FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* STRICKLAND ALONZO A J J                 |  |       |               |                             | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  AMERICAN EQUITY INVESTMENT |  |   |     |  |      |   |   |                 |                                    | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner   |   |   |   |   |  |
|--|--|-------|---------------|-----------------------------|--|--|---|-----|--|------|---|---|-----------------|------------------------------------|---|---|---|---|---|--|
| (Last)<br>6000 WE  |  |       |               |                             | 3. Date of Earliest Transaction (Month/Day/Year) 12/12/2019                    |  |   |     |  |      |   |   |                 | _                                  | X   |   | er (give title  | 10% Owner<br>Other (specify<br>below)           |   |  |
| (Street) WEST D MOINES (City)  | i IA   |       | 50266<br>Zip) |                             | 4. If  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |     |  |      |   |   |                 |                                    | Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |   |   |   |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |       |               |                             |  |  |   |     |  |      |   |   |                 |                                    |   |   |   |   |   |  |
|  |  |       |               |                             | 2. Transaction<br>Date<br>(Month/Day/Year)                                     |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |     | Transaction Disposed Code (Instr. 5)                           |      | ities Acquired (A)<br>d Of (D) (Instr. 3, 4 |   |                 | 4 and Se<br>Be<br>Ov               |   | ount of<br>ities<br>icially<br>d Following<br>ted | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                               |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |  |       |               |                             |  |  | Code  | v   | Amount   | (    | A) or<br>D)                                 | Price   |                 | Transaction(s)<br>(Instr. 3 and 4) |   |   |   | (11311. 4)                                      |   |  |
| Common   |  | 12/12 | 12/12/2019    |                             |  |  | S   |     | 900  | D \$ |   | \$30  | 0.11 246,400    |                                    | 46,400  | D   |   |   |   |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |       |               |                             |  |  |   |     |  |      |   |   |                 |                                    |   |   |   |   |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                              | ive Conversion Date Execution D or Exercise (Month/Day/Year) if any  |       |               | Date, Transaction Code (Ins |  |  |   |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |      |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                 |                                    |   |   | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owner<br>Form:<br>Direct<br>or Indi<br>(I) (Ins | (D)<br>rect   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |       |               |                             | Code   | v  | (A)   | (D) | Date<br>Exercisal  |      | Expiration<br>Date                          | Title   | or<br>Nui<br>of | ount<br>mber<br>ares               |   |   |   |   |   |  |

**Explanation of Responses:** 

Remarks:

Renee D. Montz, by Power of **Attorney** 

12/16/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.