans during 2017.

B. Debt Restructuring

The Company did not have any troubled debt restructuring during 2017.

C. Reverse Mortgages

The Company did not have any reverse mortgages as of December 31, 2017.

D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.
2. For the year ended December 31, 2017, the Company did not recognize any other than temporary impairments on the basis of the intent to sell or the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost.
3. The following table lists the loan-backed securities held by the Company at December 31, 2017 for which the present value of cash flows expected to be collected was less than amortized cost, and as a result other-than-temporary impairments were recorded during the year ended December 31, 2017.

NOTES TO FINANCIAL STATEMENTS

CUSIP	Amortized Cost Prior to OTTI	Present Value of Projected Cash Flows	OTTI Recognized in Earnings	Amortized Cost After OTTI	Fair Value	Financial Statement Reporting Period
16163HAQ4	\$ 952,709	\$ 896,838	\$ 37,225	\$ 915,484	\$ 915,484	3/31/2017

Total OTTI recognized under SSAP 43R for the year ended December 31, 2017: \$37,225.

4. For loan-backed and structured securities with unrealized losses as of December 31, 2017, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position are summarized as follows:
- a. Aggregate amount of unrealized loss:
- |                     |           |
|---------------------|-----------|
| Less than 12 months | \$ 38,506 |
| 12 months or longer | \$ 19,441 |
- b. Aggregate related fair value of securities with unrealized losses:
- |                     |              |
|---------------------|--------------|
| Less than 12 months | \$ 5,870,208 |
| 12 months or longer | \$ 1,662,404 |
5. At December 31, 2017 the Company had no exposure to subprime loan-backed securities.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions
- (1) None
- (2) There were no assets pledged as collateral as of December 31, 2017.
- (3) There was no collateral received as of December 31, 2017.
- (4) The Company has no securities lending agreements as of December 31, 2017; therefore, it has not reported as assets on Page 2, Line 10 - Securities Lending Reinvested Collateral Assets.
- (5) The Company does not have any securities lending or repurchase agreements open as of December 31, 2017; therefore, it has no information to report under this item.
- (6) There was no collateral received as of December 31, 2017.
- (7) None
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
- The Company did not have any repurchase agreements transactions accounted for as secured borrowing as of December 31, 2017.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
- The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of December 31, 2017.
- H. Repurchase Agreements Transactions Accounted for as a Sale
- The Company did not have any repurchase agreements transactions accounted for as a sale as of December 31, 2017.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
- The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of December 31, 2017.

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J. Real Estate

The Company did not have any investments in real estate as of December 31, 2017.

K. Low Income Housing Credits

The Company did not have any investments in low-income housing tax credits as of December 31, 2017.

L. Restricted Assets

1. Restricted Assets (including Pledged)

Restricted Asset Category		Gross Restricted				
		Current Year				
		1	2	3	4	5
		Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —
b.	Collateral held under security lending agreements	—	—	—	—	—
c.	Subject to repurchase agreements	—	—	—	—	—
d.	Subject to reverse repurchase agreement	—	—	—	—	—
e.	Subject to dollar repurchase agreements	—	—	—	—	—
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	—
g.	Placed under option contracts	—	—	—	—	—
h.	Letter stock or securities restricted as to sale	—	—	—	—	—
i.	FHLB capital stock	—	—	—	—	—
j.	On deposit with states	405,330	—	—	—	405,330
k.	On deposit with other regulatory bodies	—	—	—	—	—
l.	Pledged collateral to FHLB	—	—	—	—	—
m.	Pledged as collateral not captured in other categories	—	—	—	—	—
n.	Other restricted assets	—	—	—	—	—
o.	Total Restricted Assets	\$ 405,330	\$ —	\$ —	\$ —	\$ 405,330

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Restricted Asset Category		Gross Restricted		Current Year			
		6	7	8	9	Percentage	
		Total From Prior Year	Increase / (Decrease) (5-6)	Total Nonadmitted Restricted	Total Admitted Restricted (5-8)	10	11
						Gross (Admitted & Nonadmitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	—%	—%
b.	Collateral held under security lending agreements	—	—	—	—	—%	—%
c.	Subject to repurchase agreements	—	—	—	—	—%	—%
d.	Subject to reverse repurchase agreement	—	—	—	—	—%	—%
e.	Subject to dollar repurchase agreements	—	—	—	—	—%	—%
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	—%	—%
g.	Placed under option contracts	—	—	—	—	—%	—%
h.	Letter stock or securities restricted as to sale	—	—	—	—	—%	—%
i.	FHLB capital stock	—	—	—	—	—%	—%
j.	On deposit with states	405,689	(359)	—	405,330	0.19%	0.19%
k.	On deposit with other regulatory bodies	—	—	—	—	—%	—%
l.	Pledged collateral to FHLB	—	—	—	—	—%	—%
m.	Pledged as collateral not captured in other categories	—	—	—	—	—%	—%
n.	Other restricted assets	—	—	—	—	—%	—%
o.	Total Restricted Assets	\$ 405,689	\$ (359)	\$ —	\$ 405,330	0.19%	0.19%

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, are Reported in the Aggregate)
- None
3. Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, such as Reinsurance and Derivatives, are Reported in the Aggregate)
- None
- M. Working Capital Finance Investments
- The Company did not have any working capital finance investments as of December 31, 2017.
- N. Offsetting and Netting of Assets and Liabilities
- The Company did not have any offsetting and netting of assets and liabilities as of December 31, 2017.
- O. Structured Notes
- The Company did not have any investments in structured notes as of December 31, 2017.
- P. 5\* Securities
- The Company did not have any investments in 5\* securities as of December 31, 2017.
- Q. Short Sales
- The Company did not have unsettled and settled short sale transactions outstanding as of December 31, 2017.



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NOTES TO FINANCIAL STATEMENTS

R. Prepayment Penalty and Acceleration Fees

	General Account	Separate Accounts
(1) Number of CUSIPS	8	—
(2) Aggregate Amount of Investment Income	\$ 262,808	\$ —

6 - JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES:

- A. The Company did not have any investments in joint ventures, partnerships, or limited liability companies that exceed 10% of its admitted assets as of December 31, 2017.
- B. The Company did not recognize any impairment write down for its investments in joint ventures, partnerships, or limited liability companies as of December 31, 2017.

7 - INVESTMENT INCOME:

- A. Interest income is recognized on an accrual basis. Due and accrued income was excluded from surplus on the following bases:

The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain. Accrued investment income on bonds that are over 90 days past due are not admitted.

- B. At December 31, 2017, no amounts were excluded from investment income due and accrued.

8 - DERIVATIVE INSTRUMENTS:

The Company did not hold any derivative instruments during 2017.

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9 - INCOME TAXES:

A. The components of the net deferred tax asset/(liability) are as follows:

1.

12/31/2017			
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ 2,823,896	\$ —	\$ 2,823,896
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	2,823,896	—	2,823,896
(d) Deferred tax assets nonadmitted	(2,093,760)	—	(2,093,760)
(e) Subtotal net admitted deferred tax assets	730,136	—	730,136
(f) Deferred tax liabilities	(658,340)	—	(658,340)
(g) Net admitted deferred tax assets	\$ 71,796	\$ —	\$ 71,796
12/31/2016			
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ 3,675,331	\$ 289,764	\$ 3,965,095
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	3,675,331	289,764	3,965,095
(d) Deferred tax assets nonadmitted	(3,536,355)	—	(3,536,355)
(e) Subtotal net admitted deferred tax assets	138,976	289,764	428,740
(f) Deferred tax liabilities	—	—	—
(g) Net admitted deferred tax assets	\$ 138,976	\$ 289,764	\$ 428,740
Change			
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ (851,435)	\$ (289,764)	\$ (1,141,199)
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	(851,435)	(289,764)	(1,141,199)
(d) Deferred tax assets nonadmitted	1,442,595	—	1,442,595
(e) Subtotal net admitted deferred tax assets	591,160	(289,764)	301,396
(f) Deferred tax liabilities	(658,340)	—	(658,340)
(g) Net admitted deferred tax assets	\$ (67,180)	\$ (289,764)	\$ (356,944)

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2. The admission calculation components of the deferred tax assets under SSAP No. 101 are provided in the following table:

Admission Calculation Components of SSAP No. 101

		12/31/2017		
		Ordinary	Capital	Total
(a)	Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ —	\$ —	\$ —
(b)	Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	71,796	—	71,796
	1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	71,796	—	71,796
	2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	5,182,688
(c)	Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	658,340	—	658,340
(d)	Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	\$ 730,136	\$ —	\$ 730,136
		12/31/2016		
		Ordinary	Capital	Total
(a)	Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ 138,976	\$ —	\$ 138,976
(b)	Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	—	289,764	289,764
	1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	—	289,764	289,764
	2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	4,773,195
(c)	Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	—	—	—
(d)	Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	\$ 138,976	\$ 289,764	\$ 428,740
		Change		
		Ordinary	Capital	Total
(a)	Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ (138,976)	\$ —	\$ (138,976)
(b)	Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	71,796	(289,764)	(217,968)
	1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	71,796	(289,764)	(217,968)
	2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	409,493
(c)	Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	658,340	—	658,340
(d)	Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	\$ 591,160	\$ (289,764)	\$ 301,396
3.				
		2017	2016	
(a)	Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	2,148%	1,870%	
(b)	Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 2(b)2 above	34,551,256	31,821,302	

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4. Impact of Tax Planning Strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred assets, by tax character as a percentage.

		12/31/2017	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ 2,823,896	\$ —
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	—%	— %
3.	Net Admitted DTAs	\$ 730,136	\$ —
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning	—%	— %

		12/31/2016	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ 3,675,331	\$ 289,764
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	—%	100 %
3.	Net Admitted DTAs	\$ 138,976	\$ 289,764
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning	—%	100 %

		Change	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ (851,435)	\$ (289,764)
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	—%	(100)%
3.	Net Admitted DTAs	\$ 591,160	\$ (289,764)
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning	—%	(100)%

(b) Do the Company's tax-planning strategies include the use of reinsurance (Yes/No)? NO

B. Regarding deferred tax liabilities not recognized:

As of December 31, 2017, the company had no temporary differences for which deferred tax liabilities are not recognized.

C. Current income taxes incurred consist of the following major components:

1. Current Income Tax

	12/31/2017	12/31/2016	Change
(a) Federal	\$ 926,749	\$ 1,011,426	\$ (84,677)
(b) Foreign	—	—	—
(c) Subtotal	926,749	1,011,426	(84,677)
(d) Federal income tax on net capital gains	340,801	(20,801)	361,602
(e) Utilization of capital loss carry-forwards	—	—	—
(f) Other	—	—	—
(g) Federal & Foreign income tax incurred	\$ 1,267,550	\$ 990,625	\$ 276,925

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2. Deferred tax assets:

	12/31/2017	12/31/2016	Change
(a) Ordinary:			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned Premium reserve	—	—	—
(3) Policyholder reserves	2,621,840	3,272,500	(650,660)
(4) Investments	120,519	217,775	(97,256)
(5) Deferred acquisition costs	81,017	184,189	(103,172)
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	—	—	—
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	520	867	(347)
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other (including items<5% of total ordinary tax assets)	—	—	—
(14) Other assets - nonadmitted	—	—	—
(99) Subtotal	2,823,896	3,675,331	(851,435)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	2,093,760	3,536,355	(1,442,595)
(d) Admitted ordinary deferred tax assets	730,136	138,976	591,160
(e) Capital:			
(1) Investments	—	289,764	(289,764)
(2) Net capital loss carry-forward	—	—	—
(3) Reals estate	—	—	—
(4) Other (including items < 5% of total capital tax assets)	—	—	—
(99) Subtotal	—	289,764	(289,764)
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	—	—	—
(h) Admitted deferred tax assets	—	289,764	(289,764)
(i) Admitted deferred tax assets	\$ 730,136	\$ 428,740	\$ 301,396

3. Deferred tax liabilities:

	12/31/2017	12/31/2016	Change
(a) Ordinary:			
(1) Investments	\$ —	\$ —	\$ —
(2) Fixed Assets	—	—	—
(3) Deferred and uncollected premiums	—	—	—
(4) Policy holders reserves	658,340	—	658,340
(5) Other (including items<5% of total ordinary tax liabilities)	—	—	—
(99) Subtotal	658,340	—	658,340
(b) Capital:			
(1) Investments	—	—	—
(2) Net capital Loss carry-forward	—	—	—
(3) Real Estate	—	—	—
(4) Other (Including Items<5% of total ordinary capital tax assets)	—	—	—
(99) Subtotal	—	—	—
(c) Deferred tax liabilities	\$ 658,340	\$ —	\$ 658,340
4. Net Deferred Tax Assets/Liabilities	\$ 71,796	\$ 428,740	\$ (356,944)

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

OF NEW YORK

NOTES TO FINANCIAL STATEMENTS

D. Analysis of Actual Income Tax Expense

The Company's income tax expense differs from the amount obtained by applying the statutory rate of 35% to pretax income for the following reasons at December 31, 2017:

	12/31/2017	
	Amounts	Effective Tax Rate (%)
Provisions computed at statutory rate	\$ 1,632,670	35.00 %
IMR	(9,304)	(0.20)%
Nonadmitted Assets	—	— %
Stock Compensation	—	— %
Other	19	— %
Rate Differential	\$ 1,443,704	30.95 %
Total	<u>\$ 3,067,089</u>	<u>65.75 %</u>
Federal income tax incurred	\$ 1,267,550	
Change in net deferred income taxes	1,799,539	
Total statutory income tax	<u>\$ 3,067,089</u>	<u>65.75 %</u>

E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

1. At December 31, 2017, the Company did not have any unused operating loss carryforwards or net capital loss carryforwards available to offset against future taxable income.
2. There has been no income tax incurred that is available for recoupment in the event of future net losses.
3. The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

F. Consolidated Federal Income Tax Return

1. The Company is included in the consolidated federal income tax return filed by the Holding Company (the “common parent”) and its other subsidiaries.
2. The Company computes federal income tax expense as if it were filing a separate tax return and remits amounts due to the common parent which files a consolidated tax return on behalf of the affiliated group.

G. Federal or Foreign Federal Income Tax Loss Contingencies

The Company has no tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES:

- A. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- B. The Company is wholly owned by American Equity Investment Life Insurance Company ("American Equity Life"), a life insurance company domiciled in the State of Iowa. American Equity Life is wholly owned by American Equity Investment Life Holding Company ("Holding Company").

No capital contributions were made to the Company during 2017.

Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.

At December 31, 2017 and 2016, the Company reported amounts payable to American Equity Life of \$1,486 and \$186.

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The Company has a Management Services Agreement with American Equity Life. Under this agreement, American Equity Life agrees to provide administrative functions related to agent licensing, payment of commissions, actuarial services, annuity policy issuance and service, accounting and financial, compliance, market conduct, general and informational services and marketing. In exchange for providing these administrative functions, the Company reimburses American Equity Life for actual costs incurred in a fair and equitable manner. During the years ended December 31, 2017 and 2016, the Company incurred fees of \$227,029 and \$219,332, respectively, in accordance with this agreement.

The Company has an Investment Advisory Agreement with the Holding Company. Under this agreement, the Company pays a fee quarterly based on an annual rate of 0.25% on the first \$100,000,000 plus 0.17% on the amount in excess of \$100,000,000 of the market value of invested assets, such fee not to be less than \$2,500 per quarter. In exchange for this fee, the Holding Company is to provide investment advice and make investment decisions based on the investment provisions of the State of New York. During the years ended December 31, 2017 and 2016, the Company incurred fees of \$458,843 and \$466,649, respectively, in accordance with this agreement.

The Company did not have any material assessments in 2017.

- C. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- D. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- E. During 2017, the Company made no guarantees or undertakings for the benefit of an affiliate or related party that could result in a material contingent exposure.
- F. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- G. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- H. The Company did not own any downstream subsidiary, controlled or affiliated companies as of December 31, 2017.
- I. The Company did not own any interest in subsidiary, controlled or affiliated companies as of December 31, 2017.
- J. The Company did not recognize any impairment write-downs for investments in subsidiary, controlled or affiliated companies during 2017.
- K. The Company did not own any investments in a foreign insurance subsidiary where CARVM and related Actuarial Guidelines were calculated as of December 31, 2017.
- L. The Company did not own any investment in a downstream noninsurance holding company as of December 31, 2017.
- M. The Company did not own any SCA investments as of December 31, 2017.
- N. The Company did not have any investment in Insurance SCAs as of December 31, 2017.

**11 - DEBT:**

- A. The Company has no debentures outstanding or any reverse repurchase agreements.
- B. The Company does not have any FHLB agreements for the year ended December 31, 2017.

**12 - RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS:**

- A. Defined Benefit Plan

The company did not have a Defined Benefit Plan as of December 31, 2017.

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B. Description of Investment Policies and Strategies

None

C. Fair Value of Plan Assets

None

D. Rate of Return Assumptions

None

E. Defined Contribution Plans

The Company did not have a Defined Contribution Plan as of December 31, 2017.

F. Multiemployer Plans

The Company did not have Multiemployer Plans as of December 31, 2017.

G. Consolidated/Holding Company Plans

The employees of the Company participate in the American Equity Investment Profit Sharing and 401(k) Plan ("the Plan"), which is sponsored by the Holding Company. During the years ended December 31, 2017 and 2016, the Company incurred expenses of \$1,431 and \$960, respectively, for its employee's participation in the plan.

H. Postemployment Benefits and Compensated Absences

None

I. Impact of Medicare Modernization Act

None

**13 - CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS:**

1. The Company has 2,000,000 shares of authorized, issued and outstanding common stock as of December 31, 2017.
2. The Company has no preferred stock outstanding.
3. Prior approval of the Insurance Department is required for the payment of dividends.
4. The Company made no dividend payments during 2017 or 2016.
5. Within the limitation of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to the stockholder.
6. No restrictions have been placed on the Company's unassigned surplus.
7. The Company does not have any advances to surplus not repaid.
8. The Company held none of its stock or stock of affiliated companies for special purposes.
9. The Company had no changes in special surplus from the prior year.
10. The Company has no unrealized gain or loss as of December 31, 2017.
11. The Company does not have any surplus notes as of December 31, 2017.
12. The Company did not have any quasi-reorganizations during 2017.
13. The Company did not have any quasi-reorganizations during 2017.



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**NOTES TO FINANCIAL STATEMENTS**

**14 - LIABILITIES, CONTINGENCIES AND ASSESSMENTS:**

A. Contingent Commitments

The Company did not have any contingent commitments or guarantees in 2017.

B. Assessments

The Company did not have any material assessments in 2017.

C. Gain Contingencies

The Company did not have any gain contingencies as of December 31, 2017.

D. Claims Related to Extra Contractual Obligations and Bad Faith Losses Stemming from Lawsuits

The Company did not pay amounts during the reporting period to settle claims related to extra contractual obligations or bad faith claims stemming from lawsuits.

E. Joint and Several Liabilities

The Company did not have any joint or several liabilities as of December 31, 2017.

F. All Other Contingencies

The Company did not have any loss contingencies as of December 31, 2017.

**15 - LEASES:**

A. Lessee Leasing Arrangements

The Company leases certain office space at its home office in Lake Success, New York. During the years ended December 31, 2017 and 2016, rent expense totaled \$31,635 and \$31,635, respectively. The Company had no other leases.

B. Lessor Leases

The Company is not a lessor under any leasing arrangements.

**16 - INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK:**

The Company did not have financial instruments with off-balance sheet risk or derivative financial instruments as of December 31, 2017.

**17 - SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES:**

A. Transfers of Receivables Reported as Sales

For the year ended December 31, 2017, the Company did not have transfers of receivables reported as sales.

B. Transfer and Servicing of Financials Assets

The company did not have any transactions surrounding the transfers and servicing of financial assets during the year ended December 31, 2017.

C. Wash Sales

In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the year ended December 31, 2017, the Company did not have any transactions qualifying as wash sales.

NOTES TO FINANCIAL STATEMENTS

18 - GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS:

A. ASO Plans

The Company did not have ASO plans during 2017.

B. ASC Plans

The Company did not have any ASC Plans during 2017.

C. Medicare or Similarly Structured Cost Based Reimbursement Contract

The Company did not have any Medicare or other similarly structured cost based reimbursement contracts during 2017.

19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS:

The Company did not have any direct premiums written by managing general agents or third party administrators during 2017.

20 - FAIR VALUE MEASUREMENTS:

A.

(1) Fair Value Measurements at Reporting Date

The Company did not have any assets measured at fair value as of December 31, 2017.

(2) Fair Value Measurements in (level 3) of the Fair Value Hierarchy

None.

(3) Policy on Transfers Into and Out of Level 3

Transfers of securities among the levels occur at times and depend on the type of inputs used to determine fair value of each security. There were no transfers between levels during any period presented.

(4) Inputs and Techniques Used for Level 2 and Level 3 Fair Values

Fair value is the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. The objective of a fair value measurement is to determine that price for each financial instrument at each measurement date. The Company meet this objective using various methods of valuation that include market, income and cost approaches.

The Company categorizes its financial instruments into three levels of fair value hierarchy based on the priority of inputs used in determining fair value. The hierarchy defines the highest priority inputs (Level 1) as quoted prices in active markets for identical assets or liabilities. The lowest priority inputs (Level 3) are the Company's assumptions about what a market participant would use in determining fair value such as estimated future cash flows. In certain cases, the inputs used to measure fair value may fall into different levels of the fair value hierarchy. In such cases, a financial instrument's level within the fair value hierarchy is based on the lowest level of input that is significant to the fair value measurement. The Company's assessment of the significance of a particular input to the fair value measurement in its entirety requires judgment and considers factors specific to the financial instrument. The Company categorizes its financial assets and liabilities recorded at fair value in the consolidated balance sheets as follows:

Level 1 - Quoted prices are available in active markets for identical financial instruments as of the reporting date. The Company does not adjust the quoted price for these financial instruments, even in situations where it holds a large position and a sale could reasonably impact the quoted price.

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NOTES TO FINANCIAL STATEMENTS

Level 2 - Quoted prices in active markets for similar financial instruments, quoted prices for identical or similar financial instruments in markets that are not active; and models and other valuation methodologies using inputs other than quoted prices that are observable.

Level 3 - Models and other valuation methodologies using significant inputs that are unobservable for financial instruments and include situations where there is little, if any, market activity for the financial instrument. The inputs into the determination of fair value require significant management judgment or estimation. Financial instruments that are included in Level 3 are securities for which no market activity or data exists and for which the Company used discounted expected future cash flows with its own assumptions about what a market participant would use in determining fair value.

(5) Derivative Fair Values

None.

B. Other Fair Value Disclosures

None.

C. Fair Values for All Financial Instruments by the Fair Value Hierarchy

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Assets:						
Bonds	\$ 213,898,712	\$ 199,426,716	\$ 507,375	\$ 213,391,337	\$ —	\$ —
Other invested assets	3,671,747	3,185,917	—	3,671,747	—	—
Cash	543,217	543,217	543,217	—	—	—
Cash equivalents	2,975,000	2,975,000	2,975,000	—	—	—
Liabilities:						
Annuity reserves	\$ 154,834,056	\$ 157,471,230	\$ —	\$ —	\$ 154,834,056	\$ —

*Bonds:* The fair values of bonds are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

*Other invested assets:* The fair values of other invested assets are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

*Cash and cash equivalents:* Amounts reported in the statutory-basis balance sheets for these instruments are reported at their historical cost which approximates fair value due to the nature of the assets assigned to this category.

*Annuity reserves:* The fair values of the liabilities under under deferred annuity contracts are stated at the cost the Company would incur to extinguish the liability (i.e., the cash surrender value). For period certain annuity benefit contracts, the fair value is determined by discounting the benefits at the interest rates currently in effect for newly purchased immediate annuity contracts.

D. Reasons Not Practicable to Estimate Fair Value

None

21 - OTHER ITEMS:

A. Unusual or Infrequent Items

The Company did not have any extraordinary items during 2017.

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**NOTES TO FINANCIAL STATEMENTS**

B. Troubled Debt Restructuring: Debtors

During the year ended December 31, 2017, the Company did not have any trouble debt restructuring.

C. Other Disclosures

The Company did not have any unusual items or investments pledged as collateral as of December 31, 2017.

D. Business Interruption Insurance Recoveries

The Company did not have any business interruption insurance recoveries during 2017.

E. State Transferable and Non-transferable Tax Credits

The Company did not have any state transferable or non-transferable tax credits as of December 31, 2017.

F. Subprime Mortgage-Related Risk Exposure

The Company defines its exposure to subprime mortgages as any fixed income security primarily backed by mortgage pools with any of the following characteristics calculated on a weighted average basis:

- First lien mortgages whose borrowers have FICO scores less than 650
- First lien mortgages with loan-to-value ratios greater than 90%
- Second lien mortgages whose borrowers have FICO scores less than 675
- Borrowers with less than conventional documentation and FICO scores less than 650
- Any security backed by a U.S. Government Agency or insured by FHA or VA is not considered to be subprime

The Company did not have any exposure to subprime securities during the year ended December 31, 2017.

G. Retained Assets

As of December 31, 2017, the Company does not have any retained assets.

H. Insurance-Linked Securities (ILS) Contracts

As of December 31, 2017, the Company does not have any insurance-linked securities.

**22 - EVENTS SUBSEQUENT:**

Type I - Recognized Subsequent Events:

Subsequent events have been considered through February 23, 2018.

There are no subsequent events to report.

Type II - Nonrecognized Subsequent Events:

Subsequent events have been considered through February 23, 2018.

There are no subsequent events to report.

The Company is not subject to an annual fee under Section 9010 of the Federal Affordable Care Act.

**23 - REINSURANCE:**

As of December 31, 2017, the Company does not have any reinsurance agreements.

**24 - RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION:**

A. Disclose the method used by the reporting entity to estimate accrued retrospective premium adjustments.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

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- B. Disclose whether accrued retrospective premiums are recorded through written premium or as an adjustment to earned premium.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

- C. Disclose the amount of net premiums written that are subject to retrospective rating features, as well as the corresponding percentage to total net premiums written.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

- D. Medical Loss Ratio Rebates.

The Company does not have any medical loss ratio rebates.

- E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

The Company is not subject to risk-sharing provisions of the Affordable Care Act.

**25 - CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES:**

The Company did not have any change in incurred losses and loss adjustment expense during 2017.

**26 - INTERCOMPANY POOLING ARRANGEMENTS:**

A.-G. The Company did not participate in any intercompany pooling arrangements during 2017.

**27 - STRUCTURED SETTLEMENTS:**

A.-B. The Company did not have any structured settlements in 2017.

**28 - HEALTH CARE RECEIVABLES:**

A.-B. The Company did not have any health care receivables as of December 31, 2017.

**29 - PARTICIPATING POLICIES:**

The Company did not have any participating policies during 2017.

**30 - PREMIUM DEFICIENCY RESERVES:**

The Company did not have any premium deficiency reserves as of December 31, 2017.

**31 - RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS:**

1. The Company waives deduction of fractional premiums upon the death of the insured. Surrender values are not promised in excess of legally computed reserves.

2. As part of the underwriting process, an individual may be determined to be substandard. In some cases, additional premiums are charged depending on such determination.

The reserves for annuity policies, developed by actuarial methods, are established and maintained on the basis of published mortality and morbidity tables using assumed interest rates and valuation methods that will provide, in the aggregate, reserves that are not less than the minimum valuation required by law or guaranteed policy cash values.

3. As of December 31, 2017, the Company has no insurance in force for which the gross premiums are less than the net premiums according to the standard valuation set by the State of New York.

4. The Tabular Interest, Tabular Less Actual Reserves Released and Tabular Cost have been determined by formula as described in the instructions.

5. For the determination of Tabular Interest on fund not involving life contingencies for each valuation rate of interest, the tabular interest is calculated as one hundredth of the product of such valuation rate of interest times the mean of the amount of funds subject to such valuation rate of interest held at the beginning and end of the year of valuation.

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NOTES TO FINANCIAL STATEMENTS

6. None.

32 - ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS:

	General	Separate Account with	Separate Account		
A. Subject to discretionary withdrawal	Account	Guarantees	Non-guaranteed	Amount	% of Total
(1) With fair value adjustment	\$ —	\$ —	\$ —	\$ —	—%
(2) At book value less current surrender charge of 5% or more	69,205,762	—	—	69,205,762	42.8%
(3) At fair value	—	—	—	—	—%
(4) Total with adjustment or at market value (Total of 1 through 3)	69,205,762	—	—	69,205,762	42.8%
(5) At book value without adjustment (minimal or no charge or adjustment)	87,049,936	—	—	87,049,936	53.9%
B. Not subject to discretionary withdrawal	5,255,143	—	—	5,255,143	3.3%
C. Total (gross)	161,510,841	—	—	161,510,841	100.0%
D. Reinsurance ceded	—	—	—	—	
E. Total (net)*(C-D)	\$ 161,510,841	\$ —	\$ —	\$ 161,510,841	

\* Reconciliation of total annuity actuarial reserves and deposit fund liabilities.

F. Life, Accident and Health Annual Statement:	Amount
(1) Exhibit 5 - Annuities Section, Total (net)	\$ 159,189,157
(2) Exhibit 5 - Supplementary Contracts with Life Contingencies Section, Total (net)	1,106,152
(3) Exhibit 7 - Deposit-Type Contracts, Line 14, Column 1	1,215,532
(4) Subtotal	\$ 161,510,841
Separate Accounts Annual Statement	
(5) Exhibit 3, Line 299999, Column 2	\$ —
(6) Exhibit 3, Line 399999, Column 2	—
(7) Policyholder dividend and coupon accumulations	—
(8) Policyholder premiums	—
(9) Guaranteed interest contracts	—
(10) Other contract deposit funds	—
(11) Subtotal	\$ —
(12) Combined Total	\$ 161,510,841

33 - PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED:

The Company did not have any deferred and uncollected life insurance premiums and annuity consideration as of December 31, 2017.

34 - SEPARATE ACCOUNTS:

The Company did not have any Separate Accounts as of December 31, 2017.

35 - LOSS/CLAIM ADJUSTMENT EXPENSES:

The Company did not have loss/claim adjustment expenses during 2017.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES  
GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....  
If yes, complete Schedule Y, Parts 1, 1A and 2

Yes [ X ] No [ ]

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? .....

Yes [ X ] No [ ] N/A [ ]

1.3

State Regulating? .....

NEW YORK

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ] No [ X ]

2.2

If yes, date of change: .....

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2013

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2010

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

02/28/2014

3.4

By what department or departments?  
NEW YORK INSURANCE DEPARTMENT .....

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ X ] No [ ] N/A [ ]

3.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ X ] No [ ] N/A [ ]

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.11 sales of new business? .....  
4.12 renewals? .....

Yes [ ] No [ X ]  
Yes [ ] No [ X ]

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.21 sales of new business? .....  
4.22 renewals? .....

Yes [ ] No [ X ]  
Yes [ ] No [ X ]

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

Yes [ ] No [ X ]

5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ] No [ X ]

6.2

If yes, give full information: .....

7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? .....

Yes [ ] No [ X ]

7.2

If yes,  
7.21 State the percentage of foreign control; ..... %  
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

GENERAL INTERROGATORIES

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ X ] No [ ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
AMERICAN EQUITY INVESTMENT CAPITAL, INC	WEST DES MOINES, IOWA	NO	NO	NO	YES

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

KPMG, LLP  
2500 RUAN CENTER  
666 GRAND AVE  
DES MOINES, IOWA 50309

10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [ ] No [ X ]

10.2

If the response to 10.1 is yes, provide information related to this exemption:

10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [ ] No [ X ]

10.4

If the response to 10.3 is yes, provide information related to this exemption:

10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [ X ] No [ ] N/A [ ]

10.6

If the response to 10.5 is no or n/a, please explain

11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

JOHN R MILLER, CHIEF ACTUARY  
AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK  
6000 WESTOWN PARKWAY  
WEST DES MOINES, IOWA 50266

12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [ ] No [ X ]

12.11

Name of real estate holding company

12.12

Number of parcels involved

12.13

Total book/adjusted carrying value

\$

12.2

If, yes provide explanation:

13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:

13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?

13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [ ] No [ ]

13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [ ] No [ ]

13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [ ] No [ ] N/A [ ]

14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [ X ] No [ ]

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.

14.11

If the response to 14.1 is No, please explain:

14.2

Has the code of ethics for senior managers been amended?

Yes [ ] No [ X ]

14.21

If the response to 14.2 is yes, provide information related to amendment(s).

14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [ ] No [ X ]

14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).



## GENERAL INTERROGATORIES

- | 1<br>American Bankers Association (ABA) Routing Number | 2<br>Issuing or Confirming Bank Name | 3<br>Circumstances That Can Trigger the Letter of Credit | 4<br>Amount |
|--|--------------------------------------|--|-------------|
|  |                                      |  |             |

16.	Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? .....	Yes [ X ] No [ ]
17.	Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? .....	Yes [ X ] No [ ]
18.	Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? .....	Yes [ X ] No [ ]

19.	Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? .....		Yes [    ]	No [ X ]
20.1	Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):	20.11 To directors or other officers.....\$ ..... 20.12 To stockholders not officers.....\$ ..... 20.13 Trustees, supreme or grand (Fraternal Only) .....\$ .....		
20.2	Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):	20.21 To directors or other officers.....\$ ..... 20.22 To stockholders not officers.....\$ ..... 20.23 Trustees, supreme or grand (Fraternal Only) .....\$ .....		
21.1	Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? .....		Yes [    ]	No [ X ]
21.2	If yes, state the amount thereof at December 31 of the current year:	21.21 Rented from others.....\$ ..... 21.22 Borrowed from others.....\$ ..... 21.23 Leased from others .....\$ ..... 21.24 Other .....\$ .....		
22.1	Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? .....		Yes [    ]	No [ X ]
22.2	If answer is yes:	22.21 Amount paid as losses or risk adjustment \$ ..... 22.22 Amount paid as expenses .....\$ ..... 22.23 Other amounts paid .....\$ .....		
23.1	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....		Yes [    ]	No [ X ]
23.2	If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....		\$ .....	

24.01	Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03).....	Yes	<input checked="" type="checkbox"/>	X		No	<input type="checkbox"/>		
24.02	If no, give full and complete information relating thereto .....								
24.03	For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided) .....								
24.04	Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? .....	Yes	<input type="checkbox"/>			No	<input type="checkbox"/>		N/A <input checked="" type="checkbox"/>
24.05	If answer to 24.04 is yes, report amount of collateral for conforming programs. ....	\$	.....						
24.06	If answer to 24.04 is no, report amount of collateral for other programs. ....	\$	.....						
24.07	Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? .....	Yes	<input type="checkbox"/>			No	<input type="checkbox"/>		N/A <input checked="" type="checkbox"/>
24.08	Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? .....	Yes	<input type="checkbox"/>			No	<input type="checkbox"/>		N/A <input checked="" type="checkbox"/>
24.09	Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? .....	Yes	<input type="checkbox"/>			No	<input type="checkbox"/>		N/A <input checked="" type="checkbox"/>

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.102	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.103	Total payable for securities lending reported on the liability page.	\$	

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes ☒ No ☐

25.2	If yes, state the amount thereof at December 31 of the current year:	25.21 Subject to repurchase agreements	\$	
		25.22 Subject to reverse repurchase agreements	\$	
		25.23 Subject to dollar repurchase agreements	\$	
		25.24 Subject to reverse dollar repurchase agreements	\$	
		25.25 Placed under option agreements	\$	
		25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	
		25.27 FHLB Capital Stock	\$	
		25.28 On deposit with states	\$	405,330
		25.29 On deposit with other regulatory bodies	\$	
		25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	
		25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	
		25.32 Other	\$	

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes ☐ No ☒

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes ☐ No ☐ N/A ☒  
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes ☐ No ☒

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
State Street Bank & Trust	225 Liberty Street, 2 World Financial Center, New York, NY 10281

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes ☐ No ☒

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

GENERAL INTERROGATORIES

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
American Equity Advisors, Inc. ....	A.....
.....	.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [ ] No [ X ]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [ ] No [ X ]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
.....	American Equity Advisors, Inc. ....	.....	.....	DS.....
.....	.....	.....	.....	.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? ..... Yes [ ] No [ X ]

29.2 If yes, complete the following schedule:

1	2	3
CUSIP #	Name of Mutual Fund	Book/Adjusted Carrying Value
29.2999 - Total		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
		Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund		Date of Valuation
.....	.....	.....	.....

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	199,426,722	213,898,712	14,471,990
30.2 Preferred stocks .....			
30.3 Totals	199,426,722	213,898,712	14,471,990

30.4 Describe the sources or methods utilized in determining the fair values:  
Fair values are determined by using matrices, broker quotes, exchange prices, pricing services, and other external sources. ....

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ X ] No [ ]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ X ] No [ ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:  
.....

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

32.2 If no, list exceptions:  
.....

GENERAL INTERROGATORIES

33. By self-designating 5\*GI securities, the reporting entity is certifying the following elements of each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities? ..... Yes [ ] No [ X ]

OTHER

34.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....

34.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1	2
Name	Amount Paid
.....	.....

35.1 Amount of payments for legal expenses, if any? .....\$ .....

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1	2
Name	Amount Paid
.....	.....

36.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....

36.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1	2
Name	Amount Paid
.....	.....

GENERAL INTERROGATORIES

PART 2 - LIFE INTERROGATORIES

1.1

Does the reporting entity have any direct Medicare Supplement Insurance in force?

Yes [ ] No [ X ]

1.2

If yes, indicate premium earned on U.S. business only

\$

1.3

What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?

\$

1.31

Reason for excluding:

1.4

Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.

\$

1.5

Indicate total incurred claims on all Medicare Supplement insurance.

\$

1.6

Individual policies:

Most current three years:

1.61

Total premium earned

\$

1.62

Total incurred claims

\$

1.63

Number of covered lives

All years prior to most current three years

1.64

Total premium earned

\$

1.65

Total incurred claims

\$

1.66

Number of covered lives

1.7

Group policies:

Most current three years:

1.71

Total premium earned

\$

1.72

Total incurred claims

\$

1.73

Number of covered lives

All years prior to most current three years

1.74

Total premium earned

\$

1.75

Total incurred claims

\$

1.76

Number of covered lives

2.

Health Test:

1

Current Year

2

Prior Year

2.1

Premium Numerator

2.2

Premium Denominator

217,665

254,519

2.3

Premium Ratio (2.1/2.2)

0.000

0.000

2.4

Reserve Numerator

2.5

Reserve Denominator

160,295,309

168,253,059

2.6

Reserve Ratio (2.4/2.5)

0.000

0.000

3.1

Does this reporting entity have Separate Accounts?

Yes [ ] No [ X ]

3.2

If yes, has a Separate Accounts Statement been filed with this Department?

Yes [ ] No [ ] N/A [ ]

3.3

What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account?

\$

3.4

State the authority under which Separate Accounts are maintained:

3.5

Was any of the reporting entity's Separate Accounts business reinsured as of December 31?

Yes [ ] No [ ]

3.6

Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31?

Yes [ ] No [ ]

3.7

If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"?

4.1

Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)?

Yes [ X ] No [ ]

4.2

Net reimbursement of such expenses between reporting entities:

4.21

Paid

\$

685,872

4.22

Received

\$

5.1

Does the reporting entity write any guaranteed interest contracts?

Yes [ ] No [ X ]

5.2

If yes, what amount pertaining to these lines is included in:

5.21

Page 3, Line 1

\$

5.22

Page 4, Line 1

\$

6.

FOR STOCK REPORTING ENTITIES ONLY:

6.1

Total amount paid in by stockholders as surplus funds since organization of the reporting entity:

\$27,948,754

7.

Total dividends paid stockholders since organization of the reporting entity:

7.11

Cash

\$

7.12

Stock

\$

GENERAL INTERROGATORIES

8.1

Does the company reinsure any Workers' Compensation Carve-Out business defined as: .....  
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

Yes [ ] No [ X ]

8.2

If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? .....

Yes [ ] No [ ]

8.3

If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
8.31 Earned premium .....			
8.32 Paid claims .....			
8.33 Claim liability and reserve (beginning of year) .....			
8.34 Claim liability and reserve (end of year) .....			
8.35 Incurred claims .....			

8.4

If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
8.41	<\$25,000		
8.42	\$25,000 - 99,999		
8.43	\$100,000 - 249,999		
8.44	\$250,000 - 999,999		
8.45	\$1,000,000 or more		

8.5

What portion of earned premium reported in 8.31, Column 1 was assumed from pools? .....

\$ .....

9.

For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

9.1

Amount of loss reserves established by these annuities during the current year: .....

\$ .....

9.2

List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

10.1

Do you act as a custodian for health savings accounts? .....

Yes [ ] No [ X ]

10.2

If yes, please provide the amount of custodial funds held as of the reporting date. ....

\$ .....

10.3

Do you act as an administrator for health savings accounts? .....

Yes [ ] No [ X ]

10.4

If yes, please provide the balance of funds administered as of the reporting date. ....

\$ .....

GENERAL INTERROGATORIES

11.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [ ] No [ ] N/A [ X ]  
11.2 If the answer to 11.1 is yes, please provide the following:

1  Company Name	2 NAIC Company Code	3  Domiciliary Jurisdiction	4  Reserve Credit	Assets Supporting Reserve Credit		
				5  Letters of Credit	6  Trust Agreements	7  Other

12. Provide the following for individual ordinary life insurance\* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

12.1 Direct Premium Written .....

12.2 Total Incurred Claims .....

12.3 Number of Covered Lives .....

\$ .....

\$ .....

.....

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.  
\$000 omitted for amounts of life insurance

	1 2017	2 2016	3 2015	4 2014	5 2013
<b>Life Insurance in Force</b> (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4) .....					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4) .....					
3. Credit life (Line 21, Col. 6) .....					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4) .....					
5. Industrial (Line 21, Col. 2) .....					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4) .....					
7. Total (Line 21, Col. 10) .....					
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated .....		XXX	XXX	XXX	XXX
<b>New Business Issued</b> (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2) .....					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2) .....					
10. Credit life (Line 2, Col. 6) .....					
11. Group (Line 2, Col. 9) .....					
12. Industrial (Line 2, Col. 2) .....					
13. Total (Line 2, Col. 10) .....					
<b>Premium Income - Lines of Business</b> (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2) .....					
15.1 Ordinary-life insurance (Line 20.4, Col. 3) .....					
15.2 Ordinary-individual annuities (Line 20.4, Col. 4) .....	217,665	254,519	221,485	602,666	999,803
16. Credit life (group and individual) (Line 20.4, Col. 5) .....					
17.1 Group life insurance (Line 20.4, Col. 6) .....					
17.2 Group annuities (Line 20.4, Col. 7) .....					
18.1 A & H-group (Line 20.4, Col. 8) .....					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9) .....					
18.3 A & H-other (Line 20.4, Col. 10) .....					
19. Aggregate of all other lines of business (Line 20.4,Col. 11) .....					
20. Total .....	217,665	254,519	221,485	602,666	999,803
<b>Balance Sheet (Pages 2 &amp; 3)</b>					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3) .....	208,526,176	213,284,832	218,285,720	223,933,365	226,143,632
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26) .....	173,903,124	181,034,790	188,108,200	194,982,164	198,515,285
23. Aggregate life reserves (Page 3, Line 1) .....	169,645,309	177,603,059	184,625,286	190,984,390	194,408,603
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1 .....		XXX	XXX	XXX	XXX
24. Aggregate A & H reserves (Page 3, Line 2) .....					
25. Deposit-type contract funds (Page 3, Line 3) .....	1,215,532	1,454,134	1,761,908	2,162,888	2,639,202
26. Asset valuation reserve (Page 3, Line 24.01) .....	1,384,574	894,734	588,989	884,539	610,913
27. Capital (Page 3, Lines 29 and 30) .....	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
28. Surplus (Page 3, Line 37) .....	32,623,052	30,250,042	28,177,520	26,951,201	25,628,347
<b>Cash Flow (Page 5)</b>					
29. Net Cash from Operations (Line 11) .....	(4,663,594)	(4,270,748)	(3,616,561)	(911,086)	(2,095,058)
<b>Risk-Based Capital Analysis</b>					
30. Total adjusted capital .....	36,007,626	33,144,776	30,766,509	29,835,740	28,239,260
31. Authorized control level risk - based capital .....	1,673,140	1,749,618	1,761,235	1,734,216	1,636,339
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1) .....	96.7	97.6	98.1	98.1	97.4
33. Stocks (Lines 2.1 and 2.2) .....					
34. Mortgage loans on real estate(Lines 3.1 and 3.2 ) .....					
35. Real estate (Lines 4.1, 4.2 and 4.3) .....					
36. Cash, cash equivalents and short-term investments (Line 5) .....	1.7	1.4	0.7	0.9	1.6
37. Contract loans (Line 6) .....					
38. Derivatives (Page 2, Line 7) .....					
39. Other invested assets (Line 8) .....	1.5	1.0	1.0	1.0	1.0
40. Receivables for securities (Line 9) .....	0.0		0.2	0.0	
41. Securities lending reinvested collateral assets (Line 10) .....					
42. Aggregate write-ins for invested assets (Line 11) .....					
43. Cash, cash equivalents and invested assets (Line 12) .....	100.0	100.0	100.0	100.0	100.0



FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2017	2 2016	3 2015	4 2014	5 2013
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1) .....					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1) .....					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1), .....					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10) .....					
48. Affiliated mortgage loans on real estate .....					
49. All other affiliated .....					
50. Total of above Lines 44 to 49 .....					
51. Total Investment in Parent included in Lines 44 to 49 above .....					
<b>Total Nonadmitted and Admitted Assets</b>					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2) .....	2,096,238	3,538,833	3,965,682	3,662,932	3,686,175
53. Total admitted assets (Page 2, Line 28, Col. 3) .....	208,526,176	213,284,832	218,285,720	223,933,365	226,143,632
<b>Investment Data</b>					
54. Net investment income (Exhibit of Net Investment Income) .....	10,226,077	10,030,331	10,482,714	10,480,089	10,747,367
55. Realized capital gains (losses) (Page 4, Line 34, Column 1 ) .....	455,485	(29,076)	(624,526)		12,162
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) .....					
57. Total of above Lines 54, 55 and 56 .....	10,681,562	10,001,255	9,858,188	10,480,089	10,759,529
<b>Benefits and Reserve Increases (Page 6)</b>					
58. Total contract benefits - life (Lines 10, 11, 12, 13, 14 and 15 Col. 1, minus Lines 10, 11,12, 13, 14 and 15 Cols. 9, 10 and 11) .....	14,576,272	13,394,367	13,253,501	10,719,195	12,152,159
59. Total contract benefits - A & H (Lines 13 & 14, Cols. 9, 10 & 11) .....					
60. Increase in life reserves - other than group and annuities (Line 19, Cols. 2 and 3 ) .....					
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11) .....					
62. Dividends to policyholders (Line 30, Col. 1) .....					
<b>Operating Percentages</b>					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 .....	200.0	171.5	301.1	134.9	75.4
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0 .....					
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2) .....					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2) .....					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2) .....					
<b>A &amp; H Claim Reserve Adequacy</b>					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) .....					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2) .....					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2) .....					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2) .....					
<b>Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)</b>					
72. Industrial life (Col. 2) .....					
73. Ordinary - life (Col. 3) .....					
74. Ordinary - individual annuities (Col. 4) .....	2,759,501	2,152,992	1,720,438	1,751,963	2,208,212
75. Ordinary-supplementary contracts (Col. 5) .....	4,809	(77,783)	(77,331)	(114,914)	(121,835)
76. Credit life (Col. 6) .....					
77. Group life (Col. 7) .....					
78. Group annuities (Col. 8) .....					
79. A & H-group (Col. 9) .....					
80. A & H-credit (Col. 10) .....					
81. A & H-other (Col. 11) .....					
82. Aggregate of all other lines of business (Col. 12) ....					
83. Total (Col. 1) .....	2,764,310	2,075,209	1,643,107	1,637,049	2,086,377

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? ..... Yes [        ] No [        ]  
If no, please explain: .....



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

DIRECT BUSINESS IN THE STATE OF Grand Total

NAIC Group Code 2658

LIFE INSURANCE

DURING THE YEAR 2017

NAIC Company Code 11135

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations	217,665				217,665
3. Deposit-type contract funds	84,944	XXX		XXX	84,944
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)	302,609				302,609
DIRECT DIVIDENDS TO POLICYHOLDERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits	3,246,068				3,246,068
12. Surrender values and withdrawals for life contracts	11,330,204				11,330,204
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals	14,576,272				14,576,272
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No.	Amount	No. of Ind.Pols. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No.	Amount	No.	Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT										
20. In force December 31, prior year			(a)							
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year			(a)							

a) Includes Individual Credit Life Insurance prior year \$ , current year \$

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$ , current year \$

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year \$ , current year \$

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Dividends Paid Or Credited On Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products

Exhibit of Life Insurance  
**N O N E**

Exhibit of Life Insurance - Part 2  
**N O N E**

Exhibit of Life Insurance - Part 3  
**N O N E**

Exhibit of Life Insurance - Part 4  
**N O N E**

Exhibit of Life Insurance - Part 5  
**N O N E**

Exhibit of Life Insurance - Part 6  
**N O N E**

Exhibit of Life Insurance - Part 7  
**N O N E**

Exhibit of Life Insurance - Policies with Disability Provisions  
**N O N E**

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS

	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year .....	14	6		
2. Issued during year .....	9	1		
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....	23	7		
Deductions during year:				
6. Decreased (net) .....		1		
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....		1		
9. In force end of year .....	23	6		
10. Amount on deposit .....	1,106,152	(a) 169,744		(a)
11. Income now payable .....	23	6		
12. Amount of income payable .....	(a) 171,260	(a) 58,239	(a)	(a)

ANNUITIES

	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year .....	52	2,295		
2. Issued during year .....		12		
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....	52	2,307		
Deductions during year:				
6. Decreased (net) .....	6	180		
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....	6	180		
9. In force end of year .....	46	2,127		
Income now payable:				
10. Amount of income payable .....	(a) 529,364	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance .....	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance .....	XXX	(a) 159,598,763	XXX	(a)

ACCIDENT AND HEALTH INSURANCE

	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year .....						
2. Issued during year .....						
3. Reinsurance assumed .....						
4. Increased during year (net) .....		XXX		XXX		XXX
5. Totals (Lines 1 to 4) .....		XXX		XXX		XXX
Deductions during year:						
6. Conversions .....		XXX		XXX	XXX	XXX
7. Decreased (net) .....		XX		XX		XXX
8. Reinsurance ceded .....		XX		XXX		XXX
9. Totals (Lines 6 to 8) .....		XXX		XXX		XXX
10. In force end of year .....		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS

	1 Deposit Funds Contracts	2 Dividend Accumulations Contracts
1. In force end of prior year .....		
2. Issued during year .....		
3. Reinsurance assumed .....		
4. Increased during year (net) .....		
5. Totals (Lines 1 to 4) .....		
Deductions During Year:		
6. Decreased (net) .....		
7. Reinsurance ceded .....		
8. Totals (Lines 6 and 7) .....		
9. In force end of year .....		
10. Amount of account balance .....	(a)	(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE			1 Amount
1.	Reserve as of December 31, Prior Year .....		78,791
2.	Current year's realized pre-tax capital gains/(losses) of \$ .....272,968 transferred into the reserve net of taxes of \$ .....95,539		177,429
3.	Adjustment for current year's liability gains/(losses) released from the reserve .....		
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3) .....		256,220
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4) .....		26,583
6.	Reserve as of December 31, current year (Line 4 minus Line 5)		229,637

AMORTIZATION

	1	2	3	4
Year of Amortization	Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2017 .....	23,135	3,447		26,583
2. 2018 .....	20,598	7,948		28,546
3. 2019 .....	17,175	9,612		26,786
4. 2020 .....	14,429	10,638		25,067
5. 2021 .....	10,347	11,514		21,862
6. 2022 .....	5,380	12,576		17,955
7. 2023 .....	2,002	13,358		15,361
8. 2024 .....	(151)	13,918		13,767
9. 2025 .....	(2,345)	14,431		12,086
10. 2026 .....	(3,110)	14,990		11,880
11. 2027 .....	(2,451)	15,667		13,215
12. 2028 .....	(1,916)	14,615		12,699
13. 2029 .....	(1,405)	12,025		10,620
14. 2030 .....	(913)	9,153		8,240
15. 2031 .....	(608)	6,095		5,488
16. 2032 .....	(519)	3,247		2,728
17. 2033 .....	(448)	1,475		1,027
18. 2034 .....	(307)	1,176		868
19. 2035 .....	(102)	853		750
20. 2036 .....		507		507
21. 2037 .....		184		184
22. 2038 .....				
23. 2039 .....				
24. 2040 .....				
25. 2041 .....				
26. 2042 .....				
27. 2043 .....				
28. 2044 .....				
29. 2045 .....				
30. 2046 .....				
31. 2047 and Later				
32. Total (Lines 1 to 31)	78,791	177,429		256,220

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year .....	888,416		888,416		6,318	6,318	894,734
2. Realized capital gains/(losses) net of taxes - General Account .....	455,485		455,485				455,485
3. Realized capital gains/(losses) net of taxes - Separate Accounts .....							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account .....							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts .....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves .....							
7. Basic contribution .....	286,412		286,412		1,274	1,274	287,686
8. Accumulated balances (Lines 1 through 5 - 6 + 7) .....	1,630,312		1,630,312		7,592	7,592	1,637,905
9. Maximum reserve .....	1,377,034		1,377,034		9,558	9,558	1,386,592
10. Reserve objective .....	943,116		943,116		7,328	7,328	950,444
11. 20% of (Line 10 - Line 8) .....	(137,439)		(137,439)		(53)	(53)	(137,492)
12. Balance before transfers (Lines 8 + 11) .....	1,492,873		1,492,873		7,539	7,539	1,500,412
13. Transfers .....							
14. Voluntary contribution .....							
15. Adjustment down to maximum/up to zero .....	(115,839)		(115,839)				(115,839)
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	1,377,034		1,377,034		7,539	7,539	1,384,573

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

ASSET VALUATION RESERVE  
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS  
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations .....	405,330	XXX	XXX	405,330	0.0000		0.0000		0.0000	
2.	1	Highest Quality .....	112,641,559	XXX	XXX	112,641,559	0.0004	45,057	0.0023	259,076	0.0030	337,925
3.	2	High Quality .....	78,652,474	XXX	XXX	78,652,474	0.0019	149,440	0.0058	456,184	0.0090	707,872
4.	3	Medium Quality .....	6,056,454	XXX	XXX	6,056,454	0.0093	56,325	0.0230	139,298	0.0340	205,919
5.	4	Low Quality .....	1,670,900	XXX	XXX	1,670,900	0.0213	35,590	0.0530	88,558	0.0750	125,318
6.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
7.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
8.		Total Unrated Multi-class Securities Acquired by Conversion ..		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (Sum of Lines 1 through 8)	199,426,717	XXX	XXX	199,426,717	XXX	286,412	XXX	943,116	XXX	1,377,034
PREFERRED STOCK												
10.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
11.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
12.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
13.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
14.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
15.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
16.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	
SHORT - TERM BONDS												
18.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
19.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
20.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
21.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
22.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
23.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
24.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)		XXX	XXX		XXX		XXX		XXX	
DERIVATIVE INSTRUMENTS												
26.		Exchange Traded .....		XXX	XXX		0.0004		0.0023		0.0030	
27.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
28.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
29.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
30.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
31.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
32.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
33.		Total Derivative Instruments .....		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	199,426,717	XXX	XXX	199,426,717	XXX	286,412	XXX	943,116	XXX	1,377,034

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1  Book/Adjusted Carrying Value	2  Reclassify Related Party Encumbrances	3  Add Third Party Encumbrances	4  Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5  Factor	6  Amount (Cols.4 x 5)	7  Factor	8  Amount (Cols. 4 x 7)	9  Factor	10  Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
36.		Farm Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
37.		Farm Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
38.		Farm Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
39.		Farm Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
40.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
41.		Residential Mortgages - All Other .....			XXX		0.0013		0.0030		0.0040	
42.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
44.		Commercial Mortgages - All Other - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
47.		Commercial Mortgages - All Other - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
		Overdue, Not in Process:										
48.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
49.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
51.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
52.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure:										
53.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
54.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
56.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
57.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0030		0.0100		0.0130	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	



ASSET VALUATION RESERVE  
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS  
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
2.		Unaffiliated - Private .....		XXX	XXX		0.0000		0.1600		0.1600	
3.		Federal Home Loan Bank .....		XXX	XXX		0.0000		0.0050		0.0080	
4.		Affiliated - Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations .....					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality .....					XXX		XXX		XXX	
7.		Fixed Income - High Quality .....					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality .....					XXX		XXX		XXX	
9.		Fixed Income - Low Quality .....					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality .....					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default .....					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public .....					0.0000		0.1300 (a)		0.1300 (a)	
13.		Unaffiliated Common Stock - Private .....					0.0000		0.1600		0.1600	
14.		Real Estate .....					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
16.		Affiliated - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
19.		Investment Properties .....					0.0000		0.0750		0.0750	
20.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
24.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
25.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
26.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
27.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
28.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

ASSET VALUATION RESERVE (Continued)  
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS  
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality .....	3,185,917	XXX	XXX	3,185,917	0.0004	1,274	0.0023	7,328	0.0030	9,558
31.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
32.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
33.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
34.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
35.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
36.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	3,185,917	XXX	XXX	3,185,917	XXX	1,274	XXX	7,328	XXX	9,558
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
39.		Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
40.		Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
41.		Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
42.		Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
43.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
44.		Residential Mortgages - All Other .....		XXX	XXX		0.0013		0.0030		0.0040	
45.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
47.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
48.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
49.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
52.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
53.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
54.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants .....			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities .....			XXX		0.0010		0.0050		0.0065	
59.		Unaffiliated - In Good Standing Primarily Senior .....			XXX		0.0035		0.0010		0.0130	
60.		Unaffiliated - In Good Standing All Other .....			XXX		0.0060		0.0175		0.0225	
61.		Unaffiliated - Overdue, Not in Process .....			XXX		0.0420		0.0760		0.1200	
62.		Unaffiliated - In Process of Foreclosure .....			XXX		0.0000		0.1700		0.1700	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
66.		Unaffiliated Private .....		XXX	XXX		0.0000		0.1600		0.1600	
67.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
69.		Affiliated Other - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
72.		Investment Properties .....					0.0000		0.0750		0.0750	
73.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments .....		XXX			0.0000		0.0037		0.0037	
82.		NAIC 2 Working Capital Finance Investments .....		XXX			0.0000		0.0120		0.0120	
83.		Other Invested Assets - Schedule BA .....		XXX			0.0000		0.1300		0.1300	
84.		Other Short-Term Invested Assets - Schedule DA .....		XXX			0.0000		0.1300		0.1300	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84) .....		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	3,185,917			3,185,917	XXX	1,274	XXX	7,328	XXX	9,558

(a) Times the company's weighted average portfolio beta (Minimum .10, Maximum .20).  
(b) Determined using the same factors and breakdowns used for directly owned real estate.  
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve Replications (Synthetic) Assets

**NONE**

Schedule F - Claims

**NONE**

Schedule H - Part 1 - Analysis of Underwriting Operations

**NONE**

Schedule H - Part 2 - Reserves and Liabilities

**NONE**

Schedule H - Part 3 - Test of Prior Year's Claim Reserves and Liabilities

**NONE**

Schedule H - Part 4 - Reinsurance

**NONE**

Schedule H - Part 5 - Health Claims

**NONE**

Schedule S - Part 1 - Section 1

**NONE**

Schedule S - Part 1 - Section 2

**NONE**

Schedule S - Part 2

**NONE**

Schedule S - Part 3 - Section 1

**NONE**

Schedule S - Part 3 - Section 2

**NONE**

Schedule S - Part 4

**NONE**

Schedule S - Part 4 - Bank Footnote

**NONE**

Schedule S - Part 5

**N O N E**

Schedule S - Part 5 - Bank Footnote

**N O N E**

Schedule S - Part 6

**N O N E**

Schedule S - Part 7

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Allocated by States and Territories

			Direct Business Only					
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
States, Etc.		Active Status						
1. Alabama	AL	N						
2. Alaska	AK	N						
3. Arizona	AZ	N						
4. Arkansas	AR	N						
5. California	CA	N						
6. Colorado	CO	N						
7. Connecticut	CT	N						
8. Delaware	DE	N						
9. District of Columbia	DC	N						
10. Florida	FL	N						
11. Georgia	GA	N		3,000			3,000	
12. Hawaii	HI	N						
13. Idaho	ID	N						
14. Illinois	IL	N						
15. Indiana	IN	N						
16. Iowa	IA	N						
17. Kansas	KS	N						
18. Kentucky	KY	N						
19. Louisiana	LA	N						
20. Maine	ME	N						
21. Maryland	MD	N						
22. Massachusetts	MA	N						
23. Michigan	MI	N						
24. Minnesota	MN	N						
25. Mississippi	MS	N						
26. Missouri	MO	N						
27. Montana	MT	N						
28. Nebraska	NE	N						
29. Nevada	NV	N						
30. New Hampshire	NH	N						
31. New Jersey	NJ	N						
32. New Mexico	NM	N						
33. New York	NY	L		214,665			214,665	84,944
34. North Carolina	NC	N						
35. North Dakota	ND	N						
36. Ohio	OH	N						
37. Oklahoma	OK	N						
38. Oregon	OR	N						
39. Pennsylvania	PA	N						
40. Rhode Island	RI	N						
41. South Carolina	SC	N						
42. South Dakota	SD	N						
43. Tennessee	TN	N						
44. Texas	TX	N						
45. Utah	UT	N						
46. Vermont	VT	N						
47. Virginia	VA	N						
48. Washington	WA	N						
49. West Virginia	WV	N						
50. Wisconsin	WI	N						
51. Wyoming	WY	N						
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien	OT	XXX						
59. Subtotal	(a)	1		217,665			217,665	84,944
90. Reporting entity contributions for employee benefits plans		XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities		XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period		XXX						
93. Premium or annuity considerations waived under disability or other contract provisions		XXX						
94. Aggregate or other amounts not allocable by State		XXX						
95. Totals (Direct Business)		XXX		217,665			217,665	84,944
96. Plus reinsurance assumed		XXX						
97. Totals (All Business)		XXX		217,665			217,665	84,944
98. Less reinsurance ceded		XXX						
99. Totals (All Business) less Reinsurance Ceded		XXX		217,665	(b)		217,665	84,944
DETAILS OF WRITE-INS								
58001.		XXX						
58002.		XXX						
58003.		XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX						
9401.		XXX						
9402.		XXX						
9403.		XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page		XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)		XXX						

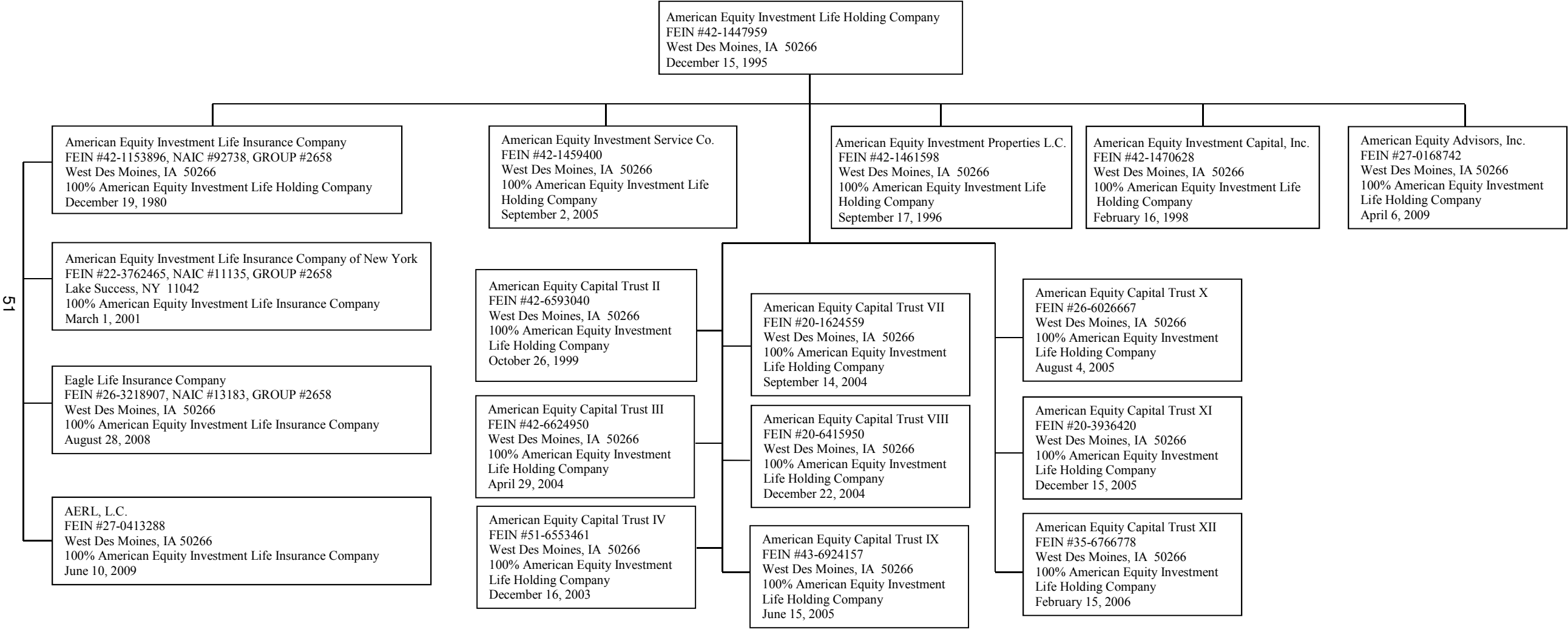
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.  
Explanation of basis of allocation by states, etc., of premiums and annuity considerations  
Individual: State of Residence when received. Group: N/A  
(a) Insert the number of L responses except for Canada and Other Alien.  
(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2  
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only					
			1	2	3	4	5	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Deposit-Type Contracts	Totals
1.	Alabama .....	AL						
2.	Alaska .....	AK						
3.	Arizona .....	AZ						
4.	Arkansas .....	AR						
5.	California .....	CA						
6.	Colorado .....	CO						
7.	Connecticut .....	CT						
8.	Delaware .....	DE						
9.	District of Columbia .....	DC						
10.	Florida .....	FL						
11.	Georgia .....	GA		3,000				3,000
12.	Hawaii .....	HI						
13.	Idaho .....	ID						
14.	Illinois .....	IL						
15.	Indiana .....	IN						
16.	Iowa .....	IA						
17.	Kansas .....	KS						
18.	Kentucky .....	KY						
19.	Louisiana .....	LA						
20.	Maine .....	ME						
21.	Maryland .....	MD						
22.	Massachusetts .....	MA						
23.	Michigan .....	MI						
24.	Minnesota .....	MN						
25.	Mississippi .....	MS						
26.	Missouri .....	MO						
27.	Montana .....	MT						
28.	Nebraska .....	NE						
29.	Nevada .....	NV						
30.	New Hampshire .....	NH						
31.	New Jersey .....	NJ						
32.	New Mexico .....	NM						
33.	New York .....	NY		214,665			84,944	299,609
34.	North Carolina .....	NC						
35.	North Dakota .....	ND						
36.	Ohio .....	OH						
37.	Oklahoma .....	OK						
38.	Oregon .....	OR						
39.	Pennsylvania .....	PA						
40.	Rhode Island .....	RI						
41.	South Carolina .....	SC						
42.	South Dakota .....	SD						
43.	Tennessee .....	TN						
44.	Texas .....	TX						
45.	Utah .....	UT						
46.	Vermont .....	VT						
47.	Virginia .....	VA						
48.	Washington .....	WA						
49.	West Virginia .....	WV						
50.	Wisconsin .....	WI						
51.	Wyoming .....	WY						
52.	American Samoa .....	AS						
53.	Guam .....	GU						
54.	Puerto Rico .....	PR						
55.	U.S. Virgin Islands .....	VI						
56.	Northern Mariana Islands .....	MP						
57.	Canada .....	CAN						
58.	Aggregate Other Alien .....	OT						
59.	Total			217,665			84,944	302,609

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**







**SCHEDULE Y**

**PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES**

9999999 Control Totals							XXX			
------------------------	--	--	--	--	--	--	-----	--	--	--

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES




The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....	YES
4. Will an actuarial opinion be filed by March 1? .....	YES
APRIL FILING	
5. Will Management’s Discussion and Analysis be filed by April 1? .....	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
7. Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1? .....	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1? .....	YES
JUNE FILING	
9. Will an audited financial report be filed by June 1? .....	YES
10. Will Accountant’s Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? .....	YES
AUGUST FILING	
11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? .....	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? .....	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? .....	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1? .....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

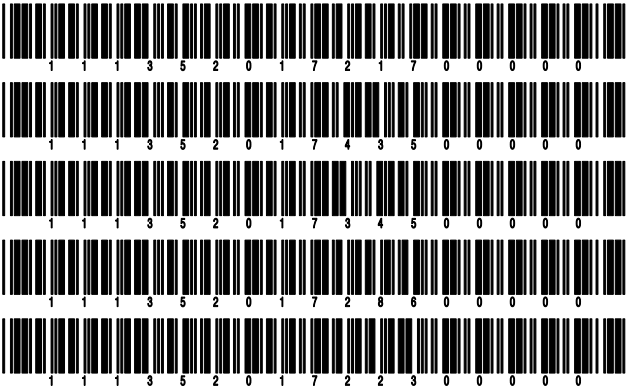
27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? .....	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? .....	NO
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? .....	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? .....	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? .....	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	NO
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1? .....	NO
APRIL FILING		
41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1? .....	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
43.	Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
44.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? .....	NO
45.	Will the Accident and Health Policy Experience Exhibit be filed by April 1? .....	NO
46.	Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1? .....	YES
47.	Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1? .....	YES
48.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? .....	NO
49.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? .....	NO
50.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30? .....	NO
51.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1? .....	NO
52.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1? .....	NO
AUGUST FILING		
53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? .....	NO
Explanations:		
12.	Not required to be filed by Company	
13.	Not required to be filed by Company	
14.	Not required to be filed by Company	
15.	Not required to be filed by Company	
17.	Not required to be filed by Company	
18.	Not required to be filed by Company	
19.	Not required to be filed by Company	
20.	Not required to be filed by Company	
21.	Not required to be filed by Company	
22.	Not required to be filed by Company	
23.	Not required to be filed by Company	
24.	Not required to be filed by Company	
26.	Not required to be filed by Company	
27.	Not required to be filed by Company	
28.	Not required to be filed by Company	
29.	Not required to be filed by Company	
30.	Not required to be filed by Company	
31.	Not required to be filed by Company	
32.	Not required to be filed by Company	
33.	Not required to be filed by Company	
34.	Not required to be filed by Company	
35.	Not required to be filed by Company	
36.	Not required to be filed by Company	
37.	Not required to be filed by Company	
38.	Not required to be filed by Company	
39.	Not required to be filed by Company	
40.	Not required to be filed by Company	
42.	Not required to be filed by Company	
43.	Not required to be filed by Company	
44.	Not required to be filed by Company	
45.	Not required to be filed by Company	
48.	Not required to be filed by Company	
49.	Not required to be filed by Company	
50.	Not required to be filed by Company	
51.	Not required to be filed by Company	
52.	Not required to be filed by Company	
53.	Not required to be filed by Company	
Bar Codes:		
12.	SIS Stockholder Information Supplement [Document Identifier 420]	
13.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
14.	Trusted Surplus Statement [Document Identifier 490]	
15.	Participating Opinion for Exhibit 5 [Document Identifier 371]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

17.	Actuarial Opinion on X-Factors [Document Identifier 442]	 1 1 1 3 5 2 0 1 7 4 4 2 0 0 0 0 0
18.	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	 1 1 1 3 5 2 0 1 7 4 4 3 0 0 0 0 0
19.	Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	 1 1 1 3 5 2 0 1 7 4 4 4 0 0 0 0 0
20.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 1 1 1 3 5 2 0 1 7 4 4 5 0 0 0 0 0
21.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 1 1 1 3 5 2 0 1 7 4 4 6 0 0 0 0 0
22.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 1 1 1 3 5 2 0 1 7 4 4 7 0 0 0 0 0
23.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 1 1 1 3 5 2 0 1 7 4 4 8 0 0 0 0 0
24.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 1 1 1 3 5 2 0 1 7 4 4 9 0 0 0 0 0
26.	C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 1 1 1 3 5 2 0 1 7 4 5 1 0 0 0 0 0
27.	Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 1 1 1 3 5 2 0 1 7 4 5 2 0 0 0 0 0
28.	Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 1 1 1 3 5 2 0 1 7 4 5 3 0 0 0 0 0
29.	Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII [Document Identifier 436]	 1 1 1 3 5 2 0 1 7 4 3 6 0 0 0 0 0
30.	Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII [Document Identifier 437]	 1 1 1 3 5 2 0 1 7 4 3 7 0 0 0 0 0
31.	Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII [Document Identifier 438]	 1 1 1 3 5 2 0 1 7 4 3 8 0 0 0 0 0
32.	Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII [Document Identifier 439]	 1 1 1 3 5 2 0 1 7 4 3 9 0 0 0 0 0
33.	Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 1 1 1 3 5 2 0 1 7 4 5 4 0 0 0 0 0
34.	Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 1 1 1 3 5 2 0 1 7 4 9 5 0 0 0 0 0
35.	Supplemental Schedule O [Document Identifier 465]	 1 1 1 3 5 2 0 1 7 4 6 5 0 0 0 0 0
36.	Medicare Part D Coverage Supplement [Document Identifier 365]	 1 1 1 3 5 2 0 1 7 3 6 5 0 0 0 0 0
37.	Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 1 1 1 3 5 2 0 1 7 2 2 4 0 0 0 0 0
38.	Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 1 1 1 3 5 2 0 1 7 2 2 5 0 0 0 0 0
39.	Relief from the Requirements for Audit Committees [Document Identifier 226]	 1 1 1 3 5 2 0 1 7 2 2 6 0 0 0 0 0
40.	VM-20 Reserves Supplement [Document Identifier 456]	 1 1 1 3 5 2 0 1 7 4 5 6 0 0 0 0 0
42.	Long-Term Care Experience Reporting Forms [Document Identifier 306]	 1 1 1 3 5 2 0 1 7 3 0 6 0 0 0 0 0
43.	Interest-Sensitive Life Insurance Products Report Forms [Document Identifier 280]	 1 1 1 3 5 2 0 1 7 2 8 0 0 0 0 0 0
44.	Credit Insurance Experience Exhibit [Document Identifier 230]	 1 1 1 3 5 2 0 1 7 2 3 0 0 0 0 0 0
45.	Accident and Health Policy Experience Exhibit [Document Identifier 210]	 1 1 1 3 5 2 0 1 7 2 1 0 0 0 0 0 0
48.	Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 1 1 1 3 5 2 0 1 7 2 1 6 0 0 0 0 0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

49. Supplemental Health Care Exhibit's Expense Allocation Report  
[Document Identifier 217]
50. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D  
[Document Identifier 435]
51. Supplemental XXX/AXXX Reinsurance Exhibit [Document Identifier 345]
52. Variable Annuities Supplement [Document Identifier 286]
53. Management's Report of Internal Control Over Financial Reporting  
[Document Identifier 223]



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**NONE**

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	405,330	0.197	405,330		405,330	0.197
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....		0.000				0.000
1.22 Issued by U.S. government sponsored agencies .....	8,365,667	4.058	8,365,667		8,365,667	4.058
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	2,564,532	1.244	2,564,532		2,564,532	1.244
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....	263,795	0.128	263,795		263,795	0.128
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....	13,530,692	6.563	13,530,692		13,530,692	6.563
1.43 Revenue and assessment obligations .....	15,090,945	7.320	15,090,945		15,090,945	7.320
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	2,474,572	1.200	2,474,572		2,474,572	1.200
1.512 Issued or guaranteed by FNMA and FHLMC .....	89,165	0.043	89,165		89,165	0.043
1.513 All other .....		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....	841,906	0.408	841,906		841,906	0.408
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....	14,777,688	7.168	14,777,688		14,777,688	7.168
1.523 All other .....	18,411,990	8.931	18,411,990		18,411,990	8.931
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	105,510,870	51.179	105,510,870		105,510,870	51.179
2.2 Unaffiliated non-U.S. securities (including Canada) .....	17,099,566	8.294	17,099,566		17,099,566	8.294
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....		0.000				0.000
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including \$ ..... of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ ..... property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....		0.000				0.000
7. Derivatives .....		0.000				0.000
8. Receivables for securities .....	28,400	0.014	28,400		28,400	0.014
9. Securities Lending (Line 10, Asset Page reinvested collateral) .....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	3,518,217	1.707	3,518,217		3,518,217	1.707
11. Other invested assets .....	3,185,917	1.545	3,185,917		3,185,917	1.545
12. Total invested assets	206,159,252	100.000	206,159,252		206,159,252	100.000



Schedule A - Verification - Real Estate

**N O N E**

Schedule B - Verification - Mortgage Loans

**N O N E**

SCHEDULE BA - VERIFICATION BETWEEN YEARS  
Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	2,106,184
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8) .....	1,088,871
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	1,088,871
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16 .....	
3.2	Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	125
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13 .....	
5.2	Totals, Part 3, Column 9 .....	
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	
8.	Deduct amortization of premium and depreciation .....	9,263
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17 .....	
9.2	Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15 .....	
10.2	Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	3,185,917
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	3,185,917

SCHEDULE D - VERIFICATION BETWEEN YEARS  
Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	205,314,271
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	14,831,183
3.	Accrual of discount .....	307,020
4.	Unrealized valuation increase (decrease):	
4.1.	Part 1, Column 12 .....	
4.2.	Part 2, Section 1, Column 15 .....	
4.3.	Part 2, Section 2, Column 13 .....	
4.4.	Part 4, Column 11 .....	
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	1,010,940
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	21,323,159
7.	Deduct amortization of premium .....	676,311
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1.	Part 1, Column 15 .....	
8.2.	Part 2, Section 1, Column 19 .....	
8.3.	Part 2, Section 2, Column 16 .....	
8.4.	Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
9.1.	Part 1, Column 14 .....	33,694
9.2.	Part 2, Section 1, Column 17 .....	
9.3.	Part 2, Section 2, Column 14 .....	
9.4.	Part 4, Column 13 .....	3,531
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	199,426,719
11.	Deduct total nonadmitted amounts .....	
12.	Statement value at end of current period (Line 10 minus Line 11) .....	199,426,719

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments	1. United States .....	405,330	507,375	409,000	400,000
(Including all obligations guaranteed by governments)	2. Canada .....				
	3. Other Countries	2,564,532	2,655,205	2,729,819	2,235,962
	4. Totals	2,969,862	3,162,580	3,138,819	2,635,962
U.S. States, Territories and Possessions (Direct and guaranteed)					
	5. Totals	263,795	277,153	263,391	265,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)					
	6. Totals	13,530,692	14,344,407	13,597,906	13,465,000
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions					
	7. Totals	26,862,256	29,112,758	27,280,846	25,540,804
Industrial and Miscellaneous, SVO Identified Funds and Hybrid Securities (unaffiliated)	8. United States .....	132,467,767	142,581,202	134,167,292	131,538,164
	9. Canada .....	8,178,323	8,817,144	8,272,037	7,838,000
	10. Other Countries	15,154,024	15,603,468	15,194,692	14,951,333
	11. Totals	155,800,114	167,001,814	157,634,021	154,327,497
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	199,426,719	213,898,712	201,914,983	196,234,263
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	199,426,719	213,898,712	201,914,983	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1 .....				405,330		XXX	405,330	0.2	2,856,820	1.4	405,330	
1.2 NAIC 2 .....						XXX						
1.3 NAIC 3 .....						XXX						
1.4 NAIC 4 .....						XXX						
1.5 NAIC 5 .....						XXX						
1.6 NAIC 6 .....						XXX						
1.7 Totals				405,330		XXX	405,330	0.2	2,856,820	1.4	405,330	
2. All Other Governments												
2.1 NAIC 1 .....	145,395	686,746	1,154,152	578,239		XXX	2,564,532	1.3	2,728,942	1.3	2,564,532	
2.2 NAIC 2 .....						XXX						
2.3 NAIC 3 .....						XXX						
2.4 NAIC 4 .....						XXX						
2.5 NAIC 5 .....						XXX						
2.6 NAIC 6 .....						XXX						
2.7 Totals	145,395	686,746	1,154,152	578,239		XXX	2,564,532	1.3	2,728,942	1.3	2,564,532	
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1 .....				263,795		XXX	263,795	0.1	263,729	0.1	263,795	
3.2 NAIC 2 .....						XXX						
3.3 NAIC 3 .....						XXX						
3.4 NAIC 4 .....						XXX						
3.5 NAIC 5 .....						XXX						
3.6 NAIC 6 .....						XXX						
3.7 Totals				263,795		XXX	263,795	0.1	263,729	0.1	263,795	
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1 .....		4,362,025	3,283,205	5,885,462		XXX	13,530,692	6.8	13,541,245	6.5	13,530,692	
4.2 NAIC 2 .....						XXX						
4.3 NAIC 3 .....						XXX						
4.4 NAIC 4 .....						XXX						
4.5 NAIC 5 .....						XXX						
4.6 NAIC 6 .....						XXX						
4.7 Totals		4,362,025	3,283,205	5,885,462		XXX	13,530,692	6.8	13,541,245	6.5	13,530,692	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1 .....	215,664	2,103,698	7,345,702	16,716,976	480,214	XXX	26,862,254	13.5	27,763,713	13.4	26,862,254	
5.2 NAIC 2 .....						XXX						
5.3 NAIC 3 .....						XXX						
5.4 NAIC 4 .....						XXX						
5.5 NAIC 5 .....						XXX						
5.6 NAIC 6 .....						XXX						
5.7 Totals	215,664	2,103,698	7,345,702	16,716,976	480,214	XXX	26,862,254	13.5	27,763,713	13.4	26,862,254	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1 .....	2,849,131	13,312,331	20,235,719	23,029,455	9,993,650	XXX	69,420,286	34.8	73,423,075	35.3	60,803,772	8,616,514
6.2 NAIC 2 .....	1,376,111	40,112,227	14,937,524	19,171,582	3,055,030	XXX	78,652,474	39.4	78,782,405	37.9	65,752,140	12,900,334
6.3 NAIC 3 .....	248,820	3,086,503	658,406	2,062,723	2	XXX	6,056,454	3.0	6,325,731	3.0	6,056,454	
6.4 NAIC 4 .....	93,046	1,314,059	170,070	93,725		XXX	1,670,900	0.8	1,850,012	0.9	1,670,900	
6.5 NAIC 5 .....						XXX			229,726	0.1		
6.6 NAIC 6 .....						XXX						
6.7 Totals	4,567,108	57,825,120	36,001,719	44,357,485	13,048,682	XXX	155,800,114	78.1	160,610,949	77.3	134,283,266	21,516,848
7. Hybrid Securities												
7.1 NAIC 1 .....						XXX						
7.2 NAIC 2 .....						XXX						
7.3 NAIC 3 .....						XXX						
7.4 NAIC 4 .....						XXX						
7.5 NAIC 5 .....						XXX						
7.6 NAIC 6 .....						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1 .....						XXX						
8.2 NAIC 2 .....						XXX						
8.3 NAIC 3 .....						XXX						
8.4 NAIC 4 .....						XXX						
8.5 NAIC 5 .....						XXX						
8.6 NAIC 6 .....						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1 .....	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2 .....	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3 .....	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4 .....	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5 .....	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6 .....	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 10.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
10. Total Bonds Current Year												
10.1 NAIC 1	(d) 3,210,190	20,464,800	32,018,778	46,879,257	10,473,864		113,046,889	56.7	XXX	XXX	104,430,375	8,616,514
10.2 NAIC 2	(d) 1,376,111	40,112,227	14,937,524	19,171,582	3,055,030		78,652,474	39.4	XXX	XXX	65,752,140	12,900,334
10.3 NAIC 3	(d) 248,820	3,086,503	658,406	2,062,723	2		6,056,454	3.0	XXX	XXX	6,056,454	
10.4 NAIC 4	(d) 93,046	1,314,059	170,070	93,725			1,670,900	0.8	XXX	XXX	1,670,900	
10.5 NAIC 5	(d)								XXX	XXX		
10.6 NAIC 6	(d)						(c)		XXX	XXX		
10.7 Totals	4,928,167	64,977,589	47,784,778	68,207,287	13,528,896		(b) 199,426,717	100.0	XXX	XXX	177,909,869	21,516,848
10.8 Line 10.7 as a % of Col. 7	2.5	32.6	24.0	34.2	6.8		100.0	XXX	XXX	XXX	89.2	10.8
11. Total Bonds Prior Year												
11.1 NAIC 1	6,443,767	20,295,862	28,480,804	52,003,935	13,353,156		XXX	XXX	120,577,524	58.0	109,867,773	10,709,751
11.2 NAIC 2	861,124	20,368,831	31,455,514	20,450,023	5,646,913		XXX	XXX	78,782,405	37.9	69,315,861	9,466,544
11.3 NAIC 3	354,372	3,419,127	1,179,284	1,372,948			XXX	XXX	6,325,731	3.0	6,325,731	
11.4 NAIC 4		1,067,732		782,280			XXX	XXX	1,850,012	0.9	1,850,012	
11.5 NAIC 5		229,726					XXX	XXX	(c) 229,726	0.1	229,726	
11.6 NAIC 6							XXX	XXX	(c)			
11.7 Totals	7,659,263	45,381,278	61,115,602	74,609,186	19,000,069		XXX	XXX	(b) 207,765,398	100.0	187,589,103	20,176,295
11.8 Line 11.7 as a % of Col. 9	3.7	21.8	29.4	35.9	9.1		XXX	XXX	100.0	XXX	90.3	9.7
12. Total Publicly Traded Bonds												
12.1 NAIC 1	2,726,336	18,445,722	29,620,547	44,176,866	9,460,904		104,430,375	52.4	109,867,773	52.9	104,430,375	XXX
12.2 NAIC 2	1,267,844	33,493,046	12,522,361	15,413,859	3,055,030		65,752,140	33.0	69,315,861	33.4	65,752,140	XXX
12.3 NAIC 3	248,820	3,086,503	658,406	2,062,723	2		6,056,454	3.0	6,325,731	3.0	6,056,454	XXX
12.4 NAIC 4	93,046	1,314,059	170,070	93,725			1,670,900	0.8	1,850,012	0.9	1,670,900	XXX
12.5 NAIC 5									229,726	0.1		XXX
12.6 NAIC 6												XXX
12.7 Totals	4,336,046	56,339,330	42,971,384	61,747,173	12,515,936		177,909,869	89.2	187,589,103	90.3	177,909,869	XXX
12.8 Line 12.7 as a % of Col. 7	2.4	31.7	24.2	34.7	7.0		100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 7, Section 10	2.2	28.3	21.5	31.0	6.3		89.2	XXX	XXX	XXX	89.2	XXX
13. Total Privately Placed Bonds												
13.1 NAIC 1	483,854	2,019,078	2,398,231	2,702,391	1,012,960		8,616,514	4.3	10,709,751	5.2	XXX	8,616,514
13.2 NAIC 2	108,267	6,619,181	2,415,163	3,757,723			12,900,334	6.5	9,466,544	4.6	XXX	12,900,334
13.3 NAIC 3											XXX	
13.4 NAIC 4											XXX	
13.5 NAIC 5											XXX	
13.6 NAIC 6											XXX	
13.7 Totals	592,121	8,638,259	4,813,394	6,460,114	1,012,960		21,516,848	10.8	20,176,295	9.7	XXX	21,516,848
13.8 Line 13.7 as a % of Col. 7	2.8	40.1	22.4	30.0	4.7		100.0	XXX	XXX	XXX	XXX	100.0
13.9 Line 13.7 as a % of Line 10.7, Col. 7, Section 10	0.3	4.3	2.4	3.2	0.5		10.8	XXX	XXX	XXX	XXX	10.8

(a) Includes \$ 21,516,848 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ current year, \$ prior year of bonds with Z designations and \$ , current year \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ current year, \$ prior year of bonds with 5\* designations and \$ , current year \$ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.1 Issuer Obligations .....				405,330		XXX	405,330	0.2	2,856,820	1.4	405,330	
1.2 Residential Mortgage-Backed Securities .....						XXX						
1.3 Commercial Mortgage-Backed Securities .....						XXX						
1.4 Other Loan-Backed and Structured Securities .....						XXX						
1.5 Totals				405,330		XXX	405,330	0.2	2,856,820	1.4	405,330	
2. All Other Governments												
2.1 Issuer Obligations .....	145,395	686,746	1,154,152	578,239		XXX	2,564,532	1.3	2,728,942	1.3	2,564,532	
2.2 Residential Mortgage-Backed Securities .....						XXX						
2.3 Commercial Mortgage-Backed Securities .....						XXX						
2.4 Other Loan-Backed and Structured Securities .....						XXX						
2.5 Totals	145,395	686,746	1,154,152	578,239		XXX	2,564,532	1.3	2,728,942	1.3	2,564,532	
3. U.S. States, Territories and Possessions, Guaranteed												
3.1 Issuer Obligations .....				263,795		XXX	263,795	0.1	263,729	0.1	263,795	
3.2 Residential Mortgage-Backed Securities .....						XXX						
3.3 Commercial Mortgage-Backed Securities .....						XXX						
3.4 Other Loan-Backed and Structured Securities .....						XXX						
3.5 Totals				263,795		XXX	263,795	0.1	263,729	0.1	263,795	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 Issuer Obligations .....		4,362,025	3,283,205	5,885,462		XXX	13,530,692	6.8	13,541,245	6.5	13,530,692	
4.2 Residential Mortgage-Backed Securities .....						XXX						
4.3 Commercial Mortgage-Backed Securities .....						XXX						
4.4 Other Loan-Backed and Structured Securities .....						XXX						
4.5 Totals		4,362,025	3,283,205	5,885,462		XXX	13,530,692	6.8	13,541,245	6.5	13,530,692	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.1 Issuer Obligations .....	173,971	1,682,494	5,630,167	15,489,765	480,214	XXX	23,456,611	11.8	23,721,119	11.4	23,456,611	
5.2 Residential Mortgage-Backed Securities .....	41,693	421,205	1,715,534	1,227,211		XXX	3,405,643	1.7	4,042,594	1.9	3,405,643	
5.3 Commercial Mortgage-Backed Securities .....						XXX						
5.4 Other Loan-Backed and Structured Securities .....						XXX						
5.5 Totals	215,664	2,103,699	7,345,701	16,716,976	480,214	XXX	26,862,254	13.5	27,763,713	13.4	26,862,254	
6. Industrial and Miscellaneous												
6.1 Issuer Obligations .....	1,827,698	48,175,187	19,055,781	41,055,856	12,495,915	XXX	122,610,437	61.5	130,759,583	62.9	106,360,323	16,250,114
6.2 Residential Mortgage-Backed Securities .....	2,224,817	5,642,604	2,729,074	1,983,081	41,133	XXX	12,620,709	6.3	16,057,456	7.7	12,468,485	152,224
6.3 Commercial Mortgage-Backed Securities .....			11,468,487			XXX	11,468,487	5.8	11,468,545	5.5	9,462,107	2,006,380
6.4 Other Loan-Backed and Structured Securities .....	514,593	4,007,328	2,748,379	1,318,548	511,634	XXX	9,100,482	4.6	2,325,365	1.1	5,992,351	3,108,131
6.5 Totals	4,567,108	57,825,119	36,001,721	44,357,485	13,048,682	XXX	155,800,115	78.1	160,610,949	77.3	134,283,266	21,516,849
7. Hybrid Securities												
7.1 Issuer Obligations .....						XXX						
7.2 Residential Mortgage-Backed Securities .....						XXX						
7.3 Commercial Mortgage-Backed Securities .....						XXX						
7.4 Other Loan-Backed and Structured Securities .....						XXX						
7.5 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 Issuer Obligations .....						XXX						
8.2 Residential Mortgage-Backed Securities .....						XXX						
8.3 Commercial Mortgage-Backed Securities .....						XXX						
8.4 Other Loan-Backed and Structured Securities .....						XXX						
8.5 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	1	2	3	4	5	6	7	8	9	10	11	12
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 10.6	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
9. SVO Identified Funds												
9.1 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.2 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.3 Totals	XXX	XXX	XXX	XXX	XXX							
10. Total Bonds Current Year												
10.1 Issuer Obligations	2,147,064	54,906,452	29,123,305	63,678,447	12,976,129	XXX	162,831,397	81.6	XXX	XXX	146,581,283	16,250,114
10.2 Residential Mortgage-Backed Securities	2,266,510	6,063,809	4,444,608	3,210,292	41,133	XXX	16,026,352	8.0	XXX	XXX	15,874,128	152,224
10.3 Commercial Mortgage-Backed Securities			11,468,487			XXX	11,468,487	5.8	XXX	XXX	9,462,107	2,006,380
10.4 Other Loan-Backed and Structured Securities	514,593	4,007,328	2,748,379	1,318,548	511,634	XXX	9,100,482	4.6	XXX	XXX	5,992,351	3,108,131
10.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10.6 Totals	4,928,167	64,977,589	47,784,779	68,207,287	13,528,896		199,426,718	100.0	XXX	XXX	177,909,869	21,516,849
10.7 Line 10.6 as a % of Col. 7	2.5	32.6	24.0	34.2	6.8		100.0	XXX	XXX	XXX	89.2	10.8
11. Total Bonds Prior Year												
11.1 Issuer Obligations	4,374,825	36,966,456	49,731,999	64,584,694	18,213,464	XXX	XXX	XXX	173,871,438	83.7	157,804,146	16,067,292
11.2 Residential Mortgage-Backed Securities	2,736,971	7,668,843	5,757,978	3,685,578	250,680	XXX	XXX	XXX	20,100,050	9.7	19,742,289	357,761
11.3 Commercial Mortgage-Backed Securities			5,328,951	6,139,594		XXX	XXX	XXX	11,468,545	5.5	9,461,214	2,007,331
11.4 Other Loan-Backed and Structured Securities	547,466	745,979	296,675	199,320	535,925	XXX	XXX	XXX	2,325,365	1.1	581,454	1,743,911
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX				
11.6 Totals	7,659,262	45,381,278	61,115,603	74,609,186	19,000,069		XXX	XXX	207,765,398	100.0	187,589,103	20,176,295
11.7 Line 11.6 as a % of Col. 9	3.7	21.8	29.4	35.9	9.1		XXX	XXX	100.0	XXX	90.3	9.7
12. Total Publicly Traded Bonds												
12.1 Issuer Obligations	1,825,839	47,318,385	27,098,916	57,863,340	12,474,803	XXX	146,581,283	73.5	157,804,146	76.0	146,581,283	XXX
12.2 Residential Mortgage-Backed Securities	2,210,141	5,967,953	4,444,608	3,210,292	41,133	XXX	15,874,127	8.0	19,742,289	9.5	15,874,127	XXX
12.3 Commercial Mortgage-Backed Securities			9,462,107			XXX	9,462,107	4.7	9,461,214	4.6	9,462,107	XXX
12.4 Other Loan-Backed and Structured Securities	300,066	3,052,991	1,965,754	673,541		XXX	5,992,352	3.0	581,454	0.3	5,992,352	XXX
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							XXX
12.6 Totals	4,336,046	56,339,329	42,971,385	61,747,173	12,515,936		177,909,869	89.2	187,589,103	90.3	177,909,869	XXX
12.7 Line 12.6 as a % of Col. 7	2.4	31.7	24.2	34.7	7.0		100.0	XXX	XXX	XXX	100.0	XXX
12.8 Line 12.6 as a % of Line 10.6, Col. 7, Section 10	2.2	28.3	21.5	31.0	6.3		89.2	XXX	XXX	XXX	89.2	XXX
13. Total Privately Placed Bonds												
13.1 Issuer Obligations	321,225	7,588,067	2,024,389	5,815,107	501,326	XXX	16,250,114	8.1	16,067,292	7.7	XXX	16,250,114
13.2 Residential Mortgage-Backed Securities	56,369	95,856				XXX	152,225	0.1	357,761	0.2	XXX	152,225
13.3 Commercial Mortgage-Backed Securities			2,006,380			XXX	2,006,380	1.0	2,007,331	1.0	XXX	2,006,380
13.4 Other Loan-Backed and Structured Securities	214,527	954,337	782,625	645,007	511,634	XXX	3,108,130	1.6	1,743,911	0.8	XXX	3,108,130
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
13.6 Totals	592,121	8,638,260	4,813,394	6,460,114	1,012,960		21,516,849	10.8	20,176,295	9.7	XXX	21,516,849
13.7 Line 13.6 as a % of Col. 7	2.8	40.1	22.4	30.0	4.7		100.0	XXX	XXX	XXX	XXX	100.0
13.8 Line 13.6 as a % of Line 10.6, Col. 7, Section 10	0.3	4.3	2.4	3.2	0.5		10.8	XXX	XXX	XXX	XXX	10.8



SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	2,451,131			2,451,131	
2. Cost of short-term investments acquired .....	19,750,000			19,750,000	
3. Accrual of discount .....					
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....					
6. Deduct consideration received on disposals .....	22,201,131			22,201,131	
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
12. Statement value at end of current period (Line 10 minus Line 11)					

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....				
2. Cost of cash equivalents acquired .....	2,975,000		2,975,000	
3. Accrual of discount .....				
4. Unrealized valuation increase (decrease) .....				
5. Total gain (loss) on disposals .....				
6. Deduct consideration received on disposals .....				
7. Deduct amortization of premium .....				
8. Total foreign exchange change in book/adjusted carrying value .....				
9. Deduct current year's other than temporary impairment recognized .....				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,975,000		2,975,000	
11. Deduct total nonadmitted amounts .....				
12. Statement value at end of current period (Line 10 minus Line 11)	2,975,000		2,975,000	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 1 - Mortgage Loans Owned

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

## SCHEDULE BA - PART 1

[illegible]

## SCHEDULE BA - PART 2

[illegible]

## SCHEDULE BA - PART 3

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
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SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912810-FF-0	US TREASURY N/B T 5 1/4 11/15/28	0			1	409,000	126.8440	507,375	400,000	405,330		(359)			5.250	5.089	MM	2,727	21,000	01/09/2004	11/15/2028
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						409,000	XXX	507,375	400,000	405,330		(359)			XXX	XXX	XXX	2,727	21,000	XXX	XXX
0599999. Total - U.S. Government Bonds						409,000	XXX	507,375	400,000	405,330		(359)			XXX	XXX	XXX	2,727	21,000	XXX	XXX
455780-AM-8	AID-INDONESIA AID 6.65 07/15/29		C	4	1Z	2,729,819	118.7500	2,655,205	2,235,962	2,564,532		(26,800)			6.650	4.000	JJ	68,563	148,691	09/30/2011	07/15/2029
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						2,729,819	XXX	2,655,205	2,235,962	2,564,532		(26,800)			XXX	XXX	XXX	68,563	148,691	XXX	XXX
1099999. Total - All Other Government Bonds						2,729,819	XXX	2,655,205	2,235,962	2,564,532		(26,800)			XXX	XXX	XXX	68,563	148,691	XXX	XXX
34153P-RZ-3	FLORIDA ST BRD OF EDU PUBLIC E BUILD AMERICA BONDS			2	1FE	263,391	104.5860	277,153	265,000	263,795		65			5.650	5.702	JD	1,248	14,973	01/21/2010	06/01/2030
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						263,391	XXX	277,153	265,000	263,795		65			XXX	XXX	XXX	1,248	14,973	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						263,391	XXX	277,153	265,000	263,795		65			XXX	XXX	XXX	1,248	14,973	XXX	XXX
033717-KT-5	ANDERSON CNTY SC SCH DIST #1 BUILD AMERICA BONDS-SER A			2	1FE	1,010,860	107.9650	1,079,650	1,000,000	1,002,956		(1,246)			6.000	5.853	MS	20,000	60,000	06/17/2010	03/01/2029
088365-EB-3	BEXAR CNTY TX HOSP DIST BUILD AMERICA BONDS CTFS OBLIG			2	1FE	750,000	105.1780	788,835	750,000	750,000					6.804	6.804	FA	19,278	51,030	08/05/2009	02/15/2028
094077-KU-7	BLOOMFIELD NM SCH DIST #6 QUALIFIED SCH CONSTR BDS-BLOOM			2	1FE	1,000,000	105.4260	1,054,260	1,000,000	1,000,000					5.851	5.851	FA	24,379	58,510	07/14/2010	08/01/2027
350830-BX-2	FOUNTAINDALE IL PUBLIC LIBRARY BUILD AMERICA BONDS-TAXABLE-LI			2	1FE	906,750	105.9400	953,460	900,000	904,509		(347)			5.800	5.731	FA	21,750	52,200	11/25/2009	02/01/2028
364195-BN-6	GALVESTON CNTY TX BUILD AMERICA BONDS			2	1FE	501,250	104.4460	522,230	500,000	500,184		(159)			6.205	6.169	FA	12,927	31,025	09/10/2009	02/01/2029
429740-U2-7	HIGH POINT NC TAXABLE-PUB IMPT-SER B-BUILD			2	1FE	1,680,000	105.9920	1,780,666	1,680,000	1,680,000					5.675	5.675	JD	7,945	95,340	06/16/2010	06/01/2030
442403-FQ-9	HOUSTON TX INDEP SCH DIST BUILD AMERICA BONDS-TAXABLE-SE			2	1FE	292,325	104.6000	298,110	285,000	286,142		(960)			6.068	5.694	FA	6,533	17,294	01/21/2010	02/15/2029
483782-LH-9	KANE & DE KALB CNTYS IL CMNTY BUILD AMERICA BONDS-SCH BLDG-S			2	1FE	1,015,650	103.3490	1,033,490	1,000,000	1,002,346		(2,040)			6.125	5.898	FA	25,521	61,250	11/25/2009	02/01/2029
494890-YN-0	KING CNTY WA SCH DIST #210 FED BUILD AMERICA BONDS			2	1FE	500,000	105.2060	526,030	500,000	500,000					5.750	5.750	JD	2,396	28,750	01/13/2010	12/01/2029
516823-N2-3	LAREDO TX BUILD AMERICA BONDS-TAXABLE-CT			2	1FE	640,000	104.5180	668,915	640,000	640,000					6.366	6.366	FA	15,392	40,742	08/27/2009	02/15/2029
572852-C9-0	MARSHFIELD WI BUILD AMERICA BONDS			2	1FE	220,000	103.6870	228,111	220,000	220,000					5.800	5.800	MS	4,253	12,760	03/24/2010	03/01/2029
609694-CX-0	MONMOUTH OR TAXABLE-MINET PROJ-SER B			2	1FE	1,000,000	105.6080	1,056,080	1,000,000	1,000,000					5.744	5.744	JD	4,787	57,440	09/14/2010	06/01/2028
686053-CT-4	OREGON ST SCH BRDS ASSN SHORT- TAXABLE-PENSION-SER B			4	1FE	1,055,310	118.3780	1,183,780	1,000,000	1,038,508		(2,699)			5.680	5.199	JD	158	56,800	09/01/2010	06/30/2028
722205-LX-7	PINCKNEY MI CMNTY SCHS TAXABLE-SCH BLDG & SITE-SER A				1FE	1,000,000	102.2740	1,022,740	1,000,000	1,000,000					5.200	5.200	MM	8,667	52,000	04/23/2010	05/01/2019
800732-GG-8	SANGAMON CNTY IL CMNTY UNIT SC BUILD AMERICA BONDS				1FE	326,886	110.6160	353,971	320,000	323,745		(503)			5.900	5.670	FA	7,867	18,880	06/15/2010	02/01/2024
800732-GH-6	SANGAMON CNTY IL CMNTY UNIT SC BUILD AMERICA BONDS				1FE	688,405	111.9170	749,844	670,000	680,825		(1,212)			5.950	5.670	FA	16,610	39,865	06/15/2010	02/01/2025
950732-SC-2	WENTZVILLE MO SCH DIST #R-4 BUILD AMERICA BONDS-TAXABLE-SE			2	1FE	500,000	104.6860	523,430	500,000	500,000					6.100	6.100	MS	10,167	30,500	07/24/2009	03/01/2029
969080-EW-6	WILL GRUNDY ETC CNTYS IL CMNTY BUILD AMERICA BONDS-TXB-B			2	1FE	510,470	104.1610	520,805	500,000	501,477		(1,388)			6.650	6.340	JJ	16,625	33,250	07/16/2009	01/01/2026
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						13,597,906	XXX	14,344,407	13,465,000	13,530,692		(10,554)			XXX	XXX	XXX	225,255	797,636	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						13,597,906	XXX	14,344,407	13,465,000	13,530,692		(10,554)			XXX	XXX	XXX	225,255	797,636	XXX	XXX
01030L-B5-3	ALABAMA ST INCENTIVES FING AUT TAXABLE-SER B			4	1FE	326,368	115.2290	374,494	325,000	325,774		(84)			6.042	6.000	MS	6,546	19,637	10/14/2009	09/01/2029
01179P-N3-7	ALASKA ST MUNI BOND BANK AUTH 2010B-TAXABLE-SER THREE			2	1FE	1,000,000	105.9720	1,059,720	1,000,000	1,000,000					5.432	5.432	AO	13,580	54,320	09/01/2010	10/01/2030
172311-G8-2	CINCINNATI OH WTR SYS REVENUE BUILD AMERICA BONDS-TAXABLE-SE			2	1FE	500,000	107.6040	538,020	500,000	500,000					6.458	6.459	JD	2,691	32,290	07/22/2009	12/01/2034
196632-HE-6	COLORADO SPRINGS CO UTILITIESR BUILD AMERICA BONDS-D2			4	1FE	770,000	127.5950	982,482	770,000	770,000					6.013	6.013	MM	5,916	46,300	11/06/2009	11/15/2039



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
239018-DA-9	DAVIS ONTY UT SALES TAX REVENU BUILD AMERICA BONDS-TAXABLE-SE			2	1FE	500,000		103,2900	500,000	500,000					6.000	6.000	AO	7,500	30,000	09/11/2009	04/01/2029
247508-ET-7	BONDS-SER B			2	1FE	1,000,000		103,5750	1,000,000	1,000,000					5.231	5.229	JD	4,359	52,310	09/23/2010	12/01/2025
31331K-N7-1	FEDERAL FARM CREDIT BANK FFCB 3.7 11/04/30				1	930,250		107,6220	1,000,000	943,493		3,244			3.700	4.276	MM	5,858	37,000	08/20/2013	11/04/2030
31331X-6F-4	FEDERAL FARM CREDIT BANK FFCB 5.55 10/03/29				1	7,878,060		126,1050	6,000,000	7,422,173		(98,737)			5.550	3.125	AO	81,400	333,000	02/05/2013	10/03/2029
452024-GS-5	ILLINOIS ST MUNI ELEC AGY PNR BUILD AMERICA BONDS				1FE	500,500		108,2990	500,000	500,168		(48)			6.128	6.116	FA	12,767	30,640	07/16/2009	02/01/2021
46257T-BC-2	IOWA ST SPL OBLG BUILD AMERICA BONDS-IOWA IJOBS			2	1FE	497,080		106,1620	500,000	497,629		97			6.750	6.802	JD	2,813	33,750	07/14/2009	06/01/2034
46613C-WG-6	JEA FL ELEC SYS REVENUE TAXABLE-SUB-ISSUER SUBSIDY-BUI			4	1FE	946,521		127,0080	945,000	945,938		(55)			6.406	6.393	AO	15,134	60,537	11/25/2009	10/01/2034
563039-AK-2	MANHATTAN KS SALES TAX SPL OBL BUILD AMERICA BONDS TAXABLE-DO			2	1FE	658,938		102,7910	650,000	655,202		(567)			6.515	6.372	JD	3,529	42,348	12/03/2009	12/01/2026
59067A-BJ-1	MESA CO ST CLG AUXILIARY FAGS BUILD AMERICA BONDS			4	1FE	500,000		122,9210	500,000	500,000					5.800	5.799	MM	3,706	29,000	09/25/2009	05/15/2040
59455T-Q3-9	MICHIGAN ST MUNI BOND AUTH BUILD AMERICA BONDS-TAXABLE-LO			2	1FE	750,000		107,0650	750,000	750,000					6.250	6.251	MM	7,813	46,875	05/06/2010	05/01/2025
64711N-JV-8	NEW MEXICO ST FIN AUTH REVENUE TAXABLE-SR LIEN-PUB PJ-D2			2	1FE	500,000		104,4070	500,000	500,000					6.070	6.071	JD	2,529	30,350	09/25/2009	06/01/2036
647421-DA-1	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B			2	1	320,000		108,4990	320,000	320,000					6.124	6.124	AO	4,899		10/20/2017	04/01/2030
647421-DG-8	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B			2	1FE	430,000		107,9640	430,000	430,000					6.124	6.124	AO	6,583		10/20/2017	04/01/2030
662903-LB-7	N TEXAS ST MUNI WTR DIST WTR S BUILD AMERICA BONDS-DIRECT PAY			2	1FE	500,000		106,3140	500,000	500,000					6.003	6.000	MS	10,005	30,015	11/13/2009	09/01/2029
678331-CT-4	OKANOGAN CNTY WA PUBLIC UTIL D BUILD AMERICA BONDS			4	1FE	1,031,570		116,7630	1,000,000	1,022,626		(1,477)			5.796	5.517	JD	4,830	57,960	09/29/2010	12/01/2030
735351-CJ-5	PORT SAINT LUCIE FL STORMWATER BUILD AMERICA BONDS-RECOVERY Z			2	1FE	750,000		109,0710	750,000	750,000					6.516	6.517	MM	8,145	48,870	01/08/2010	05/01/2026
79765D-XJ-4	SAN FRANCISCO CITY & CNTY CA C BUILD AMERICA BONDS-SER D-TAXA			4	1FE	300,000		122,9120	300,000	300,000					6.362	6.361	MM	3,181	19,086	09/24/2009	11/01/2029
84774B-BA-0	SPEEDWAY IN REDEV AUTH LEASE R TAXABLE-A-BUILD AMERICA BONDS			4	1FE	1,000,000		108,3820	1,000,000	1,000,000					5.876	5.877	FA	24,483	58,760	05/05/2010	02/01/2025
91412N-AF-7	UNIVERSITY OF CHICAGO UNICH 5.42 10/01/30			4	1FE	501,635		110,2660	500,000	501,177		(76)			5.420	5.391	AO	6,775	27,100	02/01/2011	10/01/2030
91417K-NC-1	UNIV OF COLORADO CO ENTERPRISE BUILD AMERICA BONDS-TAXABLE-SU			2	1FE	750,000		105,3190	750,000	750,000					6.114	6.114	JD	3,821	45,855	12/10/2009	06/01/2029
914460-FF-2	UNIV OF MINNESOTA MN BUILD AMERICA BONDS-TAXABLE-SE			2	1FE	540,000		105,8640	540,000	540,000					5.768	5.768	FA	12,978	31,147	02/03/2010	02/01/2030
95648X-AM-7	WEST VIRGINIA ST ECON DEV AUTH TAXABLE			4	1FE	540,600		104,6420	530,000	532,432		(797)			5.370	5.046	JJ	14,231	28,461	07/14/2010	07/01/2020
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						23,921,522	XXX	25,278,433	22,060,000	23,456,612		(98,500)			XXX	XXX	XXX	276,072	1,225,611	XXX	XXX
31392F-XU-6	FEDERAL NATL MTG ASSN FNR 2002-88 AL			4	1	35,564		103,1160	35,564	35,564					5.500	5.525	MM	163	1,956	11/01/2002	12/25/2022
31392X-NF-1	FED HOME LOAN MTG CORP FHR 2523 PH			4	1	29,114		111,7220	29,334	29,231		1			5.500	5.613	MM	134	1,613	11/01/2002	11/15/2032
31398T-V8-1	FANNIE MAE FNR 2010-103 CD			4	1	764,723		110,8580	813,535	777,112		2,112			4.500	5.026	MM	3,051	36,609	03/31/2011	09/25/2030
31412Q-4X-4	FNMA FN 932438			4	1	89,767		103,4950	88,618	89,165		(70)			4.000	3.674	MM	295	3,545	04/08/2010	01/01/2025
38373Q-SZ-0	GNMA GNR 2003-46 PC			4	1	1,930,742		110,6070	2,004,339	1,965,158		3,428			5.000	5.323	MM	8,351	100,217	03/31/2004	06/20/2033
38374H-JB-4	GNMA GNR 2004-72 PN			4	1	509,414		108,8210	509,414	509,414					5.500	5.538	MM	2,335	28,018	09/15/2004	09/20/2034
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						3,359,324	XXX	3,834,325	3,480,804	3,405,644		5,471			XXX	XXX	XXX	14,329	171,958	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						27,280,846	XXX	29,112,758	25,540,804	26,862,256		(93,029)			XXX	XXX	XXX	290,401	1,397,569	XXX	XXX
00206R-DA-7	AT&T INC T 5 03/01/21				2FE	782,190		107,0730	750,000	771,346		(6,201)			5.000	4.033	MS	12,500	37,500	03/21/2016	03/01/2021
00206R-EZ-1	AT&T INC T 6.55 01/15/28				2FE	417,742		116,3090	400,000	417,659		(83)			6.550	5.959	JJ	12,081		12/01/2017	01/15/2028
00206R-FA-5	AT&T INC T 6 3/8 06/01/28				2FE	532,987		115,7080	500,000	532,790		(197)			6.375	5.538	JD	2,656		12/01/2017	06/01/2028
002824-BL-3	ABBOTT LABORATORIES ABT 3 1/4 04/15/23				2FE	755,203		101,2040	750,000	754,600		(603)			3.250	3.123	AO	5,146	24,375	03/22/2017	04/15/2023
00287Y-AL-3	ABBVIE INC ABBV 2.9 11/06/22				2FE	892,777		100,3060	900,000	895,979		760			2.900	3.000	MM	3,988	26,100	08/09/2013	11/06/2022
008117-AP-8	AETNA INC AET 2 3/4 11/15/22				2FE	732,023		98,8230	750,000	740,118		1,855			2.750	3.043	MM	2,635	20,625	05/21/2013	11/15/2022
00846U-AJ-0	AGILENT TECHNOLOGIES INC A 3 7/8 07/15/23				2FE	739,275		103,6990	750,000	743,545		1,020			3.875	4.050	JJ	13,401	29,063	07/23/2013	07/15/2023

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SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates			
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22		
		C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date		
CUSIP Identification	Description																						
01741R-AE-2	ALLEGHENY TECHNOLOGIES ATI 5.95 01/15/21			2	4FE	1,123,670	102.2500	1,022,500	1,000,000	1,050,849			(16,883)		5.950	4.007	JJ		27,436	59,500	05/08/2013	01/15/2021	
03027X-AB-6	AMERICAN TOWER CORP AMT 3 1/2 01/31/23				2FE	480,340	102.1320	510,658	500,000	487,085			2,246		3.500	4.068	JJ		7,340	17,500	11/12/2014	01/31/2023	
032654-AH-8	ANALOG DEVICES INC ADI 2 7/8 06/01/23				2FE	690,015	99.5700	746,772	750,000	712,794			6,058		2.875	3.900	JD		1,797	21,563	01/07/2014	06/01/2023	
	ANHEUSER-BUSCH INBEV WOR ANHEUSER-BUSCH INBEV																						
03523T-BT-4	WORLD				1FE	580,000	109.2100	633,419	580,000	580,000					4.439	4.439	AO		6,079	12,873	08/21/2017	10/06/2048	
035240-AG-5	ANHEUSER-BUSCH INBEV WOR ABIBB 4.95 01/15/42				2FE	1,075,571	115.9260	1,159,263	1,000,000	1,073,847			(1,674)		4.950	4.447	JJ		22,825	49,500	12/16/2016	01/15/2042	
037735-BZ-9	APPALACHIAN POWER CO AEP 5.95 05/15/33				2FE	522,440	122.0810	610,403	500,000	518,035			(725)		5.950	5.597	MN		3,801	29,750	10/12/2010	05/15/2033	
037735-CE-5	APPALACHIAN POWER CO AEP 5.8 10/01/35				2FE	577,461	122.8430	681,779	555,000	573,712			(610)		5.800	5.500	AO		8,048	32,190	09/30/2010	10/01/2035	
049560-AG-0	ATMOS ENERGY CORP ATO 5.95 10/15/34				1FE	522,665	127.2030	636,013	500,000	518,720			(658)		5.950	5.603	AO		6,281	29,750	11/17/2010	10/15/2034	
052769-AB-2	AUTODESK INC ADSK 3.6 12/15/22				2FE	1,019,490	102.3170	1,043,632	1,020,000	1,019,720			51		3.600	3.606	JD		1,632	36,720	05/28/2013	12/15/2022	
053332-AP-7	AUTOZONE INC AZO 3 1/8 07/15/23				2FE	745,853	100.5240	753,929	750,000	747,617			387		3.125	3.188	JJ		10,807	23,438	04/18/2013	07/15/2023	
053611-AG-4	AVERY DENNISON CORP AVY 3.35 04/15/23				2FE	697,065	100.1830	751,370	750,000	717,509			5,369		3.350	4.274	AO		5,304	25,125	12/10/2013	04/15/2023	
05379B-AM-9	AVISTA CORP AVA 5.7 07/01/37				1FE	506,000	122.8520	614,261	500,000	505,169			(143)		5.700	5.612	JJ		14,250	28,500	11/16/2010	07/01/2037	
053807-AR-4	AVNET INC AVT 4 7/8 12/01/22				2FE	748,410	105.2690	789,518	750,000	749,126			154		4.875	4.902	JD		3,047	36,563	11/20/2012	12/01/2022	
057224-AK-3	BAKER HUGHES INC BHI 6 7/8 01/15/29				1FE	722,382	129.7000	778,198	600,000	687,411			(5,763)		6.875	5.130	JJ		19,021	41,250	12/13/2010	01/15/2029	
059165-DX-5	BALTIMORE GAS & ELECTRIC EXC 5.2 06/15/33				1FE	483,950	116.2360	581,182	500,000	487,106			521		5.200	5.449	JD		1,156	26,000	12/01/2010	06/15/2033	
075887-AQ-2	BECTON DICKINSON BDX 6.7 08/01/28				2FE	595,145	122.4110	612,055	500,000	567,697			(4,742)		6.700	5.034	FA		13,958	33,500	05/05/2011	08/01/2028	
075887-CD-9	BECTON DICKINSON BCR 6.7 12/01/26				2FE	560,576	119.5630	597,817	500,000	560,546			(30)		6.700	4.999	JD		2,792		12/29/2017	12/01/2026	
079867-AW-7	BELLSOUTH TELECOMMUNICAT T 6 3/8 06/01/28				2FE										6.375	0.000	JD			500		10/22/2010	06/01/2028
084423-AS-1	BERKLEY (WR) CORPORATION WRB 4 5/8 03/15/22				2FE	498,100	106.4400	532,198	500,000	499,093			191		4.625	4.673	MS		6,809	23,125	03/13/2012	03/15/2022	
098724-AJ-5	BORGWARNER INC BWA 3 3/8 03/15/25				2FE	747,315	100.5960	754,472	750,000	747,958			246		3.375	3.418	MS		7,453	25,313	06/02/2015	03/15/2025	
118230-AJ-0	BUCKEYE PARTNERS LP BPL 4 7/8 02/01/21			2	2FE	527,035	104.8280	524,141	500,000	509,690			(3,150)		4.875	4.145	FA		10,156	24,375	11/17/2011	02/01/2021	
124857-AM-5	CBS CORP CBS 3.7 08/15/24				2FE	733,830	102.6520	769,891	750,000	737,751			1,587		3.700	3.983	FA		10,483	27,750	06/17/2015	08/15/2024	
125509-BS-7	CIGNA CORP CI 4 02/15/22			2	2FE	483,600	104.3110	521,554	500,000	492,498			1,626		4.000	4.402	FA		7,556	20,000	11/16/2011	02/15/2022	
12673P-AC-9	CA INC CA 5 3/8 12/01/19				2FE	814,523	104.8880	786,662	750,000	767,352			(8,534)		5.375	4.107	JD		3,359	40,313	11/15/2011	12/01/2019	
130789-AF-7	CALIFORNIA WATER SERVICE CWT 5 1/2 12/01/40			2	1FE	505,830	124.2510	621,257	500,000	505,155			(116)		5.500	5.420	JD		2,292	27,500	12/06/2010	12/01/2040	
13342B-AC-9	CAMERON INTL CORP CAM 6 3/8 07/15/18				2FE	1,063,980	102.2220	1,022,216	1,000,000	1,005,132			(9,151)		6.375	5.395	JJ		29,396	63,750	05/10/2010	07/15/2018	
134429-AY-5	CAMPBELL SOUP CO CPB 2 1/2 08/02/22				2FE	959,130	98.9240	989,240	1,000,000	972,737			5,445		2.500	3.143	FA		10,347	25,000	06/08/2015	08/02/2022	
14149Y-AV-0	CARDINAL HEALTH INC CAH 3.2 06/15/22				2FE	590,628	100.3230	586,891	585,000	587,917			(602)		3.200	3.079	JD		832	18,720	03/08/2013	06/15/2022	
142339-AF-7	CARLISLE COS INC CSL 3 3/4 11/15/22				2FE	747,653	102.4970	768,727	750,000	748,743			231		3.750	3.594	MN		3,594	28,125	11/15/2012	11/15/2022	
144141-CU-0	DUKE ENERGY PROGRESS INC DUK 6 1/8 09/15/33				1FE	277,654	128.1120	336,934	263,000	274,942			(461)		6.125	5.684	MS		4,743	16,109	01/06/2011	09/15/2033	
144285-AJ-2	CARPENTER TECHNOLOGY CRS 5.2 07/15/21				2FE	384,888	104.2130	416,851	400,000	393,497			1,615		5.200	5.714	JJ		9,591	20,800	11/21/2011	07/15/2021	
149123-BN-0	CATERPILLAR INC CAT 6.05 08/15/36				1FE	572,095	133.9940	669,969	500,000	560,413			(1,921)		6.050	5.042	FA		11,428	30,250	12/06/2010	08/15/2036	
17252M-AG-5	CINTAS CORPORATION NO. 2 CTAS 6.15 08/15/36				2FE	470,068	126.1800	514,814	408,000	459,927			(1,644)		6.150	5.085	FA		9,479	25,092	10/25/2010	08/15/2036	
18550B-AE-8	CLECO POWER LLC ONL 6 1/2 12/01/35				2FE	300,330	129.7760	389,329	300,000	300,315			(9)		6.500	6.490	JD		1,625	19,500	03/17/2011	12/01/2035	
20030N-AF-8	COMCAST CORP CMCSA 5.65 06/15/35				1FE	500,315	124.7320	623,658	500,000	500,277			(9)		5.650	5.645	JD		1,256	28,250	06/23/2011	06/15/2035	
20030N-AY-7	COMCAST CORP CMCSA 6.55 07/01/39				1FE	515,375	138.4760	692,379	500,000	513,481			(289)		6.550	6.319	JJ		16,375	32,750	07/23/2009	07/01/2039	
205887-AR-3	CONAGRA BRANDS INC CAG 7 10/01/28				2FE	553,590	124.6850	623,423	500,000	538,736			(2,503)		7.000	6.011	AO		8,750	35,000	11/29/2010	10/01/2028	
209111-ED-1	CONSOLIDATED EDISON CO O ED 5.1 06/15/33				1FE	500,970	112.8230	564,113	500,000	500,797			(33)		5.100	5.085	JD		1,133	25,500	10/20/2010	06/15/2033	
209111-EH-2	CONSOLIDATED EDISON CO O ED 5.3 03/01/35				1FE																		

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SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
277432-AN-0	EASTMAN CHEMICAL CO EMM 3.6 08/15/22				2FE	627,906	102.8220	616,930	600,000	614,514		(3,058)			3.600	3.006	FA	8,160	21,600	05/13/2013	08/15/2022
278058-AY-8	EATON CORP ETN 5.45 10/15/34				2FE	867,615	112.8500	846,372	750,000	849,873		(3,980)			5.450	4.326	AO	8,629	40,875	02/25/2013	10/15/2034
278865-AL-4	ECOLAB INC ECL 4.35 12/08/21				2FE	449,090	106.2990	433,701	408,000	428,304		(4,791)			4.350	3.000	JD	1,134	17,748	05/31/2013	12/08/2021
283677-AW-2	EL PASO ELECTRIC CO EE 6 05/15/35				2FE	526,883	119.1360	536,112	450,000	516,434		(2,419)			6.000	4.743	MN	3,450	27,000	04/10/2013	05/15/2035
29364W-AR-9	ENTERGY LOUISIANA LLC ETR 3.3 12/01/22				1FE	920,250	101.9950	917,952	900,000	911,191		(2,081)			3.300	3.026	JD	2,475	29,700	05/22/2013	12/01/2022
30161M-AG-8	EXELON GENERATION CO LLC EXC 6 1/4 10/01/39				2FE	479,588	116.4380	519,314	446,000	475,492		(672)			6.250	5.715	AO	6,969	27,875	10/05/2010	10/01/2039
30219G-AE-8	EXPRESS SCRIPTS HOLDING ESRX 4 3/4 11/15/21				2FE	752,845	106.6750	800,060	750,000	751,413		(326)			4.750	4.696	MN	4,552	35,625	01/14/2013	11/15/2021
302491-AR-6	FMC CORP FMC 3.95 02/01/22				2FE	746,805	102.9160	771,869	750,000	748,598		310			3.950	4.000	FA	12,344	29,625	11/25/2011	02/01/2022
30251B-AB-4	FMR LLC FIDINV 6.45 11/15/39				1FE	494,540	135.6480	495,204	500,000	495,204		97			6.450	6.533	MN	4,121	32,250	11/04/2009	11/15/2039
31428X-AV-8	FEDEX CORP FDX 2.7 04/15/23				2FE	877,041	99.2600	893,342	900,000	886,888		2,556			2.700	3.000	AO	5,130	24,300	05/22/2013	04/15/2023
337358-BH-7	WACHOVIA CORPORATION WFC 7.574 08/01/26				1FE	1,232,450	127.7320	1,277,320	1,000,000	1,132,288		(11,715)			7.574	5.611	FA	31,558	75,740	12/28/2004	08/01/2026
340711-AV-2	FLORIDA GAS TRANSMISSION CITCOR 3 7/8 07/15/22				2FE	659,763	103.5480	662,704	640,000	649,988		(1,997)			3.875	3.500	JJ	11,436	24,800	09/12/2012	07/15/2022
341099-CB-3	DUKE ENERGY FLORIDA LLC DUK 5.9 03/01/33				1FE	551,580	122.3270	611,634	500,000	540,841		(1,747)			5.900	5.119	MS	9,833	29,500	10/26/2010	03/01/2033
34354P-AC-9	FLOWERVE CORPORATION FLS 3 1/2 09/15/22				2FE	704,321	100.5510	741,064	737,000	718,040		3,584			3.500	4.107	MS	7,595	25,795	12/05/2013	09/15/2022
35671D-AU-9	FREEMONT-MORGAN INC FCX 3.55 03/01/22		2		3FE	734,790	98.8750	741,563	750,000	742,837		1,559			3.550	3.800	MS	8,875	26,625	05/24/2012	03/01/2022
370334-BJ-2	GENERAL MILLS INC GIS 5.4 06/15/40				2FE	305,343	119.2740	357,822	300,000	304,704		(107)			5.400	5.280	JD	720	16,200	11/10/2010	06/15/2040
375558-AQ-6	GILEAD SCIENCES INC GILD 4 1/2 04/01/21				1FE	1,026,525	106.1560	1,061,557	1,000,000	1,010,415		(2,936)			4.500	4.154	AO	11,250	45,000	11/22/2011	04/01/2021
377372-AJ-6	GLAXOSMITHKLINE CAP INC GSK 4.2 03/18/43				1FE	1,013,440	110.7340	1,107,340	1,000,000	1,012,632		(281)			4.200	4.119	MS	12,017	42,000	10/31/2014	03/18/2043
39121J-AE-0	GREAT RIVER ENERGY GRRIVE 6.254 07/01/38		4		1FE	284,288	117.2210	334,078	285,000	284,587		44			6.254	6.279	JJ	8,912	17,824	12/07/2009	07/01/2038
39121J-AH-3	GREAT RIVER ENERGY GRRIVE 4.478 07/01/30				1FE	397,656	107.5440	451,686	420,000	403,408		943			4.478	4.904	JJ	9,404	18,808	12/15/2010	07/01/2030
413875-AM-7	HARRIS CORPORATION HRS 4.4 12/15/20				2FE	761,168	104.8360	786,271	750,000	754,170		(1,299)			4.400	4.198	JD	1,467	33,000	01/05/2012	12/15/2020
427866-AU-2	HERSHEY COMPANY HSY 3.2 08/21/25				1FE	997,030	100.5990	1,005,993	1,000,000	997,648		267			3.200	3.235	FA	11,556	32,000	08/18/2015	08/21/2025
428236-BM-4	HP INC HPQ 4.3 06/01/21				2FE	1,016,750	104.9080	1,049,075	1,000,000	1,006,830		(1,827)			4.300	4.084	JD	3,583	43,000	12/22/2011	06/01/2021
437076-BD-3	HOME DEPOT INC HD 4 7/8 02/15/44				1FE	1,135,880	119.5310	1,195,308	1,000,000	1,127,766		(2,703)			4.875	4.076	FA	18,417	48,750	10/31/2014	02/15/2044
45167R-AF-1	IDEX CORP IEX 4.2 12/15/21		2		2FE	507,990	103.4890	517,443	500,000	503,433		(837)			4.200	4.000	JD	933	21,000	12/22/2011	12/15/2021
459200-BB-6	IBM CORP IBM 5 7/8 11/29/32				1FE	557,930	129.2850	646,424	500,000	545,598		(2,019)			5.875	5.000	MN	2,611	29,375	12/03/2010	11/29/2032
46051M-AC-6	INTERNATIONAL TRANSMISSIONS ITC 6 1/8 03/31/36				1FE	668,460	126.4060	758,436	600,000	657,286		(1,828)			6.125	5.302	MS	9,290	36,750	10/28/2010	03/31/2036
46625H-HF-0	JPMORGAN CHASE & CO JPM 6.4 05/15/38				1FE	506,280	137.0880	685,438	500,000	505,464		(129)			6.400	6.304	MN	4,089	32,000	07/23/2009	05/15/2038
48125X-RL-2	JPMORGAN CHASE & CO JPM 5.15 05/26/26		2		1FE	1,000,000	103.5760	1,035,763	1,000,000	1,002,606		(686)			5.150	5.066	MN	5,007	51,500	05/26/2011	05/26/2026
48203R-AF-1	JUNIPER NETWORKS INC JNPR 4.6 03/15/21				2FE	523,110	104.8390	524,195	500,000	508,939		(2,565)			4.600	4.000	MS	6,772	23,000	11/17/2011	03/15/2021
487312-AA-8	KEENAN FT DETRICK ENERGY KEENAN 6.093 05/15/33		4		1FE	383,493	109.9010	432,269	393,326	386,211		359			6.093	6.372	MN	3,062	24,015	07/27/2009	05/15/2033
49337W-AH-3	KEYSPAN CORP NGGLN 5 7/8 04/01/33				2FE	490,325	119.8310	599,155	500,000	492,342		300			5.875	6.030	AO	7,344	29,375	10/01/2009	04/01/2033
494550-BE-5	KINDER MORGAN ENER PART KMI 5.3 09/15/20				2FE	431,792	106.3900	425,562	400,000	411,210		(3,837)			5.300	4.193	MS	6,242	21,200	01/20/2012	09/15/2020
494550-BJ-4	KINDER MORGAN ENER PART KMI 4.15 03/01/22				2FE	605,436	103.5460	621,278	600,000	602,505		(542)			4.150	4.040	MS	8,300	24,900	12/09/2011	03/01/2022
500255-AN-4	KOHL'S CORPORATION KSS 6 01/15/33		2		2FE	548,870	101.3670	506,834	500,000	538,665		(1,655)			6.000	5.250	JJ	13,833	30,000	09/29/2010	01/15/2033
50540R-AL-6	LABORATORY CORP OF AMER HLDGS LH 3 3/4 08/23/22		2		2FE	1,019,680	103.7880	1,037,881	1,000,000	1,009,729		(2,006)			3.750	3.510	FA	13,333	37,500	08/22/2012	08/23/2022
546676-AU-1	LOUISVILLE GAS & ELECT PPL 5 1/8 11/15/40				1FE	495,352	121.6680	496,339	500,000	495,906		92			5.125	5.187	MN	3,274	25,625	10/06/2011	11/15/2040
548661-CL-9	LOWE'S COMPANIES INC LOW 5.8 10/15/36				1FE	543,065	125.4090	627,043	500,000	536,201		(1,117)			5.800	5.192	AO	6,122	29,000	10/26/2010	10/15/2036
549271-AF-1	LUBRIZOL CORP BRK 6 1/2 10/01/34				1FE	536,645	132.7610	663,805	500,000	530,383		(1,039)			6.500	5.923	AO	8,125	32,500	10/18/2010	10/01/2034
565849-AB-2	MARATHON OIL CORP MRO 6.8 03/15/32				3FE	675,290	120.9790	713,778	590,000	657,871		(3,058)			6.800	5.614	MS	11,813	40,120	05/04/2011	03/15/2032
56585A-AD-4	MARATHON PETROLEUM CORP MPC 5 1/8 03/01																				

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Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
66989H-AH-1	NOVARTIS CAPITAL CORP NOVNX 4.4 05/06/44				1FE	1,080,000		114,8050	1,000,000	1,075,150		(1,602)			4.400	3.939	MM	6,722	44,000	10/31/2014	05/06/2044
670346-AH-8	NUCOR CORP NUE 6.4 12/01/37				1FE	568,540		133,0460	500,000	558,850		(1,611)			6.400	5.426	JD	2,667	32,000	12/13/2010	12/01/2037
670877-AA-7	OMX TIMBER FIN INV LLC 1 OMX 5.42 10/31/19	2			1FE	455,820		104,1950	500,000	488,755		4,923			5.420	6.602	AO	4,592	27,100	08/07/2009	01/29/2020
677400-AF-3	OHIO NAT FINANCIAL SRVS ONHAT 6 3/8 04/30/20				2FE	316,718		107,6750	290,000	297,794		(3,074)			6.375	5.136	AO	3,133	18,488	11/01/2010	04/30/2020
68389X-AP-0	ORACLE CORP ORCL 2 1/2 10/15/22				1FE	719,618		99,9020	750,000	733,392		3,180			2.500	3.000	AO	3,958	18,750	06/05/2013	10/15/2022
693304-AJ-6	PECO ENERGY CO EXC 5.7 03/15/37				1FE	547,990		125,0850	500,000	540,431		(1,227)			5.700	5.038	MS	8,392	28,500	10/22/2010	03/15/2037
694476-AC-6	PACIFIC LIFE CORP PACLIF 6 02/10/20				2FE	192,424		106,6280	180,000	183,558		(1,563)			6.000	5.000	FA	4,230	10,800	07/07/2011	02/10/2020
695114-CB-2	PACIFIC CORP BRKHEC 6.1 08/01/36				1FE	540,705		132,9910	500,000	534,461		(1,045)			6.100	5.503	FA	12,708	30,500	12/14/2010	08/01/2036
705322-AJ-4	PEDERNALES ELECTRIC COOP PEDELC 5.952 11/15/22	4			1FE	121,325		107,5020	115,000	117,860		(474)			5.952	5.028	MM	875	6,845	06/15/2011	11/15/2022
705322-AK-1	PEDERNALES ELECTRIC COOP PEDELC 6.202 11/15/32	4			1FE	278,513		122,8420	280,000	278,943		67			6.202	6.250	MM	2,219	17,366	12/08/2009	11/15/2032
718546-AC-8	PHILLIPS 66 PSX 4.3 04/01/22				2FE	879,864		105,6260	800,000	841,036		(8,925)			4.300	3.005	AO	8,600	34,400	05/23/2013	04/01/2022
720186-AE-5	PIEDMONT NATURAL GAS CO DUK 6 12/19/33				1FE	519,400		125,5890	490,000	513,927		(913)			6.000	5.536	JJ	14,700	29,400	12/09/2010	12/19/2033
72650R-BB-7	PLAINS ALL AMER PIPELINE PAA 2.85 01/31/23				2FE	979,530		95,6350	1,000,000	988,695		2,024			2.850	3.092	JJ	11,875	28,500	03/22/2013	01/31/2023
73102Q-AA-4	POLAR TANKERS INC COP 5.951 05/10/37	4			2FE	545,940		116,6490	500,000	534,399		(1,870)			5.951	5.204	MM	4,215	29,755	10/22/2010	05/10/2037
745332-BU-9	PUGET SOUND ENERGY INC PSD 5.483 06/01/35				1FE	253,308		122,2880	250,000	252,775		(94)			5.483	5.384	JD	1,142	13,708	05/04/2011	06/01/2035
745332-BX-3	PUGET SOUND ENERGY INC PSD 6.274 03/15/37				1FE	566,110		134,6390	500,000	556,052		(1,644)			6.274	5.334	MS	9,237	31,370	10/21/2010	03/15/2037
74834L-AN-0	QUEST DIAGNOSTICS INC DGX 6.95 07/01/37				2FE	532,875		122,1110	500,000	528,663		(722)			6.950	6.430	JJ	17,375	34,750	12/07/2010	07/01/2037
808626-AG-0	LEIDOS INC LDOS 5 1/2 07/01/33				3FE	491,777		98,5000	535,000	500,314		1,309			5.500	6.155	JJ	14,713	29,425	01/07/2010	07/01/2033
816300-AD-9	SELECTIVE INSURANCE GRP SIGI 7 1/4 11/15/34				2FE	997,588		115,9110	1,000,000	998,109		56			7.250	7.270	MM	9,264	72,500	05/02/2005	11/15/2034
824348-BB-1	SHERWIN-WILLIAMS CO SHW 4.2 1/15/22	2			2FE	785,172		104,6760	750,000	782,051		(3,121)			4.200	2.999	JJ	14,525	30,938	08/09/2017	01/15/2022
828807-C3-0	SIMON PROPERTY GROUP LP SPG 4 1/8 12/01/21	2			1FE	756,585		105,4390	750,000	750,790		(687)			4.125	4.016	JD	2,578	30,938	11/18/2011	12/01/2021
835495-AK-8	SONOCO PRODUCTS CO SON 4 3/8 11/01/21	2			2FE	901,154		104,5600	875,000	885,891		(2,762)			4.375	4.000	MM	6,380	38,281	11/17/2011	11/01/2021
842400-EV-1	SOUTHERN CAL EDISON EIX 5 3/4 04/01/35				1FE	551,465		127,5760	500,000	542,155		(1,509)			5.750	5.014	AO	7,188	28,750	10/27/2010	04/01/2035
845743-BL-6	SOUTHWESTERN PUBLIC SERV XEL 6 10/01/36				2FE	517,665		125,7260	479,000	511,625		(985)			6.000	5.417	AO	7,185	28,740	10/12/2010	10/01/2036
84756N-AB-5	SPECTRA ENERGY PARTNERS SEP 4.6 06/15/21	2			2FE	1,045,890		105,5370	1,000,000	1,017,934		(5,129)			4.600	4.000	JD	2,044	46,000	12/22/2011	06/15/2021
86787E-AN-7	SUNTRUST BANK STI 2 3/4 05/01/23				1FE	782,928		99,0400	800,000	790,210		1,669			2.750	3.000	MM	3,667	22,000	05/22/2013	05/01/2023
869049-AE-6	SUSA PARTNERSHIP LP GE 7 1/2 12/01/27				1FE	192,544		126,0520	178,000	186,685		(597)			7.500	6.815	JD	1,113	13,350	10/23/2002	12/01/2027
871503-AK-4	SYMANTEC CORP SYMC 3.95 06/15/22				3FE	749,130		102,2880	750,000	749,574		86			3.950	3.964	JD	1,317	29,625	06/25/2012	06/15/2022
871829-AF-4	SYSCO CORPORATION SYY 6 1/2 08/01/28				2FE	581,570		121,1860	500,000	557,483		(4,015)			6.500	5.082	FA	13,542	32,500	01/24/2011	08/01/2028
87233Q-AA-6	TC PIPELINES LP TOP 4.65 06/15/21				2FE	1,048,480		104,9950	1,000,000	1,019,693		(5,211)			4.650	4.034	JD	2,067	46,500	11/17/2011	06/15/2021
88732J-AU-2	TIME WARNER CABLE LLC TWC 6 3/4 06/15/39				2FE	505,980		119,4320	500,000	505,271		(109)			6.750	6.657	JD	1,500	33,750	07/23/2009	06/15/2039
889175-BD-6	TOLEDO EDISON COMPANY FE 6.15 05/15/37				2FE	512,100		128,9310	500,000	510,424		(280)			6.150	5.967	MM	3,929	30,750	11/15/2010	05/15/2037
891906-AB-5	TOTAL SYSTEM SERVICES INTSS 3 3/4 06/01/23				2FE	1,005,900		101,7080	1,000,000	1,003,458		(567)			3.750	3.679	JD	3,125	37,500	05/16/2013	06/01/2023
89233P-TJ-9	TOYOTA MOTOR CREDIT CORP TOYOTA 3 02/04/33	2			1FE	744,375		96,5310	750,000	745,458		234			3.000	3.050	FA	9,188	22,500	01/24/2013	02/04/2033
90131H-BM-6	21ST CENTURY FOX AMERICA FOXA 6 3/4 01/09/38				2FE	547,273		134,1000	500,000	544,403		(1,127)			6.750	5.983	JJ	16,125	33,750	04/06/2015	01/09/2038
90265E-AG-5	UDR INC UDR 4 5/8 01/10/22				2FE	752,985		105,9100	750,000	751,365		(302)			4.625	4.575	JJ	16,477	34,688	01/05/2012	01/10/2022
907818-CS-5	UNION PACIFIC CORP UNP 5 3/8 06/01/33				1FE	924,656		119,9070	900,000	924,693		(822)			5.375	5.167	JD	4,031	48,375	05/03/2011	06/01/2033
913017-BK-4	UNITED TECHNOLOGIES CORP UTX 6.05 06/01/36				1FE	574,975		128,3480	500,000	562,454		(2,028)			6.050	5.004	JD	2,521	30,250	10/27/2010	06/01/2036
91324P-BN-1	UNITEDHEALTH GROUP INC UNH 5.7 10/15/40	2			1FE	941,002		128,3960	840,000	932,347		(2,252)			5.700	4.885	AO	10,108	47,880	11/01/2013	10/15/2040
92343V-EJ-9	VERIZON COMMUNICATIONS VERIZON COMMUNICATIONS				1	1,001,142		124,1620	619,000	999,731		(1,411)			6.800	1.035	MM	1,871		12/15/2017	05/01/2029
92553P-AJ-1	VIACOM INC VIA 3 7/8 12/15/21				2FE	741,173		101,8470	750,000	746,087		896			3.875	4.019	JD	1,292	29,063	12/09/2011	12/15/2021
931142-CY-7	WAL-MART STORES INC WMT 5 10/25/40				1FE	487,700		125,4160	500,000	489,212		244			5.000	5.162	AO	4,583	25,000	12/01/2010	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date	
878742-AE-5	TECK RESOURCES LIMITED TOKBON 6 1/8 10/01/35	A			3FE	786,795	111.8750	839,063	750,000	781,286			(994)		6.125	5.747	AO		11,484	45,938	06/14/2011	10/01/2035
98417E-AK-6	GLENCORE FINANCE CANADA GLENLN 4.95 11/15/21	A			2FE	1,042,200	106.5000	1,065,000	1,000,000	1,018,810			(4,364)		4.950	4.416	MN		6,325	49,500	01/05/2012	11/15/2021
02364W-BD-6	AMERICA MOVIL SAB DE CV AMXLM 3 1/8 07/16/22	D			1FE	696,398	101.0710	758,032	750,000	719,377			6,015		3.125	4.120	JJ		10,742	23,438	12/05/2013	07/16/2022
04363U-AB-2	ASCIANO FINANCE LTD AIOAU 4 5/8 09/23/20	D			2FE	255,235	103.4150	258,536	250,000	251,987			(673)		4.625	4.313	MS		3,148	11,563	09/17/2012	09/23/2020
046353-AD-0	ASTRAZENECA PLC AZN 6.45 09/15/37	D			2FE	600,430	135.1500	675,749	500,000	585,351			(2,478)		6.450	5.070	MS		9,496	32,250	12/01/2010	09/15/2037
05530Q-AK-6	BAT INTL FINANCE PLC BATSLN 3.95 06/15/25	D			2FE	1,005,840	103.8530	1,038,530	1,000,000	1,004,561			(518)		3.950	3.879	JD		1,756	39,500	06/11/2015	06/15/2025
055450-AH-3	BHP BILLITON FINANCE BHP 6.42 03/01/26	D			1FE	279,863	122.4720	306,179	250,000	268,930			(1,813)		6.420	5.267	MS		5,350	16,050	12/16/2010	03/01/2026
111013-AK-4	SKY PLC SKYLN 3 1/8 11/26/22	D			2FE	751,088	100.8240	756,178	750,000	750,575			(107)		3.125	3.108	MN		2,279	23,438	11/19/2012	11/26/2022
202712-AG-0	COMMONWEALTH BANK AUST CBAU 4.65 06/15/18	D			1FE	240,737	101.1260	267,985	265,000	263,418			3,332		4.650	6.000	JD		548	12,323	08/12/2009	06/15/2018
29358Q-AG-4	ENSCO PLC ESV 8 01/31/24	D			3FE	380,948	100.0000	363,000	363,000	379,400			(1,549)		8.000	7.073	JJ		12,181	16,295	03/21/2017	01/31/2024
81013T-AC-5	IBERDROLA INTL BV IBESM 5.81 03/15/25	D			2FE	516,025	114.5780	572,891	500,000	509,799			(1,083)		5.810	5.477	MS		8,554	29,050	04/14/2011	03/15/2025
822582-AD-4	SHELL INTERNATIONAL FIN ROSALN 6 3/8 12/15/38	D			1FE	586,880	139.8330	699,163	500,000	575,009			(1,945)		6.375	5.192	JD		1,417	31,875	11/16/2010	12/15/2038
83238P-AD-6	SMITHS GROUP PLC SMINLN 3 5/8 10/12/22	D			2FE	745,373	100.6250	754,690	750,000	747,459			478		3.625	3.703	AO		5,966	27,188	05/15/2013	10/12/2022
85771P-AK-8	STATOIL ASA STLNO 2.65 01/15/24	D			1FE	482,870	99.4970	497,487	500,000	489,615			1,519		2.650	3.029	JJ		6,110	13,250	05/28/2013	01/15/2024
87938W-AM-5	TELEFONICA EMISIONES SAU TELEFO 5.134 04/27/20	D			2FE	486,555	105.8580	529,291	500,000	495,999			1,574		5.134	5.506	AO		4,564	25,670	01/04/2011	04/27/2020
88165F-AG-7	TEVA PHARMACEUT FIN BV TEVA 2.95 12/18/22	D			2FE	989,140	89.0970	890,971	1,000,000	993,969			1,108		2.950	3.082	JD		1,065	29,500	05/23/2013	12/18/2022
902133-AK-3	TYCO ELECTRONICS GROUP S TEL 4 7/8 01/15/21	D			1FE	799,058	106.3190	797,391	750,000	768,602			(5,649)		4.875	4.000	JJ		16,859	36,563	01/20/2012	01/15/2021
92936M-AB-3	WPP FINANCE 2010 WPPLN 4 3/4 11/21/21	D			2FE	1,005,620	106.8540	1,015,113	950,000	975,427			(5,931)		4.750	4.000	MN		5,014	45,125	06/08/2012	11/21/2021
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						123,840,904	XXX	132,464,468	118,888,326	122,610,435			(217,637)		XXX	XXX	XXX		1,449,910	5,719,531	XXX	XXX
02147L-AM-5	COUNTRYWIDE ALTERNATIVE LN TR CUALT 2006-22R 2A2		4		1FML	275,034	71.8270	322,913		449,572			(3,115)		6.250	6.402	MON		2,342	28,153	09/15/2006	05/25/2036
059475-AB-9	BANC OF AMERICA ALT LN TR BOAA 2007-2 1A2		4		1FML	192,799	74.1970	183,888		247,838			872		5.500	6.167	MON		1,136	13,627	06/18/2007	06/25/2037
05950N-BH-0	BANC OF AMERICA FUNDING CORP BAFC 2006-5 3A4		4		3FML	599,824	100.3810	604,280		601,988			(1)		5.750	5.816	MON		2,885	34,614	11/14/2006	09/25/2036
059529-AJ-6	BANC OF AMERICA FUNDING CORP BAFC 2007-7 3A2		4		1FML	670,120	75.6320	655,745		867,020			3,244		6.293	6.872	MON		4,547	49,681	10/02/2007	09/25/2037
12667F-J4-8	COUNTRYWIDE ALT LN TR CUALT 2004-30CB 2A3		4		1FML	3,362,952	92.8160	3,434,202	3,700,000	3,285,900			8,507		5.750	5.758	MON		17,729	212,750	09/07/2005	02/25/2035
12667G-RF-2	COUNTRYWIDE ALT LN TR CUALT 2005-25T1 A5		4		1FML	882,033	90.0950	892,246		990,335			777,006	(21,949)	5.750	7.198	MON		4,745	56,924	07/27/2005	07/25/2035
12668B-PS-6	COUNTRYWIDE ALT LN TR CUALT 2006-4CB 1A6		4		1FML	464,430	82.1250	481,554		586,365			348,470	(16,055)	6.000	9.154	MON		2,932	35,372	03/22/2006	04/25/2036
12668B-VB-6	COUNTRYWIDE ALT LN TR CUALT 2006-9T1 A2		4		1FML	523,397	70.8120	481,405		679,835			405,066	(19,917)	5.750	10.222	MON		3,258	39,039	03/05/2007	05/25/2036
16163H-AQ-4	CHASE MORTGAGE FINANCE CORP CHASE 2007-S3 1A15		4		1FML	828,649	82.8040	874,267	1,055,822	798,491			(29,727)	33,694	6.000	5.446	MON		5,279	63,320	05/04/2007	05/25/2037
17316Y-AG-3	CITIGROUP MORTGAGE LOAN TRUST CMLT1 2010-6 4A1		4		1FML	94,229	101.5210	96,143		94,703			10		5.500	5.594	MON		434	5,209	06/26/2010	12/25/2036
17317K-BE-6	CITIGROUP MORTGAGE LOAN TRUST CMLT1 2010-5 6A1		4		1FML	57,902	100.7440	58,552		58,120			57,905		5.500	5.565	MON		266	3,197	06/02/2010	02/25/2037
36242D-VE-0	GSR MORTGAGE LOAN TRUST GSR 2005-1F 2A2		4		4FML	623,250	99.8320	618,722		619,764			(62)		6.000	5.964	MON		3,099	37,186	10/03/2005	02/25/2035
45660L-NQ-8	RESIDENTIAL ASSET SEC TR RAST 2005-A7 A3		4		3FML	222,618	89.8110	210,172		234,016			209,562	355	5.500	5.809	MON		1,073	13,384	07/01/2017	06/25/2035
576434-V6-8	MASTR ALTERNATE LOAN TRUST MALT 2005-6 1A2		4		1FML	281,242	99.7350	310,645		311,469			280,275		5.500	5.991	MON		1,428	17,120	01/26/2006	12/25/2035
576434-YL-2	MASTR ALTERNATE LOAN TRUST MALT 2004-12 5A4		4		1FML	2,852,460	103.5960	2,875,558	2,775,742	2,794,221			(489)		6.000	5.743	MON		13,879	166,545	07/20/2005	12/25/2034
86359D-JX-6	STRUCTURED ASSET SEC CORP SASC 2005-1A 2A5		4		2FML	387,332	96.5030	388,361		402,436			391,981	3,837	5.750	6.193	MON		1,928	23,140	09/12/2005	07/25/2035
94982J-AM-5	WELLS FARGO MTG BK SEC WFIBS 2005-6 A12		4		1FML	498,908	101.9290	516,196		506,425			502,507	394	5.500	5.669	MON		2,321	27,856	09/08/2005	08/25/2035
94984A-AQ-3	WELLS FARGO MTG BK SEC WFIBS 2006-6 1A15		4		3FML	86,481	98.1560	86,563		88,189			87,539	50	5.750	6.050	MON		423	5,061	01/12/2007	05/25/2036
94989R-AH-5	WELLS FARGO MTG BK SEC WFIBS 2007-4 A8		4		3FML	330,481	100.2720	368,574		367,575			352,219	1,999	6.000	6.134	MON		1,838	22,024	04/02/2007	04/25/2037
3399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities						13,234,141	XXX	13,459,986	14,637,214	12,620,710			(72,047)	33,694	XXX	XXX	XXX		71,542	854,202	XXX	XXX
05604F-AJ-4	BIWAY MORTGAGE TRUST BIWAY 2013-1515 B		4		1FML	503,789	100.0430	500,216	500,000	502,807			(392)		3.473	3.395	MON		1,447	17,364	06/02/2015	03/10/2033
12532B-AF-4	CFCRE COMM MTGE TRUST CFCRE 2016-C7 B		4		1FML	514,996	104.4620															

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates			
CUSIP Identification	Description	3  C o d e	4  F o r e i g n	5  B o n d C h a r	NAIC Des.	Actual Cost	8  Rate Used to Obtain Fair Value	9  Fair Value	Par Value	Book/ Adjusted Carrying Value	12  Unrealized Valuation Increase/ (Decrease)	13  Current Year's (Amor- tization) Accretion	14  Current Year's Other- Than- Temporary Impairment Recognized	15  Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16  Rate of	17  Effective Rate of	18  When Paid	19  Admitted Amount Due and Accrued	20  Amount Received During Year	21  Acquired	22  Stated Contractual Maturity Date	
61691G-AJ-8	MORGAN STANLEY BAML TRUST MSBAM 2016-C32 C	4			1FM	485,203	101.1880	505,940	500,000	486,252		1,014		4,296	4.731	MON		1,790	21,780	12/06/2016	12/15/2049	
61764P-BZ-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C19 C	4			1FM	966,462	95.7120	957,119	1,000,000	974,256		2,685		4,000	4.421	MON		3,333	40,000	12/09/2014	06/15/2025	
87264J-AJ-5	TMSQ 2014-1500 C TMSQ 2014-1500 C	4			1FM	504,980	100.0120	500,061	500,000	503,649		(510)		3,835	3.783	MON		1,598	19,441	06/03/2015	10/10/2036	
91831U-AE-7	VNO MTGE TRUST VNO 2016-350P C	4			1FM	501,834	102.1170	510,586	500,000	501,658		(178)		3,903	3.935	MON		1,626	19,784	12/14/2016	01/10/2035	
94989A-BA-2	WELLS FARGO COMMERCIAL MTG TR WFCM 2014-LC18 C	4			1FM	999,984	98.7170	987,172	1,000,000	999,590		(137)		4,193	4.210	MON		3,494	41,930	12/12/2014	12/15/2047	
95000P-AK-0	WELLS FARGO COMM MTGE TRUST WFCM 2016-C37 B	4			1FM	514,988	104.4780	522,388	500,000	513,711		(1,254)		4,319	4.043	MON		1,800	21,910	12/12/2016	12/15/2049	
95000P-AL-8	WELLS FARGO COMM MTGE TRUST WFCM 2016-C37 C	4			1FM	484,467	102.3920	511,959	500,000	485,616		1,115		4,494	4.969	MON		1,873	22,785	12/12/2016	12/15/2049	
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities						11,475,653	XXX	11,608,601	11,500,000	11,468,489		(58)		XXX	XXX	XXX		39,452	476,972	XXX	XXX	
04365J-AF-6	ASCENTUM EQUIPMENT REC ACER 2017-1A D	4			2FE	428,891	100.4880	431,095	429,000	428,907		17		3,800	3.837	MON		951	9,917	04/26/2017	01/10/2024	
048677-AB-4	ATLANTIC MARINE CORP ATLMAR 5.343 12/01/50	4			1FE	462,721	107.0980	592,887	553,593	472,019		1,539		5,343	6.720	JD		2,465	29,578	12/15/2010	12/01/2050	
14315B-AG-1	C	4			2FE	500,000	99.7470	498,734	500,000	500,000				2,800	2.809	JAJO		1,244		10/13/2017	01/15/2030	
210805-CO-8	CONTINENTAL AIRLINES UAL 6.545 02/02/19	4			1FE	236,177	102.0020	232,198	227,640	228,656		(947)		6,545	5.779	FA		6,167	14,899	04/27/2010	02/02/2019	
24703F-AF-3	DELL EQUIPMENT FINANCE TRUST DEFT 2017-1 D	4			2FE	499,931	99.9730	499,864	500,000	499,946		15		3,440	3.470	MON		430	10,941	04/25/2017	04/24/2023	
247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22	4			1FE	244,561	113.2170	274,143	242,139	243,433		(192)		6,821	6.648	FA		6,469	16,516	03/31/2010	08/10/2022	
26208D-AF-3	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-BA D	4			2FE	499,987	100.5250	502,623	500,000	499,990		2		3,720	3.750	MON		827	13,227	03/21/2017	10/17/2022	
428041-BA-4	HERTZ FLEET LEASE FUNDING LLC HFLF 2017-1 D	4			2FE	499,952	99.1360	495,678	500,000	500,152		200		3,690	3.704	MON		1,076	11,531	04/18/2017	04/10/2031	
58524E-AB-8	MID-ATLANTIC MILITARY CO MIDATL 5.24 08/01/50	4			1FE	338,468	109.0290	519,253	476,253	352,832		1,911		5,240	7.949	FA		10,398	24,956	07/22/2009	08/01/2050	
04016P-AG-3	ARES CLO LTD ARES 2017-43A D	D			2FE	499,500	100.7860	503,928	500,000	501,332		1,832		5,099	5.714	JAJO		5,524	10,940	04/04/2017	10/15/2029	
06759F-AG-1	BABSON CLO LTD SERIES 20152A CLASS DR	D			2FE	500,000	101.5000	507,500	500,000	500,000				4,313	3.017	JAJO		4,373		10/12/2017	10/20/2030	
12479L-AA-8	CAL FUNDING II LTD CAI 2012-1A A	D			1FE	483,229	99.7320	482,037	483,333	483,280		10		3,470	3.500	MON		280	16,771	10/10/2012	10/25/2027	
15032E-AJ-8	CEDAR FUNDING LTD CEDAR FUNDING LTD	D			2FE	500,000	100.0000	500,000	500,000	500,000				4,607	4.633	JAJO		7,015		08/09/2017	10/17/2030	
36319Q-BL-5	GALAXY CLO LTD GALAXY CLO LTD	D			2FE	500,000	101.9530	509,763	500,000	500,000				4,735	4.735	JAJO		4,537	6,061	08/09/2017	07/24/2030	
55820R-AG-7	MADISON PARK FUNDING LTD MDPK 2017-25A C	D			2FE	500,000	100.7440	503,721	500,000	500,000				5,046	5.075	FMAN		2,943	12,133	04/12/2017	04/25/2029	
64130H-AJ-0	NEUBERGER BERMAN CLO LTD NEUB 2017-24A B2	D			1FE	499,906	99.7440	498,720	500,000	499,936		30		3,822	3.842	JAJO		3,822	8,387	03/31/2017	04/16/2030	
67575X-AE-1	31 LTD	D			1FE	250,000	100.2830	250,707	250,000	250,000				3,763	3.721	JAJO		1,907	2,895	06/01/2017	07/20/2030	
87806L-AJ-5	TCI-CENT CLO 2016-1 LTD TCI CENT CLO 2016-1 LTD	D			2FE	500,000	101.5440	507,721	500,000	500,000				5,017	4.739	JAJO		6,203		06/19/2017	07/25/2030	
883310-AG-9	THAYER PARK CLO LTD THAYR 2017-1A C	D			2FE	500,000	102.1800	510,899	500,000	500,000				5,063	5.093	JAJO		4,992	10,720	04/20/2017	04/20/2029	
88434G-AG-0	WIND RIVER CLO LTD WIND RIVER CLO LTD	D			2FE	340,000	100.4110	341,398	340,000	340,000				4,503	4.120	JAJO		4,663		08/09/2017	10/15/2030	
92915Q-AL-7	VOYA CLO LTD VOYA CLO LTD	D			2FE	300,000	101.9630	305,890	300,000	300,000				4,915	4.313	JAJO		7,031		06/13/2017	07/20/2030	
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						9,083,323	XXX	9,468,759	9,301,958	9,100,483		4,417		XXX	XXX	XXX		81,853	205,675	XXX	XXX	
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						157,634,021	XXX	167,001,814	154,327,498	155,800,117		(285,325)	33,694		XXX	XXX	XXX		1,642,757	7,256,380	XXX	XXX
4899999. Total - Hybrid Securities							XXX								XXX	XXX	XXX			XXX	XXX	
5599999. Total - Parent, Subsidiaries and Affiliates Bonds							XXX								XXX	XXX	XXX			XXX	XXX	
6099999. Subtotal - SVO Identified Funds							XXX								XXX	XXX	XXX			XXX	XXX	
7799999. Total - Issuer Obligations						164,762,542	XXX	175,527,041	157,314,288	162,831,396		(353,785)			XXX	XXX	XXX		2,023,775	7,927,442	XXX	XXX
7899999. Total - Residential Mortgage-Backed Securities						16,593,465	XXX	17,294,311	18,118,018	16,026,354		(66,576)	33,694		XXX	XXX	XXX		85,871	1,026,160	XXX	XXX
7999999. Total - Commercial Mortgage-Backed Securities						11,475,653	XXX	11,608,601	11,500,000	11,468,489		(58)			XXX	XXX	XXX		39,452	476,972	XXX	XXX
8099999. Total - Other Loan-Backed and Structured Securities						9,083,323	XXX	9,468,759	9,301,958	9,100,483		4,417			XXX	XXX	XXX		81,853	205,675	XXX	XXX
8199999. Total - SVO Identified Funds							XXX								XXX	XXX	XXX			XXX	XXX	
8399999 - Total Bonds						201,914,983	XXX	213,898,712	196,234,264	199,426,722		(416,002)	33,694		XXX	XXX	XXX		2,230,951	9,636,249	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

**N O N E**

Schedule D - Part 2 - Section 2 - Common Stocks Owned

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
647421-DA-1 .....	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B- 6.124% 04/01/30 .....		.10/20/2017 .....	Tax Free Exchange .....		320,000 .....	320,000 .....	1,034 .....
647421-DG-8 .....	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B- 6.124% 04/01/30 .....		.10/20/2017 .....	Tax Free Exchange .....		430,000 .....	430,000 .....	1,390 .....
3199999. Subtotal - Bonds - U.S. Special Revenues						750,000 .....	750,000 .....	2,424 .....
00206R-EZ-1 .....	AT&T INC T 6.55 01/15/28 6.550% 01/15/28 .....		.12/01/2017 .....	Tax Free Exchange .....		417,742 .....	400,000 .....	9,898 .....
00206R-FA-5 .....	AT&T INC T 6 3/8 06/01/28 6.375% 06/01/28 .....		.12/01/2017 .....	Tax Free Exchange .....		532,987 .....	500,000 .....	15,938 .....
002824-BL-3 .....	ABBOTT LABORATORIES ABT 3 1/4 04/15/23 3.250% 04/15/23 .....		.03/22/2017 .....	Tax Free Exchange .....		755,203 .....	750,000 .....	10,630 .....
03523T-BT-4 .....	ANHEUSER-BUSCH INBEV WOR ANHEUSER-BUSCH INBEV WORLD 4.439% 10/06/48 .....		.08/21/2017 .....	Tax Free Exchange .....		580,000 .....	580,000 .....	9,655 .....
04365J-AF-6 .....	ASCENTIUM EQUIPMENT REC ACER 2017-1A D 3.800% 01/10/24 .....		.04/26/2017 .....	BANK OF AMERICA .....		428,891 .....	429,000 .....	
075887-CD-9 .....	BECTON DICKINSON BCR 6.7 12/01/26 6.700% 12/01/26 .....		.12/29/2017 .....	Tax Free Exchange .....		560,576 .....	500,000 .....	2,606 .....
14315B-AG-1 .....	CARLYLE GLOBAL MARKET STRATEGI SERIES 20174A CLASS C 2.800% 01/15/30 .....		.10/13/2017 .....	BNP PARIBAS .....		500,000 .....	500,000 .....	
24703F-AF-3 .....	DELL EQUIPMENT FINANCE TRUST DEFT 2017-1 D 3.440% 04/24/23 .....		.04/25/2017 .....	BANK OF AMERICA .....		499,931 .....	500,000 .....	
26208D-AF-3 .....	DRIVE AUTO RECIEVABLES TRUST DRIVE 2017-BA D 3.720% 10/17/22 .....		.03/21/2017 .....	RBC CAPITAL MARKETS .....		499,987 .....	500,000 .....	
428041-BA-4 .....	HERTZ FLEET LEASE FUNDING LLC HFLF 2017-1 D 3.690% 04/10/31 .....		.04/18/2017 .....	BARCLAYS CAPITAL GROUP .....		499,952 .....	500,000 .....	
45660L-NQ-8 .....	RESIDENTIAL ASSET SEC TR RAST 2005-A7 A3 5.500% 06/25/35 .....		.07/01/2017 .....	Interest Capitalization .....				
824348-BB-1 .....	SHERWIN-WILLIAMS CO SHW 4.2 1/15/22 4.200% 01/15/22 .....		.08/09/2017 .....	Tax Free Exchange .....		785,172 .....	750,000 .....	2,100 .....
92343V-EJ-9 .....	VERIZON COMMUNICATIONS VERIZON COMMUNICATIONS 6.800% 05/01/29 .....		.12/15/2017 .....	Tax Free Exchange .....		1,001,142 .....	619,000 .....	
04016P-AG-3 .....	ARES CLO LTD ARES 2017-43A D 5.099% 10/15/29 .....	D.....	.04/04/2017 .....	BNP PARIBAS .....		499,500 .....	500,000 .....	
06759F-AG-1 .....	BABSON CLO LTD SERIES 20152A CLASS DR 4.313% 10/20/30 .....	D.....	.10/12/2017 .....	BANK OF AMERICA .....		500,000 .....	500,000 .....	
15032E-AJ-8 .....	CEDAR FUNDING LTD CEDAR FUNDING LTD 4.607% 10/17/30 .....	D.....	.08/09/2017 .....	CREDIT SUISSE SECURITIES .....		500,000 .....	500,000 .....	
29358Q-AG-4 .....	ENSCO PLC ESV 8 01/31/24 8.000% 01/31/24 .....	D.....	.03/21/2017 .....	Tax Free Exchange .....		380,948 .....	363,000 .....	5,889 .....
36319Q-BL-5 .....	GALAXY CLO LTD GALAXY CLO LTD 4.735% 07/24/30 .....	D.....	.08/09/2017 .....	GRANTCHESTER SECS .....		500,000 .....	500,000 .....	2,094 .....
58820R-AG-7 .....	MADISON PARK FUNDING LTD MDPK 2017-25A C 5.046% 04/25/29 .....	D.....	.04/12/2017 .....	CITIGROUP GLOBAL MARKETS .....		500,000 .....	500,000 .....	
64130H-AJ-0 .....	NEUBERGER BERMAN CLO LTD NEUB 2017-24A B2 3.822% 04/16/30 .....	D.....	.03/31/2017 .....	WELLS FARGO .....		499,906 .....	500,000 .....	
67575X-AE-1 .....	OCTAGON INV PARTNERS 31 LTD OCTAGON INV PARTNERS 31 LTD 3.763% 07/20/30 .....	D.....	.06/01/2017 .....	WELLS FARGO .....		250,000 .....	250,000 .....	
87806L-AJ-5 .....	TCI-CENT CLO 2016-1 LTD TCI CENT CLO 2016-1 LTD 5.017% 07/25/30 .....	D.....	.06/19/2017 .....	DEUTSCHE BANK .....		500,000 .....	500,000 .....	
883310-AG-9 .....	THAYER PARK CLO LTD THAYR 2017-1A C 5.063% 04/20/29 .....	D.....	.04/20/2017 .....	BNP PARIBAS .....		500,000 .....	500,000 .....	
88434G-AG-0 .....	WIND RIVER CLO LTD WIND RIVER CLO LTD 4.503% 10/15/30 .....	D.....	.08/09/2017 .....	MORGAN STANLEY .....		340,000 .....	340,000 .....	
92915Q-AL-7 .....	VOYA CLO LTD VOYA CLO LTD 4.915% 07/20/30 .....	D.....	.06/13/2017 .....	CITIGROUP GLOBAL MARKETS .....		300,000 .....	300,000 .....	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						12,331,937 .....	11,781,000 .....	58,810 .....
8399997. Total - Bonds - Part 3						13,081,937 .....	12,531,000 .....	61,234 .....
8399998. Total - Bonds - Part 5						1,749,246 .....	1,693,945 .....	11,988 .....
8399999. Total - Bonds						14,831,183 .....	14,224,945 .....	73,222 .....
8999997. Total - Preferred Stocks - Part 3							XXX .....	
8999998. Total - Preferred Stocks - Part 5							XXX .....	
8999999. Total - Preferred Stocks							XXX .....	
9799997. Total - Common Stocks - Part 3							XXX .....	
9799998. Total - Common Stocks - Part 5							XXX .....	
9799999. Total - Common Stocks							XXX .....	
9899999. Total - Preferred and Common Stocks							XXX .....	
9999999 - Totals						14,831,183 .....	XXX .....	73,222 .....



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
455780-AM-8	AID-INDONESIA AID 6.65 07/15/29 6.650%	C	07/15/2017	Redemption		118,740	118,740	144,966	137,611		(18,872)		(18,872)		118,740				5,954	07/15/2029
1099999	Subtotal - Bonds - All Other Governments					118,740	118,740	144,966	137,611		(18,872)		(18,872)		118,740				5,954	XXX
31392F-XU-6	FEDERAL NATL MTG ASSN FNR 2002-88 AL 5.500% 12/25/22		12/01/2017	Paydown		15,458	15,458	15,458	15,458						15,458				453	12/25/2022
31392X-NF-1	FED HOME LOAN MTG CORP FHR 2523 PH 5.500% 11/15/32		12/01/2017	Paydown		11,131	11,131	11,048	11,092		40		40		11,131				331	11/15/2032
314120-4X-4	FNMA FN 932438 4.000% 01/01/25		12/01/2017	Paydown		16,033	16,033	16,240	16,144		(112)		(112)		16,033				326	01/01/2025
383730-5Z-0	GNMA GNR 2003-46 PC 5.000% 06/20/33		12/01/2017	Paydown		487,992	487,992	470,073	477,618		10,374		10,374		487,992				13,050	06/20/2033
38374H-JB-4	GNMA GNR 2004-72 PN 5.500% 09/20/34		12/01/2017	Paydown		122,110	122,110	122,110	122,110						122,110				3,476	09/20/2034
647421-BK-1	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B- 6.124% 04/01/30		10/20/2017	Tax Free Exchange		750,000	750,000	750,000	750,000						750,000				48,354	04/01/2030
95648X-AM-7	WEST VIRGINIA ST ECON DEV AUTH TAXABLE 5.370% 07/01/20		07/01/2017	Call	100.0000	165,000	165,000	168,300	166,005		(242)		(242)		165,763		(763)	(763)	8,861	07/01/2020
3199999	Subtotal - Bonds - U.S. Special Revenues					1,567,724	1,567,724	1,553,229	1,558,427		10,060		10,060		1,568,487		(763)	(763)	74,851	XXX
000000-00-0	TEACHERS INSUR & ANNUITY TIAAGL 4.9 09/15/44 4.900% 09/15/44		12/31/2017	JP MORGAN SECURITIES		1,088,871	1,000,000	1,093,900	1,090,611		(1,741)		(1,741)		1,088,871				63,428	09/15/2044
00184A-AC-9	TIME WARNER INC TWX 7 5/8 04/15/31 7.625% 04/15/31		12/22/2017	TENDER OFFER		1,391,060	1,000,000	1,201,460	1,146,636		(6,519)		(6,519)		1,140,117		250,943	250,943	90,441	04/15/2031
02147L-AM-5	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2006-22R 2A2 6.250% 05/25/36		12/01/2017	Paydown		53,432	87,165	53,325	49,472		3,961		3,961		53,432				3,119	05/25/2036
030955-AN-8	AMERITECH CAPITAL FUNDING T 6.55 01/15/28 6.550% 01/15/28		12/01/2017	Tax Free Exchange		417,742	400,000	425,016	418,889		(1,146)		(1,146)		417,742				36,498	01/15/2028
035229-CQ-4	ANHEUSER-BUSCH COS LLC AB1BB 5.95 01/15/33 5.950% 01/15/33		04/06/2017	Taxable Exchange		573,751	500,000	546,760	538,704		(414)		(414)		538,291		35,461	35,461	61,763	01/15/2033
048677-AB-4	ATLANTIC MARINE CORP ATLMAR 5.343 12/01/50 5.343% 12/01/50		12/01/2017	Redemption		3,547	3,547	2,965	3,015		533		533		3,547				144	12/01/2050
05377R-AW-4	AESOP FUNDING II LLC 2011-5A CL B 144A 4.720% 02/20/18		02/20/2017	Paydown		333,333	333,333	333,207	333,330		3		3		333,333				1,967	02/20/2018
059475-AB-9	BANC OF AMERICA ALT LN TR BOAA 2007-2 1A2 5.500% 06/25/37		12/01/2017	Paydown		31,070	36,761	28,597	25,710		5,360		5,360		31,070				1,026	06/25/2037
05949C-BA-3	BANC OF AMERICA MORTGAGE BOAMS 2005-5 1A2S 5.500% 06/25/35		01/01/2017	Paydown		119,849	119,849	118,801	119,119		730		730		119,849				549	06/25/2035
05949C-BA-3	BANC OF AMERICA MORTGAGE BOAMS 2005-5 1A2S 5.500% 06/25/35		06/01/2017	Redemption		50,565	50,565	50,123	50,257		308		308		50,565				1,391	06/25/2035
05950N-BH-0	BANC OF AMERICA FUNDING CORP BAFC 2006-5 3A4 5.750% 09/25/36		12/01/2017	Paydown		379,155	379,155	377,793	377,745		1,411		1,411		379,155				10,095	09/25/2036
059529-AJ-6	BANC OF AMERICA FUNDING CORP BAFC 2007-7 3A2 6.293% 09/25/37		12/01/2017	Paydown		106,151	167,217	129,242	112,876		(6,725)		(6,725)		106,151				4,979	09/25/2037
067383-AA-7	BARD (C.R.) INC BCR 6.7 12/01/26 6.700% 12/01/26		12/29/2017	Tax Free Exchange		560,576	500,000	592,635	565,819		(5,244)		(5,244)		560,576				39,961	12/01/2026
079867-AW-7	BELLSOUTH TELECOMMUNICAT T 6 3/8 06/01/28 6.375% 06/01/28		12/01/2017	Tax Free Exchange		532,987	500,000	546,635	535,065		(2,078)		(2,078)		532,987				47,813	06/01/2028
12667G-RF-2	COUNTRYWIDE ALT LN TR CIALT 2005-25T1 A5 5.750% 07/25/35		12/01/2017	Paydown		227,201	238,030	211,999	189,894		37,307		37,307		227,201				7,118	07/25/2035
12668B-PS-6	COUNTRYWIDE ALT LN TR CIALT 2006-4CB 1A6 6.000% 04/25/36		12/01/2017	Paydown		67,151	76,328	60,455	47,450		19,701		19,701		67,151				2,420	04/25/2036
12668B-VB-6	COUNTRYWIDE ALT LN TR CIALT 2006-9T1 A2 5.750% 05/25/36		12/01/2017	Paydown		109,050	123,542	95,114	77,230		31,820		31,820		109,050				3,703	05/25/2036
16163H-AQ-4	CHASE MORTGAGE FINANCE CORP CHASE 2007-S3 1A15 6.000% 05/25/37		12/01/2017	Paydown		136,679	160,948	127,690	131,389		8,821	3,531	5,290		136,679				4,944	05/25/2037
17316Y-AG-3	CITIGROUP MORTGAGE LOAN TRUST CMLT1 2010-6 4A1 5.500% 12/25/36		12/01/2017	Paydown		107,014	107,014	106,479	106,569		445		445		107,014				2,777	12/25/2036
17317K-BE-6	CITIGROUP MORTGAGE LOAN TRUST CMLT1 2010-5 6A1 5.500% 02/25/37		12/01/2017	Paydown		99,348	99,348	98,975	98,979		368		368		99,348				2,838	02/25/2037

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date
18683K-AD-3	CLIFFS NATURAL RESOURCES 4.875% 04/01/21		03/15/2017	Call 100.0000		1,000,000	1,000,000	180,000	229,726		12,337		12,337		242,062		757,938	757,938	33,427	04/01/2021
210805-CQ-8	CONTINENTAL AIRLINES UAL 6.545 02/02/19		08/02/2017	Redemption 100.0000		85,792	85,792	89,009	86,532		(740)		(740)		85,792				3,011	02/02/2019
247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22 6.821% 08/10/22		08/10/2017	Redemption 100.0000		21,562	21,562	21,777	21,694		(132)		(132)		21,562				1,051	08/10/2022
278865-AL-4	ECOLAB INC EOL 4.35 12/08/21 4.350% 12/08/21		12/29/2017	Call 100.0000		92,000	92,000	101,265	97,659		(1,074)		(1,074)		96,584		(4,584)	(4,584)	10,524	12/08/2021
294549-AR-1	EOT CORP EOT 6 1/2 04/01/18 6.500% 04/01/18		11/03/2017	Call 100.0000		500,000	500,000	542,910	508,648		(5,747)		(5,747)		502,901		(2,901)	(2,901)	45,092	04/01/2018
31620M-AH-9	FIDELITY NATIONAL INFORM 5.000% 03/15/22		03/15/2017	Call 100.0000		750,000	750,000	768,750	760,276		(612)		(612)		759,664		(9,664)	(9,664)	37,500	03/15/2022
362320-BA-0	GTE LLC VZ 6.94 04/15/28 6.940% 04/15/28		12/15/2017	Tax Free Exchange		837,275	750,000	872,333	843,158		(5,882)		(5,882)		837,275				381,400	04/15/2028
36242D-VE-0	GSR MORTGAGE LOAN TRUST GSR 2005-1F 2A2 6.000% 02/25/35		12/01/2017	Paydown		174,114	174,114	175,094	174,212		(98)		(98)		174,114				5,236	02/25/2035
39121J-AH-3	GREAT RIVER ENERGY GRRIVE 4.478 07/01/30 4.478% 07/01/30		07/01/2017	Call 100.0000		17,000	17,000	16,096	16,290		19		19		16,309		691	691	761	07/01/2030
45660L-NQ-8	RESIDENTIAL ASSET SEC TR RAST 2005-A7 A3 5.500% 06/25/35		12/01/2017	Paydown		45,233	45,809	43,578	40,953		4,280		4,280		45,233				1,113	06/25/2035
481165-AJ-7	KOMATSU MINING CORP KOMATS 5 1/8 10/15/21 5.125% 10/15/21		12/11/2017	Call 100.0000		750,000	750,000	795,383	774,386		(4,419)		(4,419)		769,967		(19,967)	(19,967)	120,714	10/15/2021
487312-AA-8	KEENAN FT DETRICK ENERGY KEENAN 6.093 05/15/33 6.093% 05/15/33		11/15/2017	Redemption 100.0000		14,871	14,871	14,499	14,588		283		283		14,871				682	05/15/2033
55265K-Q2-8	MASTR ASSET SEC TR 2003-9 2A7 5.500% 10/25/33		03/01/2017	Paydown		98,863	98,863	100,593	99,853		(990)		(990)		98,863				1,342	10/25/2033
576434-V6-8	MASTR ALTERNATE LOAN TRUST MALT 2005-6 1A2 5.500% 12/25/35		12/01/2017	Paydown		69,075	75,413	68,094	67,860		1,215		1,215		69,075				2,034	12/25/2035
576434-YL-2	MASTR ALTERNATE LOAN TRUST MALT 2004-12 5A4 6.000% 12/25/34		12/01/2017	Paydown		1,111,810	1,111,810	1,142,539	1,119,407		(7,598)		(7,598)		1,111,810				50,629	12/25/2034
59524E-AB-8	MID-ATLANTIC MILITARY CO MIDATL 5.24 08/01/50 5.240% 08/01/50		08/01/2017	Redemption 100.0000		3,949	3,949	2,807	2,910		1,039		1,039		3,949				149	08/01/2050
678858-BB-6	OKLAHOMA GAS & ELECTRIC OGE 6 1/2 07/15/17 6.500% 07/15/17		07/15/2017	Maturity		1,000,000	1,000,000	1,107,990	1,006,177		(6,177)		(6,177)		1,000,000				65,000	07/15/2017
694476-AC-6	PACIFIC LIFE CORP PACLIF 6 02/10/20 6.000% 02/10/20		11/30/2017	Call 100.0000		570,000	570,000	609,341	586,219		(4,517)		(4,517)		581,702		(11,702)	(11,702)	91,460	02/10/2020
705322-AJ-4	PEDENALES ELECTRIC COOP PEDELC 5.952 11/15/22 5.952% 11/15/22		11/15/2017	Redemption 100.0000		19,000	19,000	20,045	19,551		(551)		(551)		19,000				1,131	11/15/2022
790849-AJ-2	ST JUDE MEDICAL INC 3.250% 04/15/23		03/22/2017	Tax Free Exchange		755,203	750,000	758,010	755,378		(175)		(175)		755,203				12,505	04/15/2023
86359D-JX-6	STRUCTURED ASSET SEC CORP SASC 2005-14 2A5 5.750% 07/25/35		12/01/2017	Paydown		106,967	113,036	108,793	109,021		(2,054)		(2,054)		106,967				2,509	07/25/2035
920355-AG-9	VALSPAR CORP VAL 4.2 01/15/22 4.200% 01/15/22		06/02/2017	Tax Free Exchange		786,618	750,000	819,293	789,890		(3,272)		(3,272)		786,618				28,488	01/15/2022
94982J-AM-5	WELLS FARGO MTG BK SEC WFMBS 2005-6 A12 5.500% 08/25/35		12/01/2017	Paydown		150,017	150,015	147,788	148,738		1,279		1,279		150,017				4,965	08/25/2035
94984A-AQ-3	WELLS FARGO MTG BK SEC WFMBS 2006-6 1A15 5.750% 05/25/36		12/01/2017	Paydown		31,951	33,520	32,870	33,253		(1,303)		(1,303)		31,951				1,019	05/25/2036
94985R-AH-5	WELLS FARGO MTG BK SEC WFMBS 2007-4 A8 6.000% 04/25/37		12/01/2017	Paydown		145,774	158,503	142,507	151,019		(5,245)		(5,245)		145,774				5,091	04/25/2037
12479L-AA-8	CAL FUNDING II LTD CAI 2012-1A A 3.470% 10/25/27	D	12/25/2017	Paydown		100,000	100,000	99,978	99,987		13		13		100,000				1,880	10/25/2027
29358Q-AA-7	ENSCO PLC 4.700% 03/15/21	C	01/09/2017	TENDER OFFER		363,750	375,000	387,784	381,405		(31)		(31)		381,374		(17,624)	(17,624)	11,162	03/15/2021
29358Q-AA-7	ENSCO PLC 4.700% 03/15/21	C	01/09/2017	Tax Free Exchange		381,374	375,000	387,784	381,405		(31)		(31)		381,374					03/15/2021
709629-AL-3	PENTAIR FINANCE SA PNR 3.15 09/15/22 3.150% 09/15/22	D	05/26/2017	TENDER OFFER		938,900	915,000	899,289	904,822		657		657		905,478		33,421	33,421	20,096	09/15/2022
853250-AB-4	STANDARD CHARTERED BANK STANLN 6.4 09/26/17 6.400% 09/26/17	D	09/26/2017	Maturity		580,000	580,000	579,930	579,975		25		25		580,000				37,120	09/26/2017

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
OF NEW YORK

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi- fication	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					17,889,630	17,263,059	17,237,300	16,823,751		57,400	3,531	53,869		16,877,618		1,012,012	1,012,012	1,364,065	XXX
8399997	Total - Bonds - Part 4					19,576,094	18,949,523	18,935,495	18,519,789		48,588	3,531	45,057		18,564,845		1,011,249	1,011,249	1,444,870	XXX
8399998	Total - Bonds - Part 5					1,747,065	1,693,945	1,749,246			(1,872)		(1,872)		1,747,374		(309)	(309)	33,394	XXX
8399999	Total - Bonds					21,323,159	20,643,468	20,684,741	18,519,789		46,716	3,531	43,185		20,312,219		1,010,940	1,010,940	1,478,264	XXX
8999997	Total - Preferred Stocks - Part 4						XXX													XXX
8999998	Total - Preferred Stocks - Part 5						XXX													XXX
8999999	Total - Preferred Stocks						XXX													XXX
9799997	Total - Common Stocks - Part 4						XXX													XXX
9799998	Total - Common Stocks - Part 5						XXX													XXX
9799999	Total - Common Stocks						XXX													XXX
9899999	Total - Preferred and Common Stocks						XXX													XXX
9999999	Totals					21,323,159	XXX	20,684,741	18,519,789		46,716	3,531	43,185		20,312,219		1,010,940	1,010,940	1,478,264	XXX

## SCHEDULE D - PART 5

[illegible]

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

**N O N E**

Schedule D - Part 6 - Section 2

**N O N E**

Schedule DA - Part 1 - Short-Term Investments Owned

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE E - PART 1 - CASH

1	2	3	4	5	6	7
Depository	Code	Rate of Interest	Amount of Interest Received During Year	Amount of Interest Accrued December 31 of Current Year	Balance	*
STATE STREET ..... NEW YORK, NEW YORK .....					537,555	XXX
WEST BANK ..... WEST DES MOINES, IOWA .....					5,663	XXX
0199998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX				XXX
0199999. Totals - Open Depositories	XXX	XXX			543,217	XXX
0299998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX				XXX
0399999. Total Cash on Deposit	XXX	XXX			543,217	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
0599999 Total - Cash	XXX	XXX			543,217	XXX

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January.....	614,424	4. April.....	481,133	7. July.....	1,092,086	10. October.....	574,129
2. February.....	118,979	5. May.....	412,187	8. August.....	831,188	11. November.....	128,696
3. March.....	1,207,642	6. June.....	563,147	9. September.....	292,660	12. December.....	543,217

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama .....	AL					
2. Alaska .....	AK					
3. Arizona .....	AZ					
4. Arkansas .....	AR					
5. California .....	CA					
6. Colorado .....	CO					
7. Connecticut .....	CT					
8. Delaware .....	DE					
9. District of Columbia .....	DC					
10. Florida .....	FL					
11. Georgia .....	GA					
12. Hawaii .....	HI					
13. Idaho .....	ID					
14. Illinois .....	IL					
15. Indiana .....	IN					
16. Iowa .....	IA					
17. Kansas .....	KS					
18. Kentucky .....	KY					
19. Louisiana .....	LA					
20. Maine .....	ME					
21. Maryland .....	MD					
22. Massachusetts .....	MA					
23. Michigan .....	MI					
24. Minnesota .....	MN					
25. Mississippi .....	MS					
26. Missouri .....	MO					
27. Montana .....	MT					
28. Nebraska .....	NE					
29. Nevada .....	NV					
30. New Hampshire .....	NH					
31. New Jersey .....	NJ					
32. New Mexico .....	NM					
33. New York .....	NY	B Statutory requirement .....			405,330	507,375
34. North Carolina .....	NC					
35. North Dakota .....	ND					
36. Ohio .....	OH					
37. Oklahoma .....	OK					
38. Oregon .....	OR					
39. Pennsylvania .....	PA					
40. Rhode Island .....	RI					
41. South Carolina .....	SC					
42. South Dakota .....	SD					
43. Tennessee .....	TN					
44. Texas .....	TX					
45. Utah .....	UT					
46. Vermont .....	VT					
47. Virginia .....	VA					
48. Washington .....	WA					
49. West Virginia .....	WV					
50. Wisconsin .....	WI					
51. Wyoming .....	WY					
52. American Samoa .....	AS					
53. Guam .....	GU					
54. Puerto Rico .....	PR					
55. U.S. Virgin Islands .....	VI					
56. Northern Mariana Islands .....	MP					
57. Canada .....	CAN					
58. Aggregate Alien and Other .....	OT	XXX	XXX			
59. Subtotal	XXX	XXX			405,330	507,375
DETAILS OF WRITE-INS						
5801. ....						
5802. ....						
5803. ....						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX				
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX				



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